Are cognitive changes the first symptoms of Huntington’s disease? A study of gene carriers

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Abstract

Background—Huntington’s disease is a neurodegenerative disorder due to an excessive number of CAG repeats in the IT15 gene on chromosome 4. The first symptoms are typically choreic movements or psychiatric disorders, whereas global cognitive decline generally becomes obvious later. This study was aimed at detecting early subtle cognitive deficits in asymptomatic gene carriers.

Methods—As part of the testing procedure for predictive diagnosis of Huntington’s disease, 91 asymptomatic at risk candidates had a neuropsychological examination, evaluating global cognitive attention, memory (Wechsler memory scale and California verbal learning test), and executive functions.

Results—The groups of carriers (n=42) and non-carriers (n=49) differed only on a few memory variables. When we considered the group of gene carriers as a whole, significant correlations emerged between the number of CAG repeats and (a) performance on several tests of executive functions, and (b) performance on the hard pairs associates of the Wechsler memory scale. Further analysis of performance on this memory subtest led to the division of the group of carriers into two subgroups, without any overlap. The performance of subjects without cognitive deficits (n=32) was similar to that of non-carriers on all tests. The subjects with cognitive deficits (n=10) differed from both carriers without cognitive deficits and non-carriers over a wide array of variables measuring executive functions and memory. Moreover, qualitative aspects of the performance of carriers with cognitive deficits in the California verbal learning test closely resembled those of patients diagnosed as having Huntington’s disease.

Conclusion—This suggests that these subjects already have Huntington’s disease, despite a total lack of motor and psychiatric signs. An ongoing follow up study is testing the prediction that they will develop the full range of symptoms of the disease earlier than carriers without cognitive deficits.

Huntington’s disease is an autosomal dominant disorder with complete lifetime penetrance, characterised by insidious onset of symptoms associating choreic movements, affective disorders, and cognitive impairment. The diagnosis is typically based on the appearance of the first motor symptoms, and confirmed by the presence of an excessive number (over 36) of CAG repeats in the IT15 gene on chromosome 4. Together with genetic analysis, neuropsychological testing has been performed either on asymptomatic subjects at high risk for Huntington’s disease (indirect method) or asymptomatic gene carriers (direct method). Some of these studies with neuropsychological evaluation have reported that gene carriers were less efficient than non-carriers in the domains of executive functions and, to a lesser degree, memory. However, the numbers of asymptomatic patients were rather small in most studies and the differences were neither robust nor consistently found.

One explanation for these inconsistent results may be that, in these studies, the groups of gene carriers were considered as a whole, including variable proportions of both at risk subjects with no cognitive impairment (presumably far from developing the disease) and at risk subjects with subtle cognitive impairment. To avoid this confounding effect, we performed a three step analysis of the performance of gene carriers, (1) by comparing gene carriers and non-carriers on a large neuropsychological battery, (2) by looking for correlations between the number of CAG repeats and efficiency on the neuropsychological tests within the group of gene carriers, and (3) by subdividing the group of gene carriers into two subgroups on the basis of their performance on the memory subtest best correlated with CAG repeats. The underlying hypothesis was that gene carriers with cognitive impairment already have Huntington’s disease, despite total lack of motor abnormalities, and will develop the full range of the symptoms earlier than normally efficient gene carriers. If this hypothesis is valid, it should be possible to predict the onset of the disease in the absence of any motor or affective disorder, on the basis of some early modifications of cognitive efficiency which might constitute sensitive markers.

Methods and subjects

INCLUSION PROCEDURE

During a period of three years, 99 candidates at the Salpêtrière Hospital, Paris, France, followed the presymptomatic diagnosis procedure until the genetic result. Of these, seven were...
Cognitive changes in asymptomatic Huntington’s disease gene carriers

173

accepting the test by written consent.

istic of Huntington’s disease; (3) “consenting

(see below); (2) asymptomatic—that is, free of

any motor or psychiatric symptoms character-

istic of Huntington’s disease; (3) “consenting

and informed”—that is, understanding and

accepting the test by written consent.

This procedure takes into account the

recommendations of the committee of the

International Huntington Association (IHA)

and the World Federation of Neurology

(WFN).9 All candidates included in the proce-

dure for predictive diagnosis of Huntington’s

disease were: (1) at risk—that is, with a family

history of identified Huntington’s disease cases

(see below); (2) asymptomatic—that is, free of

any motor or psychiatric symptoms character-

istic of Huntington’s disease; (3) “consenting

and informed”—that is, understanding and

accepting the test by written consent.

CLINICAL ASSESSMENT

An exhaustive interview carried out by the

geneticist and the neurologist verified the posi-

tive family history of Huntington’s disease; the

clinical diagnosis of Huntington’s disease in

affected family members established the exist-

ence of an at priori risk in the candidate accord-

ing to the genealogy. All candidates were at

a 50% risk, except one who was at 25%, because

his at risk mother was still unaffected at 42

years. Molecular confirmation for at least one

affected relative was obtained in 90% (82 of

91) of subjects. In the remainder, the clinical

diagnosis in the affected relatives was strongly

suggestive of Huntington’s disease. In addition

to a complete neurological evaluation, the

presence of abnormal movements was espe-

cially looked for. There were no subjects with

even minor signs of chorea, brisk reflexes in the

lower limbs, oculomotor disturbances, motor

impersistence, parkinsonism, or tremor, except

for one subject with physiological enhanced

postural tremor. Lastly, no subjects presented

psychiatric disorders at the time of examin-

ation.

MOLECULAR ANALYSIS

Blood samples were taken from all subjects to
determine the number of CAG repeats as pre-
viously reported.10 Of the 91 subjects, 49

(53.8%) were non-carriers (less than 30 CAG

repeats in both alleles) and 42 (46.2%) were
gene carriers (more than 36 CAG repeats in

one allele of the IT15 gene).

NEUROPSYCHOLOGICAL TESTING

The neuropsychological battery used included
various tests known to be sensitive to Hunting-

don’s disease,11–14 evaluating global cognitive

efficiency, attentional capacities, executive

functions, and memory performance. Cogni-
tive efficiency was assessed with the mini

mental state examination (MMSE)15 and the Mattis
dementia rating scale.16 Attentional capacities
were assessed by the digit span subtest of the

Wechsler adult intelligence scale revised,17 and
the Stroop test.18 In a previous study,19 we
showed that in patients with choreic move-
ments, this battery correctly discriminates
between those with sporadic Huntington’s dis-
ease and those with non-Huntington’s disease
chorea.

Tests of executive functions included a score of
lexical fluency, corresponding to the sum of the
words beginning with “P”, “R”, and “V” in two
minutes divided by three,20 the trail making
test,21 with scores transformed into base 10
logarithms, and the digit symbol and arithme-
tic subtests of the Wechsler adult intelligence
scale revised (WAIS-R).

Memory efficiency was evaluated with the

Wechsler memory scale (WMS)22 with delayed
recall for logical memory, visual retention, and
paired associates, and the California verbal
learning test (CVLT),23 which allows both
quantitative and qualitative assessment of
memory performance. This last test includes
the following steps: (1) learning, in five trials, of
a 16 item shopping list (Monday list) belonging
to four embedded semantic categories; (2)
acquisition in one trial of an interference list of
16 shopping items (Tuesday list) belonging to
four embedded semantic categories, of which
two are shared with the Monday list; (3) short
delay free recall of the Monday list; (4) short
delay cued recall of the Monday list, providing
the subject with each of the four category
names to facilitate recall; (5) 20 minute delayed
free recall of the Monday list; (6) delayed cued
recall of the Monday list; (7) recognition of the
Monday list items from various foils, including
interference list words that are semantically
related or unrelated to target words; novel
words that are prototypical of the semantic
categories used in the Monday list; novel words
phonetically similar to target words, and novel
words that are semantically and phonetically
unrelated to target words. Besides the recall
and recognition subtest scores, the analysis of
performance included evaluation of persevera-
tions (multiple productions of the same item
within the same trial), intrusions (production
of extra list words), consistency of recall from
trial to trial, semantic clustering, and serial
clustering during learning of the Monday list,24
as well as false alarms and discriminability at
recognition (a non-parametric index of accu-
racy of recognition, taking into account both
misses and false positives).21

The mood state of all subjects was assessed
with the Montgomery and Asberg depression
rating scale25 and the gravity of anxiety scale
of Covi.26
STATISTICAL ANALYSIS

Statistical comparisons used parametric (analysis of variance (ANOVA), unpaired Student’s t test) or non-parametric (Kruskal-Wallis test, Mann-Whitney U test) tests, as appropriate. Given the large number of comparisons, the significance level was set at 0.01.

Results

COMPARISON OF NON-CARRIERS AND GENE CARRIERS

These two groups did not differ in age (33.2 (SD 8.8) for non-carriers and 33.4 (SD 7.4) for carriers), number of years of education (non-carriers=12.8 (SD 3.2); carriers=13.2 (SD 3.0)); and M/F sex ratio (non-carriers=17/32; carriers=15/27). They were also similar on depression (5.3 (SD 3.3) and 5.3 (SD 4.2)) and anxiety (3.3 (SD 1.6) and 3.8 (SD 2.1)) scores.

Global efficiency was similarly preserved in both groups (MMSE mean scores>29.5; Mattis DRS mean scores>141). For attentional capacities, the performance was similar and in the normal range in both groups, although direct and reverse digit spans were lower than expected (direct=6.5; reverse=4.7), given the age and level of education of the subjects. Executive function scores yielded only a tendency for lower performance in gene carriers on the arithmetic subtest of the WAIS-R (9.4 in gene carriers v 10.7 in non-carriers; NS).

The difference between groups was significant on delayed recall of the logical memory subtest (13.4 (SD 3.1) and 11.4 (SD 3.4)); t (89)=2.86; p<0.006), as well as on an immediate recall of hard paired associates (10.9 (SD 0.9) and 9.8 (SD 2.4)); t (89)=2.79; p=0.007) of the WMS. The groups were similar on the visual retention subtest. At the CVLT, significant differences emerged for recognition (15.8 (SD 0.4) and 15.3 (SD 1.0); hits; z=2.98; p<0.003) and recognition discriminability (99.1 (SD 1.9) and 97.0 (SD 3.9); z=2.86; p<0.005).

For some variables, variance was greater in the group of gene carriers than in the group of non-carriers. This is suggestive of heterogeneity of the group of gene carriers, which would comprise both at risk subjects without significant impairment and subjects with cognitive abnormalities. Is such heterogeneity related to the variability of the CAG repeats at an individual level?

NUMBER OF CAG REPEATES AND

NEUROPSYCHOLOGICAL PERFORMANCE IN GENE CARRIERS

Among the group of gene carriers, the number of CAG repeats in the IT15 gene on the chromosome 4 varied from 37 to 49. We used linear regression to determine whether the number of repeats was correlated with scores on neuropsychological testing. The correlations proved to be significant for several tests aimed at assessing executive functions: Digit symbol subtest of the WAIS-R (r=0.58; F (1,40)=20.01; p<0.0001); Stroop test, colours (r=0.42; F (1,40)=8.42; p=0.006); Stroop test, interference (r=0.40; F (1,40)=7.56; p=0.009). For memory tests, the correlation was also highly significant for the paired associates subtest of the WMS (r=0.47; F (1,40)=11.31; p=0.002); within this subtest, the performance on the hard pairs was correlated with the number of CAG repeats (r=0.48; F (1,40)=11.78; p=0.0014), whereas the performance on the easy pairs was not (p=0.35).

The correlations were close to the significance level (in each case p<0.04) only for the Mattis DRS score, the trail making test (B-A), the Wechsler memory quotient, the number of “list A words” learned, and the consistency of recall at the CVLT.

COMPARISON OF NON-CARRIERS, “PAIRED ASSOCIATES IMPAIRED” GENE CARRIERS, AND “PAIRED ASSOCIATES UNIMPAIRED” GENE CARRIERS

The fact that the performance on the hard paired associates of the WMS was strongly correlated with the number of CAG repeats led us to further examine individual performance of gene carriers on this subtest. Ten out of the 42 subjects scored 8 or lower, whereas none of the 49 subjects in the group of non-carriers scored under 9. It was therefore possible operationally to define two different subgroups among gene carriers: a “paired associates impaired” group (n=10) and a “paired associates unimpaired” group (n=32).

The three groups did not differ in terms of sex ratio M/F (non-carriers 17/32; carriers, paired associates unimpaired group 10/22; carriers, paired associates impaired group 5/5); age (33.2 (SD 8.8) for non-carriers, 33.7 (SD 7.3) for carriers, paired associates unimpaired group, 32.6 (SD 7.9) for carriers, paired associates impaired group); years of education (non-carriers=12.8 (SD 3.2); carriers, paired associates unimpaired group=13.7 (SD 2.5); carriers, paired associates impaired group=13.5 (SD 3.9)), depression (non-carriers=5.3 (SD 3.3); carriers, paired associates unimpaired group=5.9 (SD 4.4); carriers, paired associates impaired group=3.7 (SD 3.2)), and anxiety (non-carriers=3.3 (SD 1.5); carriers, paired associates unimpaired group=3.7 (SD 2.1); carriers, paired associates impaired group=4.1 (SD 2.1)) scores. They differed only in terms of number of CAG repeats on the expanded allele (non-carriers<30; carriers, paired associates unimpaired group=42.3 (SD 2.9), carriers, paired associates impaired group=45.2 (SD 2.4); p<0.01).

Paired associates unimpaired carriers had a neuropsychological profile closely similar to that of non-carriers. By contrast, statistical comparisons between the two subgroups of gene carriers yielded a number of significant differences, which concerned global efficiency (Mattis DRS), executive functions (arithmetic and digit symbol subtests of the WAIS-R and verbal fluency), and memory (WMS and CVLT) (table). Paired associates impaired subjects performed significantly less well on verbal memory subtests of the WMS, and for initial learning of list A, free recall, cued recall,
Cognitive changes in asymptomatic Huntington’s disease gene carriers

Discussion

Our study shows significant differences in cognitive performance of non-carriers and gene carriers for Huntington’s disease. These differences concern verbal memory such as logical memory and paired associates subttests of the WMS, and numbers of hits and discriminability of recognition at the CVLT. By contrast, other authors have reported no sign of cognitive impairment, either in subjects at high risk for Huntington’s disease or in gene carriers.27-29 How might such discrepancies be accounted for? Differences in selected tests, on the one hand, and relatively low numbers of subjects in most studies, on the other hand, are certainly contributory factors. Another possibly relevant factor, stressed by Jossiassen et al9 (but critically re-examined27), is that the variability of performance of subjects at high risk is greater than that of subjects at low risk.

Therefore, the second step of the analysis included a closer examination of the neuropsychological heterogeneity of the group of gene carriers. The correlational analyses indicated that, at least for tests of executive functions and the hard paired associates subset of the WMS, such a heterogeneity is related to the number of CAG repeats. The fact that variability was highest for the paired associates subset of the WMS, and particularly for the hard paired associates (for which the scores varied from 12 and number of hits at recognition of the CVLT. Further analysis of the CVLT disclosed that subjects in the paired associates impaired group were significantly less consistent from trial to trial during initial learning (they did not systematically retrieve the same items from trial to trial), and had a much less efficient semantic clustering strategy than subjects in the paired associates unimpaired group (the semantic clustering indices were 1.58 (SD 0.8) in the paired associates impaired group and 2.79 (SD 0.97) in the paired associates unimpaired group; p<0.001); lastly, subjects in the paired associates impaired group were also impaired at discriminating between target items and foils at recognition.

NUMBER OF CAG REPEATS, COGNITIVE IMPAIRMENT, AND AGE AT ONSET

Despite nearly identical age at examination, the CAG repeat number was significantly higher in the cognitively impaired subgroup. According to the age at onset/CAG repeat number correlation for subjects in the same CAG repeat range (37–49), the age at onset of Huntington’s disease for the cognitively impaired subgroup would seem to be four to five years earlier than for the cognitively unimpaired subgroup (correlation slope=−1.7; r=0.312; p<0.001; Dürr et al, unpublished data).
syndrome has been found in demented patients.

Moreover, in the study of Diamond et al, the paired associates subtest of the WMS was also the most sensitive in differentiating subjects with high and low risk for Huntington’s disease. Studying parkinsonian patients with a new hard paired associates learning task, El Awar et al were also able to differentiate two subgroups, exhibiting low or high error scores.

In our view, the group of gene carriers actually comprises two subgroups, one of them already exhibiting cognitive impairment—despite the absence of any motor or psychiatric disturbance—defined by a particular neuropsychological profile, characteristic of an early stage of the disease. Moreover, such a profile resembles that of patients with symptomatic Huntington’s disease. The subgroup of cognitively unimpaired carriers was not different from subjects in the group of non-carriers in any way, and therefore must be considered as still normal. The subgroup of cognitively impaired carriers significantly differed from both the cognitively unimpaired subgroup and the non-carrier group on a large array of measures, including: most subtests of the WMS (with the notable exception of visual retention); most measures of the CVLT; some tests of executive functions (arithmetic and digit symbol subtests of the WAIS-R, verbal fluency); and global efficiency score (Mattis DRS). As stated earlier, the subgroups of gene carriers did not differ from each other in terms of depression or anxiety. Moreover, no subject in these subgroups exhibited any abnormal movement.

Rothlind et al also singled out and described a subject who, despite a normal neurological examination, produced a grossly abnormal performance on the Hopkins verbal learning test, whereas the other subjects at high risk were in the normal range. Two years later, she displayed eye movement abnormalities, motor impersistence, and mild choreiform movements, and the next year received a clinical diagnosis of Huntington’s disease. According to Rothlind et al, this patient “appears to have been in the phase of disease that may be accompanied by subtle signs of cognitive abnormalities, but clearly is below threshold for diagnosis”. This might well be also the case for the 10 gene carriers with cognitive impairment described here, as their neuropsychological profile presents several similarities with that of diagnosed patients with Huntington’s disease: (1) these patients were impaired on the arithmetic and digit symbol subtests of the WAIS, which are generally performed poorly by patients with Huntington’s disease; (2) the decrease of verbal fluency is also characteristic of patients with Huntington’s disease; (3) more generally, a dysexecutive syndrome has been found in demented patients with Huntington’s disease as well as in patients with early Huntington’s disease; (4) memory disturbance, found in this subgroup of subjects, is a prominent and early appearing cognitive feature of Huntington’s disease.

Data concerning the CVLT highlights strong quantitative as well as qualitative similarities between the subgroup of cognitively impaired subjects described here and diagnosed patients with Huntington’s disease. Such similarities indicate that the CVLT is particularly suited for studying gene carriers or patients with Huntington’s disease. In both studies, patients with Huntington’s disease or cognitively impaired subjects exhibited deficits at learning the first list (first trial, last trial, and total) and on all free or cued recall trials, but were not more sensitive than normal controls (or, in this study, non-carriers and cognitively unimpaired subjects) to proactive interference (the free recall score for the second list was similar to that of the free recall score on the first trial of the first list). Interestingly, subjects in the cognitively impaired subgroup and patients with Huntington’s disease also shared several qualitative features of memory performance: (1) during initial learning, they both displayed (a) less consistency of recall from trial to trial; (b) deficient use of a clustering strategy, and (c) an accentuated recency effect; (2) subjects in the cognitively impaired group also tended to show more perseverations than subjects in cognitively unimpaired and non-carrier groups, whereas patients with Huntington’s disease did have higher perseveration rates than controls in the study of Massman et al; (3) at recognition, both groups (cognitively impaired subjects and patients with Huntington’s disease) were slightly, but significantly, impaired at discriminating between targets and distractors (see table, discriminability index). Whereas this last aspect of performance may be indicative of mildly deficient encoding, impaired semantic clustering and inconsistent recall of words from trial to trial are illustrative of pronounced difficulties in initiating systematic retrieval strategies, reflecting an executive deficit.

These data indicate that it is possible to identify a cognitively impaired subgroup within “asymptomatic” gene carriers. Although these subjects are free of any neurological or psychiatric symptoms, they may well differ from the normal carriers in neuropathology; it may be that the longer repeat length leads to a less circumscribed neuropathology, or that the degenerative process is more advanced. Whether this subgroup will develop the disease earlier than cognitively unimpaired subjects remains to be determined in a follow up study. However, it can be anticipated that the presence of a significantly higher number of CAG repeats in the cognitively impaired gene carriers will be associated with an earlier mean age at onset in this subgroup (calculated to be at least four years), which would be strongly consistent with our overall results.

Our study establishes that cognitive changes without motor or psychiatric disturbances represent the first sign of Huntington’s disease in a
subset of gene carriers. The dysfunction of the caudate nucleus, evidenced by metabolic studies, can induce a disexecutive syndromal and memory deficits even before the diagnosis of Huntington's disease is established. A follow up study of the gene carriers is in progress, and is designed to elucidate such questions, including the following: (1) what is the delay between the occurrence of subtle cognitive impairment and both the first motor symptoms and the diagnosis of Huntington's disease? (2) Will other subjects, currently in the cognitively unimpaired subgroup, also exhibit signs of cognitive impairment before motor or psychiatric disorders? (3) Will subjects in the cognitively impaired subgroup develop the full range of Huntington's disease symptoms before subjects in the cognitively unimpaired subgroup, as already suggested on the basis of the correlation between the number of CAG repeats and the age at onset?

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