Cerebral responses to pain in patients with atypical facial pain measured by positron emission tomography

S W G Derbyshire, A K P Jones, P Devani, K J Friston, C Feinmann, M Harris, S Pearce, J D G Watson, R S J Frackowiak

Abstract
The localised PET cerebral correlates of the painful experience in the normal human brain have previously been demonstrated. This study examined whether these responses are different in patients with chronic atypical facial pain. The regional cerebral responses to non-painful and painful thermal stimuli in six female patients with atypical facial pain and six matched female controls were studied by taking serial measurements of regional blood flow by PET. Both groups displayed highly significant differences in responses to painful heat compared with non-painful heat in the thalamus, anterior cingulate cortex (area 24), lentiform nucleus, insula, and prefrontal cortex. These structures are closely related to the "medial pain system". The atypical facial pain group had increased blood flow in the anterior cingulate cortex and decreased blood flow in the prefrontal cortex. These findings show the importance of the anterior cingulate cortex and the reciprocal (possibly inhibitory) connections with the prefrontal cortex in the processing of pain in patients with this disorder. A hypothesis is proposed to explain the mechanisms of cognitive and pharmacological manipulation of these pain processes.

Changes in regional cerebral blood flow (rCBF) can be directly observed by PET and used as an index of neuronal activity. This technique has recently been employed to investigate pain processing in normal volunteers, and studies have shown activity in areas of the brain associated with painful experience. These include the thalamus, anterior cingulate, and prefrontal cortices. These structures relate to the "medial pain system", which is associated with the processing of the emotional components of pain thought to be predominant in chronic rather than acute pain. Acute pain has been associated with the "lateral pain system", which relates to the somatosensory cortex. The involvement of "medial structures" in the processing of acute pain challenges this classical distinction and indicates the importance of emotional components to the processing of acute pain.

This is not surprising in that pain is now accepted as an experience involving sensory, cognitive-evaluative, and affective motivational components. This view is complemented by the proposal that the sensory and affective components of pain are processed in parallel, rather than sequentially as had been previously assumed, and is also supported by evidence that the affective component of pain can be differentially suppressed with morphine, anticonvulsants, or cognitive intervention. It is interesting in this context that morphine analgesia is associated with increased blood flow in the prefrontal and anterior cingulate cortex as well as in the insula and temporal cortex. On the basis of these studies we predicted that the relation between anterior cingulate activity and prefrontal activity would be altered in patients with an idiopathic facial pain presumed to have a major affective component.

Around 40% of the general population report frequent facial pain and headache, and many patients referred for specialist consultation are found to have a pain with a strong affective component and a psychiatric basis. Other specialties have similar patients; in one study, 63% of women presenting with a pelvic disorder were considered to have no demonstrable physical disorder. Because it is difficult to identify these patients, they are usually subjected to excessive non-invasive and invasive investigation.

We have extended the findings of Jones et al to study cerebral responses to acute pain in patients with chronic atypical facial pain. This is a common form of facial pain that is usually described as a continuous dull to severe ache localised to one or both sides of the face. The aetiology of the pain is largely unknown, although it is often associated with an adverse life event and depression. It is one of many unexplained pain conditions such as fibromyalgia and irritable bowel syndrome. We have identified major differences in the functional cortical correlates of acute pain between pain-free volunteers and patients with atypical facial pain.
Method

SUBJECTS

Six female patients with atypical facial pain (age range 42–65 (mean 53) years) and six healthy age matched female controls (age range 47–69 (mean 54.2) years) took part in the study. All 12 subjects were right handed and postmenopausal.

The six patients had left sided atypical facial pain from one to 16 (mean seven) years, and all had other associated symptoms such as headache, neck ache, pelvic pain, irritable bowel, and pruritus. These patients were chosen because of the refractory nature of their pain in response to antidepressant medication. All such medication was stopped three weeks before the scans. Their neurological, radiological (orthopantomogram and CT), and dental examination findings were normal. The patients were diagnosed as having atypical facial pain on the basis of history, consistent absence of neurological and radiological signs, and negative CTS.

Permission to carry out these studies was obtained from the Administration of Radioactive Substances Advisory Committee, UK (ARSMAC-Uk) and the research ethics committee of Hammersmith Hospital. Fully informed signed consent was obtained from patients before each procedure.

DESIGN

The patients with atypical facial pain and controls were compared in their response to a series of painful and non-painful intermittent heat stimuli applied to the back of the right hand. Thus two independent variables were explored—namely, painful vs non-painful heat in patients with chronic pain vs non-pain controls. A non-painful heat was deliberately chosen as a baseline to control for the temporal and somatotopic localisation components of the painful stimulus. A range of dependent variables was also investigated: pain quality as measured by the McGill pain questionnaire, pain intensity as measured by a visual analogue scale, and the regional cortical responses as measured by PET. In these studies changes in blood flow were used as a measure of change in synaptic activity.

APPARATUS

The stimulus for both hot and painful hot conditions was produced by a Marstock thermal threshold stimulator (Somedic: thermostest Type 1), which delivers reproducible intermittent ramps of increasing heat to the skin via a water cooled probe.

The visual analogue scales and the McGill scale were displayed by the Macintosh “Hypercard” system in between rCBF measurements.

Scans were obtained with a PET scanner; CTI model 931–08/12 Knoxville, USA (its physical characteristics have been described elsewhere).

PROCEDURE

Patients with atypical facial pain were recruited from the facial pain clinic of the Eastman Dental Hospital. Volunteers were recruited from hospital secretarial staff. All subjects were given a thorough explanation of the procedure.

Before scanning, anxiety and depression were assessed with the Spielberger state/trait self evaluation questionnaire and Beck depression inventory. All subjects were then familiarised with the pain visual analogue scale and the McGill pain questionnaire. They then rated their chronic pain on the visual analogue scale and the McGill pain questionnaire during the scan.

Temperatures that, when applied to the back of the right hand, were reproducibly experienced as non-painful heat or painful heat were established for each subject using the thermal stimulator before the scans.

Each subject was positioned in the scanner so that its axis was roughly parallel to the glabellar-inion line, which in turn is parallel with the line between the anterior and posterior commissures (AC–PC line). A transmission scan was performed with an external ring source of positron to provide an image of regional tissue density for the correction of emission scans for tissue attenuation effects.

Each subject underwent six sequential scans over the course of a single two hour session, each scan providing measurements of relative regional cerebral blood flow (rCBF). In each subject rCBF was measured by recording the distribution of cerebral radioactivity after inhalation of the freely diffusible positron emitting 15O-labelled carbon dioxide (C15O2). Any increase in rCBF entails an increase in the amount of radioactivity recorded from that region.

Each thermal stimulus was commenced five seconds before the start of the scan. Subjects were warned before the start of each stimulation but were not told whether the painful or non-painful temperature was to be applied. The two stimuli were alternated from scan to scan. To avoid any possible order effects, the series commenced with non-painful stimuli. Subjects and painful heat in the other half. Each scan lasted two minutes, during which time an intermittent and precisely reproducible ramp of increasing heat was applied to the back of the right hand every 15 seconds. During the time of stimulation the lights were dimmed and silence maintained in order not to contaminate the sensory input. Movement and verbalisation of the subjects during the scans were monitored by observation. After each measurement verbal confirmation was obtained that subjects had experienced the stimulus appropriately as non-painful heat or painful heat. After each scan pain scores were obtained as described. Where applicable, McGill responses and visual analogue scale scores for both the retrospective acute pain and chronic pain were recorded.

Scans were reconstructed with a Hanning filter with a cut off frequency of 0.5 cycles per volume element (pixel), giving a transaxial resolution of 8.5 mm full width at half
maximum. This implies that two structures must be at least 8.5 mm apart to appear as distinct structures. The reconstructed images contained 128 × 128 volume elements (pixels), each 2.05 × 2.05 × 6.75 mm. The 15 original scan slices were interpolated to produce 43 planes to make these volume elements roughly cubic.

PET DATA ANALYSIS
The object of the analysis of these studies was to compare changes in blood flow between the different stimulation conditions so that the effect of increasing heat intensity without pain could be contrasted with the effect of painful thermal stimulation. Additionally, we compared changes in cerebral blood flow between the two groups so that the effect of pain on patients with chronic pain could be compared with the effect of pain on normal volunteers. To make these comparisons the following procedures were carried out. Head movement between scans was corrected for by aligning all scans with the first one, using automated image registration software specifically developed for the purpose.

Each realigned set of scans from every patient was reoriented into a standard stereotactic anatomical space. A correction was made for global changes in blood flow between scans. These two procedures allow flow values for each stimulus condition to be pooled across subjects. Finally, a statistical comparison of blood flow distributions between conditions and groups was performed to identify sites of significantly changed regional flow.

The AC-PC line was identified directly from the PET image and the data transformed into standard stereotactic space of the stereotactic atlas of Talairach and Tournoux. To increase the signal to noise ratio and accommodate variability in functional anatomy, each image was smoothed in X, Y, and Z dimensions with a Gaussian filter of 20 mm (full width at half maximum). Differences in global activity were removed after a pixel by pixel analysis of covariance.

The differences between one condition and another were assessed with the appropriate contrast (weighting of the six condition means) by the t statistic. This analysis was performed for each pixel and the resulting set of t values constituted a statistical parametric map (SPM(t)).

The significance of each SPM(t) was assessed by comparing the observed and expected pixels above a specific criterion (p < 0.001). The threshold of p < 0.001 was chosen because empirical studies with phantoms have shown that this threshold protects against false positives. Because the significance relates to the profile of rCBF changes individual foci are reported for descriptive purposes only.

Two planned statistical comparisons were performed; (a) to assess the effects of pain within both groups; and (b) to assess any differences in neurophysiological correlations of pain and heat between the two groups.

Effects of induced pain within each group
The non-painful heat conditions (increasing heat, anticipation of pain) were compared with the painful heat conditions (increasing heat, anticipation of pain, pain). The resulting SPM(t) highlighted brain regions in which changes of synaptic activity were associated with pain.

Effects of induced pain between groups
This was assessed by contrasting the changes associated with pain between the groups using the appropriate contrast to define the t statistic. The test for a significant difference in the rCBF responses due to painful heat stimulation in the two groups (normal subjects and patients with atypical facial pain) used the average error variance for the two groups for each pixel.

One tailed tests of significance were made looking for (a) increases in rCBF associated with induced thermal pain in each group separately, and (b) increases in the pain induced rCBF response in patients with atypical facial pain over and above the increases seen in the volunteers.

Results

COMPARISON OF NON-PAINFUL AND PAINFUL CORTICAL ACTIVITY DISTRIBUTIONS

Control group
Table 1 shows the areas of significant change of rCBF on comparing heat with pain in normal volunteers. Increased rCBF in the region of the periaqueductal grey was found in the midline, increases in the lentiform nucleus were found contralateral to the side of stimulation, and increases in the prefrontal cortex (areas 10, 32) and inferior parietal cortex (area 40) were seen ipsilaterally. The laterality of the increased rCBF in the anterior cingulate cortex (area 24) cannot be determined within the resolution. Figure 1 shows the increases in blood flow in response to pain for this group. These focal rCBF increases are in the form of SPM(t).

Significant decreases in rCBF were seen in the contralateral prefrontal cortex (area 18, 19), and premotor cortex (area 6). There was no evidence of change in rCBF in the primary somatosensory cortex on either side.

Patients with atypical facial pain
Table 2 shows the areas of significant change
Cerebral responses to pain by positron emission tomography

Figure 1  Data averaged from the group of six female controls. At the top are transverse images of the brain after stereotaxic normalization, with the distances from the AC–PC plane indicated. (A) Averaged blood flow scans. Anatomical landmarks are clearly identified due to differences in flow between grey and white matter.
(B) The arithmetical difference between adjusted mean blood flows for painful hot and non-painful hot phasic stimuli. (C) SPM(t) values derived from the formal pixel by pixel comparison of the adjusted mean blood flows and variances for each of the two conditions. The colour scale is arbitrary; threshold significance is indicated by the lower left pixel for each plane. (D) Orthogonal projections of the statistical comparison at p < 0.001 (Z threshold 3.09). The areas showing significant increases in blood flow are within the regions of periaqueductal grey, lentiform nucleus, insula, frontal area 32 and 10, parietal area 40, and anterior cingulate cortex. AC–PC = anterior commissure-posterior commissure; SPM(t) = statistical parametric map.

Figure 2 shows the increases in blood flow in response to pain for this group. These focal rCBF increases are in the form of SPM(t).

Significant decreases in rCBF were seen bilaterally in the prefrontal cortex, contralateral premotor (area 6), parietal, and frontal cortices (area 8), and ipsilateral prefrontal cortex (area 10). There was no evidence of significant change in rCBF in the primary somatosensory cortex on either side.

Table 2  Within group comparison for the atypical facial pain group

<table>
<thead>
<tr>
<th>Region</th>
<th>Side</th>
<th>X</th>
<th>Y</th>
<th>Z</th>
<th>Associated Z value</th>
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<tbody>
<tr>
<td>Patients with atypical facial pain: rCBF increases:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Periaqueductal grey</td>
<td>M</td>
<td>-2</td>
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<td>Anterior cingulate (area 24)</td>
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<td>Lentiform nucleus</td>
<td>L</td>
<td>-16</td>
<td>-10</td>
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<tr>
<td>Insula</td>
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<td>0</td>
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<tr>
<td>Thalamus</td>
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<td>-18</td>
<td>-18</td>
<td>12</td>
<td>3.406</td>
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<tr>
<td>Patients with atypical facial pain: rCBF decreases:</td>
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<td></td>
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<tr>
<td>Inferior parietal (area 40)</td>
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<td>-42</td>
<td>-66</td>
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<td>Area 31</td>
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<td>-10</td>
<td>-58</td>
<td>24</td>
<td>3.356</td>
</tr>
<tr>
<td>Prefrontal</td>
<td>L</td>
<td>-34</td>
<td>-78</td>
<td>32</td>
<td>3.275</td>
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<tr>
<td>Area 8</td>
<td>L</td>
<td>-28</td>
<td>10</td>
<td>44</td>
<td>4.847</td>
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<tr>
<td>Premotor (area 6)</td>
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<td>-38</td>
<td>6</td>
<td>52</td>
<td>3.273</td>
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<td>Frontal pole (area 10)</td>
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<td>6</td>
<td>46</td>
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<tr>
<td>Medial frontal (area 32)</td>
<td>R</td>
<td>4</td>
<td>46</td>
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<td>3.171</td>
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<tr>
<td>Prefrontal (areas 18 and 19)</td>
<td>R</td>
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<tr>
<td>Area 7</td>
<td>R</td>
<td>10</td>
<td>-74</td>
<td>40</td>
<td>3.222</td>
</tr>
</tbody>
</table>

M = Midline; L = left; R = right.

Comparison of rCBF increases in the control group with increases in the atypical facial pain group

Figure 3 shows the comparison of the acute pain changes between the two groups in terms of significant focal differences in the form of SPMs at the appropriate levels in the brain. Activation in the atypical facial pain group was greater in the anterior cingulate cortex (area 24) and significantly less in the ipsilateral prefrontal cortex (area 10).

Measures of depression and pain experience

Table 3 shows that the patients with atypical facial pain scored higher on all the tests of depression and anxiety. These scores were only significantly higher (p = 0.05), however, on measures of depression and trait anxiety; not state anxiety (Student's t test).

To determine a measure of sensory intensity, all descriptors selected within the sensory categories of the McGill pain questionnaire were summated by rank value and then divided by the highest possible score. This scoring method yielded values ranging from 0 to 1 with a score of 0 indicating that the subject did not select any adjectives from any of the sensory categories and a score of 1 indicating that the patient selected the highest ranked word in each category. This same procedure was used to obtain a quantitative measure of affective descriptors. These values were averaged for the three retrospective acute pain measures in both groups and for the six chronic atypical facial pain measures in the facial pain group. Table 3 gives the results.
The patients with atypical facial pain gave consistently higher sensory values to their chronic pain but not to induced acute pain, but the differences were not significant.

**Discussion**

The experiment was designed to examine the effect of a non-noxious and a noxious stimulus in the presence and absence of a chronic pain with a predominant affective component. The hypothesis under examination was that this pain population will show different cerebral responses to pain in the anterior cingulate and prefrontal cortices compared with a normal population. The tactile components and frequency of stimulation were the same for each non-painful and painful heat stimulation. Thus the comparison of these two stimuli exclude responses to the temporal and spatial components of the stimuli. Anticipation of pain was kept as constant as possible by not informing the patients of the number or order of the different types of stimulation. It is unlikely that the anticipation of pain remained constant throughout the non-painful heat stimulus and it is therefore likely that the “pain response” in this protocol incorporates some anticipation of pain. None of the subjects showed any facial or general movement during the scans.

The increases in rCBF seen in the lentiform nucleus and anterior cingulate were common to both the controls and pain patients and are consistent with our previous findings. The lack of a thalamic response in the controls is puzzling and not consistent with our previous report, although it is consistent with the findings of Talbot et al. As the thalamus carries all sensory information to the cortex it is possible that this area shows a smaller relative increase in blood flow with pain compared with heat and is thus more difficult to detect than other areas. This may be investigated in the future with absolute measures of blood flow.

Increases in ipsilateral prefrontal rCBF, seen here in the normal subjects, were also reported previously but at a non-significant level. The prefrontal cortex and anterior cingulate cortex are the main cortical projections of the medial pain system, and have been localised in previous pain studies. It is therefore suggested that these areas are likely to represent the functional anatomical substrate of pain awareness. Restricting the analysis of the effects of atypical facial pain to these regions, we were able to show that atypical facial pain significantly attenuated the increase in rCBF brought about by induced acute pain in the prefrontal cortex (area 10) while increasing rCBF in the anterior cingulate cortex (area 24). It is not yet possible to say whether this response is common to all forms of chronic pain or specific to acute pain combined with atypical facial pain. A number

<table>
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<tr>
<th>Questionnaire</th>
<th>Patient score (SD)</th>
<th>Control score (SD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Beck depression inventory</td>
<td>16.0 (10.0)</td>
<td>4.0 (5.04)*</td>
</tr>
<tr>
<td>State anxiety</td>
<td>23.2 (18.67)</td>
<td>12.0 (8.40)*</td>
</tr>
<tr>
<td>Trait anxiety</td>
<td>25.4 (17.07)</td>
<td>15.0 (6.75)*</td>
</tr>
<tr>
<td>McGill acute pain: Sensory scale</td>
<td>0.20 (0.12)</td>
<td>0.25 (0.16)</td>
</tr>
<tr>
<td>McGill chronic pain: Sensory scale</td>
<td>0.11 (0.17)</td>
<td>0.18 (0.21)</td>
</tr>
<tr>
<td>McGill chronic pain: Affective scale</td>
<td>0.14 (0.09)</td>
<td>—</td>
</tr>
</tbody>
</table>

* p < 0.05.
frontal leucotomy and cingulotomy in combination with the findings reported here lends support to this hypothesis.

The prefrontal cortex has important projections to the anterior cingulate cortex and basal ganglia as well as weaker connections with the insula cortex. Prefrontal cortical rCBF (area 10) was significantly increased in the control group. Shallice has proposed that the process by which complex behavioural units or schemas are brought to conscious attention is the function of the "supervisory attention system". This is part of the "programming, regulation, and verification of human activity" by the frontal lobes. Posner and Rothbart argue that this alert state is lateralised to the right lateral frontal lobe based on its close involvement with the regulations of the heart. The maintenance of vigilance is indexed by a pronounced slowing of the heart. The abnormal pattern of right prefrontal and anterior cingulate responses in these patients may therefore reflect an abnormal "supervision" of attention and emotional schemas. This is consistent with the perception of physical symptoms proposed by Pennebaker. The common conviction of these patients that there is something structurally wrong with their face, and their high trait anxiety, would be seen as a schema in which the likelihood of perceiving painful sensory input from the face is high.

It is apparent from the McGill scores that the chronic and acute pain were not triggering any exceptional emotional response in the patients with atypical facial pain. The affective McGill scores were the same for both groups in response to the acute pain stimulus. This is not consistent with larger group studies and may relate to a desire by these patients to prove the reality of their atypical facial pain to the medical staff carrying out the scan by denying any emotional input to their disorder.

The pharmacological substrates for the abnormal patterns of pain rCBF seen in the patients with atypical facial pain are not clear. Although about 80% of cases respond to tricyclic or monoamine oxidase inhibitors only 45% are found to be depressed. Furthermore, these patients seem to have a deficit in the excretion of conjugated tyramine comparable to patients with endogenous depression. This biological marker is independent of depression in the patients with atypical facial pain suggesting a neuropharmacological deficit common to both conditions. It has been suggested that descending cinguloperiaqueductal efferents modulate activity of the descending 5-hydroxytryptamine (5HT) mediated inhibitory system via the middle raphe nuclei in the brain stem. If uncontrolled this system may deplete serotonin reserves and so disrupt descending analgesia, or directly interfere with opiate organisation in the cingulate cortex itself. It is also known that patients with depression have low levels of 5HT breakdown products in the CSF and that suicide victims have decreased 5HT and noradrenaline concentrations with increased concentrations of 5HT2 and β receptors in the frontal cortex. Increased receptors have
been suggested as a compensatory mechanism for reduced postynaptic concentration of these amines. This success of tricyclic antidepressants in patients with atypical facial pain may be explained by the restoration of amine stores. Studies to examine the effects of tricyclic antidepressants on the reversal of rCBF patterns seen in these patients are ongoing and necessary to clarify the possible role of central amine depletion.

In conclusion, important differences between patients with atypical facial pain and normal volunteers have been discovered in the response of the prefrontal cortex and anterior cingulate cortex to pain. These differences in blood flow may be responsible for the maintenance of chronic pain through the failure of inhibition of other cortical and limbic structures. It is likely that this mechanism is related to both overt emotional processing, anxiety, and attentional mechanisms. There is therefore the possibility that, at least for some of these patients, the pain may be brought under conscious control.

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