Neuropsychological correlates of a right unilateral lacunar thalamic infarction

Y D Van Der Werf, J G E Weerts, J Jolles, M P Witter, J Lindeboom, Ph Scheltens

Abstract
Objectives—To report on a patient with a lacunar infarction in the right intralaminar nuclei of the thalamus. The role of the thalamic intralaminar nuclei in cognitive function is as yet insufficiently known. The patient described has shown signs of apathy and loss of initiative, in combination with cognitive deficits, which have persisted essentially unaltered up to the present day since an abrupt onset 17 years ago.

Methods—High resolution MRI was performed to show the extent of the lesion; a combination of published and experimental neuropsychological techniques was administered to show the nature of the cognitive defects; Single photon emission computed tomography (SPECT) was employed to obtain a measure of cortical perfusion.

Results—Brain MRI disclosed an isolated lacunar infarction in the dorsal caudal intralaminar nuclei of the thalamus. Neuropsychological evaluation indicated problems with attention and concentration, executive disturbances, and memory deficits both in the visual and verbal domains. The memory deficits could not be attributed to problems in the early stages of information processing, and are hence regarded as resulting from a failure of retrieval rather than encoding or storage. Brain SPECT disclosed a hypoperfusion of the right frontal cortex.

Conclusion—The data indicate that the cognitive profile is the result of a dysfunction of executive functions. This is corroborated by the finding of decreased blood flow in the right frontal cortex, and by evidence from the neuroanatomical literature. Thus the dysexecutive symptoms are thought to be caused by disconnection of the prefrontal cortex from the brainstem activating nuclei through the strategic localisation of the right thalamic infarction.

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Thalamic infarctions have long been noted to cause memory deficits reminiscent of those found after lesions of the medial temporal lobe or hippocampal formation.3 In addition, symptoms of a more frontal type have been reported after thalamic infarction.4 6 These comprise a constellation of symptoms characterised as dysexecutive problems. Often both memory and executive dysfunctions occur simultaneously after thalamic infarctions,6 but in some patients either pure amnesia or executive dysfunction may be seen.7 The amnesic and dysexecutive effects are most pronounced in bilateral and left unilateral infarctions; the role of the right thalamus is less clear.8 It is insufficiently known which structures in the thalamus mediate the cognitive dysfunctions in these patients, because the infarctions in the thalamus which give rise to cognitive disturbances are relatively large and usually encompass several nuclei. This has hampered understanding the role of individual nuclei and has given rise to an ongoing debate on the involvement of thalamic structures in cognitive functioning.4 8 In this case study we report the profile of cognitive disturbances seen after a right unilateral lacunar infarction in the thalamic region, so small as to be contained within the dorsal caudal internal medullary lamina.

Methods

CLINICAL METHODOLOGY

Routine neurological and laboratory examination were carried out. As gross measures of cognitive status, the mini mental state examination36 and the cognitive screening test (a Dutch modification of the short portable mental status questionnaire15) were administered. This test has been shown to be sensitive to cognitive decline as seen in demented patients.

NEUROPSYCHOLOGICAL METHODOLOGY

Informed consent according to the declaration of Helsinki was obtained.

Our patient has been tested repeatedly in the course of the past 17 years, with consistent results. Because of this, only the data obtained during the most recent neuropsychological investigations (1997) will be discussed. Published and local normative data are used for comparisons. Many of the disturbances shown by this patient are in the realm of behavioural deficiencies, which cannot be adequately described by neuropsychological measures. A substantial part of the clinical outcome is therefore presented in the results section in descriptive terms.

Verbal abilities were tested with the Dutch version of the Aachen aphasia test (AAT).12 Hand preference was assessed by means of a questionnaire13 containing 16 items of everyday activities such as using a pair of scissors, throwing a ball, and hammering a nail.

Intellectual functioning was assessed with the three subtest version of the Groninger intelligence test (GIT), a reliable indicator of
the full scale IQ.\textsuperscript{14} The premorbid IQ was also estimated on the basis of socioeconomic background and education.\textsuperscript{15}

Memory performance was assessed using immediate and delayed recall of a Dutch verbal learning test,\textsuperscript{16, 17} a modification of the Rey auditory verbal learning test\textsuperscript{18}; copying, direct, and delayed recall of the Rey-Osterrieth complex figure with recognition trial\textsuperscript{19}; the visual association learning task,\textsuperscript{20, 21} designed to circumvent laborious and effortful encoding by presenting associated objects and subsequently asking the patient to recall the object missing when shown the incomplete figures. It is assumed that this task is sensitive to early manifestations of antegrade amnesia in patients with Alzheimer’s disease by tapping automatic encoding; the recognition memory test for faces\textsuperscript{22}; digit span forward and backward, using three sequences per sequence length\textsuperscript{18, 24}; and the Brown-Peterson paradigm. The last was performed as described in Lezak,\textsuperscript{18} with intervals of 0, 3, 9, and 18 seconds, each administered 10 times except for the 0 second interval, which was administered five times. With the exception of the 0 second interval, the patient was asked to count backwards from a given number for the duration of the interval.

The range of memory tests used permits an overview of the performance of the patient in the various memory modalities currently recognised: immediate memory is assessed with the digit span and Brown Peterson paradigm; short term memory in the auditory domain is measured with the verbal learning test, in the visual domain with the recognition memory test and the Rey-Osterrieth complex figure test; long term memory is probed similarly with the delayed recall trials of the verbal learning test and the Rey-Osterrieth complex figure test. In addition, a differentiation can be made in the process of memory formation by the use of measures sensitive to either encoding (visual association learning test) or retrieval (recognition of the verbal learning test and the Rey-Osterrieth complex figure test).

The tasks measuring executive functioning administered here, consist of a rather heterogeneous constellation of tests, shown to be sensitive for dysfunction of the frontal lobes. The tests administered are the Dutch version of the Stroop test (modified after Stroop\textsuperscript{25}), trailmaking A and B,\textsuperscript{18} word fluency for animals and professions (norms from Luteijn and Van der Plouw\textsuperscript{3}), the Wisconsin card sorting test,\textsuperscript{5} and the Tower of London test (modified after Shallice\textsuperscript{26}). This array of tests was chosen to assess the different functions ascribed to the intact prefrontal cortex—namely, inhibition of a prepotent response, or shifting abilities (Stroop and trailmaking tests, Wisconsin card sorting); semantic organisation (category fluency); and planning of a sequence of steps (Tower of London test).

NEUROIMAGING METHODOLOGY
Brain CT was performed in 1983, three years after surgery. Brain MRI at 1.5 Tesla involving T1 weighted and T2 weighted axial, coronal, and parasagittal slices and PET using \textsuperscript{99}Tc-HMPAO were performed 16 years after onset of the symptoms. Electroencephalographic recordings were made both in 1983 and 1994.

Results
CASE REPORT
In 1980 our patient, a 44 year old white man, was referred to a general hospital for routine surgery involving lumbar disk herniation. The procedure was uneventful and no postoperative complications occurred. His wife, however, immediately noted behavioural changes postoperatively. At the time of surgery, he owned a flourishing company selling flower bulbs, and occupied many board positions in his field of work, but was unable to keep up these activities after the operation. He is currently doing odd jobs at his company which is now run by his wife. He is still a hard worker, and when left to do simple straightforward labour, he will carry on for 11 hours at a stretch without complaints of fatigue or boredom and without pausing to eat or drink. His wife describes this and other behaviours as inflexible. When asked to perform a certain task—for example, washing up, he will carry it out being perfectly able to focus on it, but is unable to cope with interventions. He will only perform labour when explicitly asked to do so. When left to himself, he will tend not to initiate any activity. Having been a lively and creative character, involved in sports and described as a great family man, he had become apathetic and lethargic. His wife describes how he can be found sitting in his bedroom on his own, when his family is downstairs on social evenings. This behaviour seems not to arise from discontent or anger, but from disinterest. He shows a remarkable lack of facial and verbal expressiveness and initiative, but is perfectly compliant. He has lost sexual interest, and often falls asleep when watching television. He complains of a short span of attention and he no longer reads, because “it takes him too long to finish”, he forgets errands and he sometimes loses the gist of what he was saying.

In addition, he and his family complain of deficient memory. Indeed, though he has met his consulting neurologist (PS) and neuropsychologists (JL and YDvdW) often over the past few years, he fails to remember their names despite recognising their faces. By contrast, his memory for the past seems well preserved and he is still valued for his expert knowledge concerning taxonomy and maintenance of flower bulbs. During the the past 17 years, his condition has changed little.

CLINICAL RESULTS
Neurological examination and routine laboratory testing disclosed no abnormalities, although speech was slightly hypophonic. Tendon reflexes were brisk, both plantar reflexes being flexor. Extrapyramidal signs were absent. Tactile perception of objects with the dominant and non-dominant hand was undisturbed and by contrast with most tests, performed quickly. The mini mental state examination score was 25 out of 30. Although impaired, the score was above the cut off for dementia.\textsuperscript{18} Items missed
were the recall of three words, repetition, and one item of orientation. On the cognitive screening test, our patient obtained a near perfect score of 19 (max 20) which is not indicative of cognitive decline.

**NEUROPSYCHOLOGICAL RESULTS**

The AAT excluded the presence of aphasia with a certainty of 70.3%. Speech was slightly slow; verbal output in response to standardised questions in the AAT was measured to be 91 words/minute, placing our patient in the low normal range. On the hand preference questionnaire a maximum of 10 was obtained, a score shared by 89.4% of right handed controls and considered to indicate “extreme right handedness”\(^1\); scores of ≥8 are reached by 98.3% of right handed controls. Simple motor reaction times to auditory and visual stimuli using either the dominant or the non-dominant hand were not significantly different from those obtained by healthy control subjects.

The three subtest version of the Groninger intelligence test (GIT) indicated an IQ of 58. However, socioeconomical background and education (10 years of formal education) indicated a score between 100 and 105.

Recall of 15 unrelated words disclosed an immediate recall score falling below the 10\(^{th}\) percentile of scores obtained by controls; retention of stimulus words after 30 minutes was at the level expected on the basis of the immediate recall, indicating a normal rate of forgetting. His recognition score, although defective compared with age matched healthy controls, nevertheless showed that a significant proportion of the words could still be recognised. Likewise, in the visual association learning task, an unintentional memory task employing automatic encoding,\(^2\) no evidence of anterograde amnesia was obtained. In the first trial 11 of the total of 12 associations were recalled (cumulative percentage 70 for a group of neurological patients), in the second all 12 could be reproduced (cumulative percentage 100). After an interval of 30 minutes, the 12 associations could still be produced. The recognition memory test for faces rendered a score at chance level. Copy of the Rey figure could be reproduced (cumulative percentage 100). After an interval of 30 minutes, the 12 associations could still be reproduced.

The error pattern of the recognition measures indicated a preponderance of false negatives over false positives (oral learning test recognition trial 5 \(v\) 1; Rey recognition \(v\) 2) (table 1).

Conspicuously low scores on tasks measuring frontal and executive capacities were consistently obtained; the interference condition of the Stroop test could not be performed adequately due to an inability to repress reading out the printed words; although performance on the conditions I and II (reading and naming of colours respectively) were already slow, the additional slowness in

<table>
<thead>
<tr>
<th>Test</th>
<th>Measure</th>
<th>Raw scores</th>
<th>Statistics</th>
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<tbody>
<tr>
<td>Verbal learning test</td>
<td>5 trials:</td>
<td>No of words per trial: 2,3,5,4,9</td>
<td>10(^{th}) percentile</td>
</tr>
<tr>
<td></td>
<td>Delay (1h):</td>
<td>No of words: 4</td>
<td>50(^{th}) percentile</td>
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<tr>
<td></td>
<td>Recognition:</td>
<td>No of words: 24/30</td>
<td>1(^{st}) percentile</td>
</tr>
<tr>
<td>Rey complex figure</td>
<td>Copy:</td>
<td>No of correct elements: 27/36</td>
<td>14(^{th}) percentile</td>
</tr>
<tr>
<td></td>
<td>Delay (3'):</td>
<td>No of correct elements: 11,5/36</td>
<td>18(^{st}) percentile</td>
</tr>
<tr>
<td></td>
<td>Recognition:</td>
<td>No of correct elements: 12,5/36</td>
<td>14(^{th}) percentile</td>
</tr>
<tr>
<td>VALT</td>
<td>Two trials:</td>
<td>No of correct associations: 11/12, 12/12</td>
<td>70(^{th}) percentile</td>
</tr>
<tr>
<td></td>
<td>Delay (30'):</td>
<td>No of correct associations: 12/12</td>
<td>100(^{th}) percentile</td>
</tr>
<tr>
<td>RMT-faces</td>
<td>Recognition</td>
<td>No of correct faces: 25/50</td>
<td>1(^{st}) percentile</td>
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<tr>
<td>Digit span</td>
<td>Forward:</td>
<td>Span length: 6</td>
<td>42(^{nd}) percentile</td>
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<tr>
<td></td>
<td>Backward:</td>
<td>Span length: 3</td>
<td>25(^{th}) percentile</td>
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<td>Brown-Peterson:</td>
<td>0 s interval:</td>
<td>No correct: 5/5</td>
<td></td>
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<td></td>
<td>3 s:</td>
<td>No correct: 0/10</td>
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<tr>
<td></td>
<td>18 s:</td>
<td>No correct: 0/10</td>
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<thead>
<tr>
<th>Test</th>
<th>Measure</th>
<th>Raw scores</th>
<th>Statistics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stroop test (100 items/condition)</td>
<td>I: Colour reading</td>
<td>Duration: 60 s</td>
<td>&lt;10(^{th}) percentile</td>
</tr>
<tr>
<td></td>
<td>II: Colour naming</td>
<td>Duration: 113 s</td>
<td>&lt;10(^{th}) percentile</td>
</tr>
<tr>
<td></td>
<td>III: Colour/word</td>
<td>Duration: 347 s, errors: 20 uncorrected, 1 corrected</td>
<td></td>
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<tr>
<td>Trailmaking</td>
<td>A:</td>
<td>Duration: 49 s</td>
<td>50(^{th}) percentile</td>
</tr>
<tr>
<td></td>
<td>B:</td>
<td>Discontinued after 333 s</td>
<td>&lt;10(^{th}) percentile</td>
</tr>
<tr>
<td>Fluency</td>
<td>No of animals (60 s)</td>
<td>11</td>
<td>(r=36)</td>
</tr>
<tr>
<td></td>
<td>No of professions</td>
<td>6</td>
<td>(r=28)</td>
</tr>
<tr>
<td>WCST</td>
<td>No of professions</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>Tower of London</td>
<td>2, 3, and 4 step trials</td>
<td>No of errors</td>
<td>From 2 to 12 steps over criterion</td>
</tr>
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\(\text{WCST}\) = Wisconsin card sorting test

\(\text{VALT}\) = Visual association learning task; \(\text{RMT}\) = recognition memory test.
the interference condition was disproportional. Trail making part B was not completed because of an inability to grasp the principle of alternating letters and digits; scores on fluency measures fell between 1 SD and 3 SD short of the mean; on the classic frontal task, the Wisconsin card sorting test, the patient was unable to sort according to colour and therefore did not achieve a single category. On the Tower of London test, all trials were completed but the more complicated sequences only after trial and error placements, sometimes leading to sequences of 17 steps in trials with a minimum sequence length of five steps.

All tasks were performed at extremely low speed and instructions had to be repeated several times (table 2).

**NEUROIMAGING RESULTS**

Brain CT and radiography of the skull disclosed no abnormalities. Brain MRI showed some cortical and hippocampal gyral widening and a small lesion in the right thalamus. With the aid of stereotaxic atlases of the human thalamus,28 29 this lesion was judged by us, together with a team of neuroanatomical experts (see acknowledgements), to lie in the region of the dorsal caudal intralaminar nuclei (figure). The intralaminar nuclei are divided into a rostral group (centromedial, paracentral, central lateral, and rhomboid nuclei) and a caudal group (centre médian and parafascicular nuclei). The densest projections of the caudal intralaminar nuclei seem to involve the striatum, but there are also widespread projections to the cerebral cortex, most notably the frontal and parietal areas.30 Based on the appearance of the lesion on the T1 and T2 weighted sequences, it was judged to be a lacunar infarct. Tentatively, the infarct arose during surgery, possibly from hypoperfusion of the small vessels of the deep diencephalic structures, or from an embolism.

Brain SPECT disclosed a moderate hypoperfusion of the right frontal cortex, and EEG recordings showed diffuse slowness in the cortical and subcortical regions.

**Discussion**

**CLINICAL, NEUROPSYCHOLOGICAL, AND NEUROIMAGING DATA**

In this report, we describe a patient with a thalamic lesion in the region of the right intralaminar nuclei, resulting in a conspicuous
slowness, inflexibility, and lack of concentration. These effects are apparent both in the behavioural and cognitive domain. The second can be judged from, for example, the Brown-Peterson paradigm, Stroop test, and trailmaking test. In addition, there is ample evidence of...
trolateral nuclei. This patient showed a marked change in personality, displaying apathy and disinhibited speech. Non-verbal memory seemed affected, but verbal memory was not tested. Of the studies mentioning right-sided infarctions reviewed here, this is the only report in which the lesion overlaps with that described in our patient; however, the lesion is larger and encompasses other structures adjacent to the intralaminar region. Takamatsu et al described a woman with a right sided unilateral infarction in the anteromedial region of the thalamus, initially presenting with verbal and non-verbal anterograde memory deficits. The initial symptoms cleared however, and the patient showed a residual amnesia for non-verbal material only. By contrast with the reports mentioned above, and similar to our study, Baumgartner and Regard reported three patients with right sided infarctions of the thalamus, two of whom showed evidence of bilateral neuropsychological deficits. Unfortunately, no pictures or reconstructions of the lesions are presented and it is therefore impossible to judge whether the lesions are similar to the one described in the present paper. A paper by Daum and Ackermann showed a patient with a more anteriorly located right sided infarction. This patient displayed defects in verbal and non-verbal memory, in addition to mild signs of frontal dysfunction.

**EVIDENCE FOR INVOLVEMENT OF THE INTERNAL MEDULLARY LAMINA RATHER THAN THE DORSOMEDIAL NUCLEUS**

It may be argued on the basis of a report by Kritchevsky et al that a small infarction, involving 15% of the medial part of the right dorsomedial nucleus renders no effects in terms of memory or dysexecutive problems. This provides additional evidence for the idea that the infarction in our patient cannot be located in the dorsomedial nucleus although involvement of this nucleus might at first sight be suspected because of its proximity to the dorsal internal medullary lamina.

A paper by Shuren et al shows the neuropsychological profile of a patient with an infarction similar in size to the one described here. The authors located the lesion in the right thalamus, in the lateral part of the mediodorsal nucleus, just medial to the lesion shown by our patient. Again, the fact that in their patient there was no evidence of slowness, apathy, executive, or attentional disorders, lends further support to the notion that involvement of the mediodorsal nucleus in the patient described in the current paper cannot account for the cognitive profile.

**EFFECTS OF THALAMIC STROKE ON CORTICAL PERFUSION**

Our patient is unique because of the minute size of his lesion, affecting only part of the right intralaminar complex. The neuropsychological effects of this small diencephalic lesion exemplify the influence of the thalamic intralaminar activating mechanism on cerebral functioning. This is further corroborated by the finding of decreased blood flow in the ipsilateral frontal cortex of this patient. It has been described earlier that lesions in thalamic structures are associated with decreases in regional cerebral blood flow in cortical areas. The distribution of these decreases follows the topography of connectivity between the thalamus and cortical areas; lesions in the medial nucleus of the thalamus are associated with decreased flow in the frontal lobe. Larger lesions affect regional cerebral blood flow in the entire ipsilateral hemicosm. It is interesting that Levasseur et al pointed to a crucial role for the "non-specific" nuclei of the thalamus—that is, the midline and intralaminar nuclei, in diffuse cortical hypometabolism. The present is to our knowledge the first report of an infarction selectively involving part of the intralaminar complex giving rise to a hypoperfusion of the frontal cortex.

With regard to the bilateral neuropsychological effects of unilateral thalamic stroke it is interesting to note that Baron et al have shown that a unilateral lesion of the thalamus can cause hypoperfusion of the contralateral cortex, albeit slight. This was studied in patients undergoing electrocoagulation of the nuclei ventrolateralis and ventrointermedius for alleviation of uncontrollable tremor. In our patient, it is obviously not be determined whether the left cortex showed hypoperfusion in addition to the obvious hypoperfusion in the right frontal cortex as only postlesion perfusion data were available.

**Concluding remarks**

The present data, although from a single case, illustrate the effects of a small circumscribed area of the thalamus on cognitive functioning. Our data are in accord with those obtained with experimental animals, showing working memory deficits rather than reference memory deficits after selective lesions of the lateral intralaminar nuclei. These working memory deficits were similar to those found after lesions of the frontal cortex, but not to those seen after hippocampal ablation.

The midline and intralaminar nuclei of the thalamus have been shown to receive extensive brainstem innervation, and in turn project to the cerebral cortices in a topographical fashion. Specifically, the cortical targets of the nuclei in the caudally located part of the internal lamina consist mainly of the prefrontal and parietal cortices. Through concerted action of the various intralaminar nuclei, the thalamus is thought to coordinate the effects of the reticular formation on cerebral functioning. It is thought that the strategically located lesion in the right thalamus described here disconnects the activating brainstem areas from the neocortex. Support for a role of the intralaminar nuclei in attention demanding tasks comes from a report showing increased blood flow in this region when healthy subjects went from a relaxed awake state to a higher degree of activation. In the current case, the nuclei contained within the internal medullary lamina that subserve the activation of the prefrontal cortical networks are lesioned; the underactivation of the prefrontal cortex leads to the so
called executive disturbances that are found in the neuropsychological tests. This cognitive underaerial is different from the more specific cognitive disturbances seen after lesioning of the large nuclear masses of the thalamus.

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