Improvement of levodopa induced dyskinesias by thalamic deep brain stimulation is related to slight variation in electrode placement: possible involvement of the centre median and parafascicularis complex

Dominique Caparros-Lefebvre, Serge Blond, Marie-Pierre Feltin, Pierre Pollak, Alim Louis Benabid

Abstract

Objective—To define the reason why two teams using the same procedure and the same target for deep brain stimulation (DBS) obtained different results on levodopa induced dyskinesias, whereas in both, parkinsonian tremor was improved or totally suppressed.

Methods—Deep brain stimulation can replace lesions in the surgical treatment of abnormal movements. After 10 years of experience with DBS in Parkinson’s disease, a comparison of results between the teams of Lille (A) and Grenoble (B) was carried out, for as long as they used intraoperative ventriculography. Both teams aimed at the same target, the ventralis intermedius nucleus of the thalamus (VIM), but team A found a clear improvement of choreic peak dose dyskinesias, whereas team B did not consistently. Therefore all teleradioanatomical data of both teams were re-examined and compared with the therapeutic effects. Location of 99 monopolar electrodes of thalamic stimulation applied to treat parkinsonian tremor has been retrospectively measured (team A included 21 patients, 22 electrodes; team B included 52 patients, 74 electrodes). Peak dose levodopa dyskinesias were suppressed by DBS in all nine patients of team A, four of which were severely disabling. Only eight out of 32 patients from team B experienced a moderate (four) or clear (four) improvement of dyskinesias, whereas in the remaining 24 patients, dyskinesias were unchanged with stimulation.

Results—The mean centre of team A’s electrodes was on average 2.9 mm deeper, more posterior and medial than team B’s (t=8.05; p<0.0001). This does not correspond to the coordinates of the VIM, but seems to be closer to those of the centre median and parafascicularis complex (CM-Pf), according to stereotaxic atlases. Considering only the dyskinetic patients, significant differences were found in the electrode position according to the therapeutic effects on levodopa dyskinesias, but they were not related to the team membership. Improvement in levodopa dyskinesias was significantly associated with deeper and more medial placement of electrodes.

Conclusion—The retrospective analysis of patients treated with DBS using comparable methodologies provides important information concerning electrode position and therapeutic outcome. The position of the electrode is related to the therapeutic effects of DBS. The results support the hypothesis that patients experiencing an improvement of dyskinesias under DBS are actually stimulated in a structure which is more posterior, more internal, and deeper than the VIM, very close to the CM-Pf. These results are consistent with neuroanatomical and neurophysiological data showing that the CM-Pf is included in the motor circuits of the basal ganglia system and receives an important input from the internal pallidum. This suggests that the CM-Pf could be involved specifically in the pathophysiology of levodopa peak dose dyskinesias.

Keywords: deep brain stimulation; nucleus centromedianus and parafascicularis; Parkinson’s disease; levodopa induced dyskinesias

Deep brain stimulation (DBS) has become a widely accepted method for the treatment of Parkinson’s disease symptoms and concerns three major targets—namely, the nucleus ventralis intermedius (VIM), the internal part of the globus pallidus (GPI), and the subthalamic nucleus (STN). Since 1987, clinical evaluation of the effects of DBS has identified the VIM as an effective DBS target for tremor alleviation. Deep brain stimulation of the VIM is as effective as thalamotomy, but produces fewer adverse effects. The only postmortem case of long lasting DBS of the VIM failed to show neuronal lesions and suggested that the electrode was in fact in the lowest and most internal part of the VIM, very close to the centre median and parafascicularis complex (CM-Pf). Based on this, various targets could be considered, as the basal ganglia constitute a complex neuronal network the disruption of which at several sites could be effective in different movement disorders. However, this complex network involved in the pathophysiol-
ogy of movement disorders has only been schematically described, so that some key structures such as the CM-Pf have not been studied in detail. Thus, precise clinical analysis of DBS with spatial correlation is still necessary to assess the efficacy of putative targets. Clinical experience with DBS over a large group of patients has obviously proved a major effect on tremor, but other therapeutic effects of thalamic DBS reported previously, still remain to be related to subtle differences in electrode placement. Indeed team A (Lille group) has obtained an additional significant effect on peak dose levodopa induced dyskinesias, whereas team B (Grenoble group) did not report this as a major benefit of their practice. As their surgical methods were similar, particularly in the definition of target, using ventriculography in teleradiological conditions and Talairach’s method for stereotaxy, the spatial location of the electrodes could be compared. Assuming that the differential clinical effects of DBS between our two teams was the result of stimulating different neighboring nuclei, such as the VIM and the CM-Pf (which has been already used as a target for DBS\(^a\)), we re-examined the radioanatomical data of all patients of our two teams, who were stimulated with a monopolar electrode to treat parkinsonian tremor.

**Patients and methods**

**SURGICAL PROCEDURE**

Both teams, trained in the same Talairach’s methodology, used ventriculographic determination of the target, which was further validated by electrophysiological methods, such as stimulation and additional microrecordings (the microrecordings were performed by team B only). Patients were held in a Talairach frame, positioned at the focus of a biorthogonal tele x ray apparatus (in teams A and B, respectively 5 m and 3.5 m from the x ray source to the centre of the patient’s head, resulting in a magnification coefficient of 1.03 and 1.05). Ventriculographic landmarks (anterior (AC) and posterior (PC) commissures, top of the thalamus shown as the floor of the lateral ventricle, and midline of the third ventricle) were determined after injection of 6.5 ml Iopamiron (Schering). The target coordinates were determined following the Guiot scheme. The VIM target is 1 mm above the level of the AC-PC line, 3/12 of the AC-PC line ahead of the PC, the laterality is 1.3 mm plus half of the third ventricle width at the level of implantation. Both teams implanted their electrodes along the axis of the VIM nucleus with an angle in the sagittal plane which, from the Guiot’s scheme, can be calculated as \( \alpha = \arctg(4ht/ap) \) where \( ht \) represents the height of the thalamus and \( ap \) the intercommissural distance. The height of the thalamus was the distance from the AC-PC line to the lower limit of the lateral ventricle. However, in the frontal plane, team A used a trajectory at 5° to 10° from the midsagittal plane, to avoid the lateral ventricle, whereas team B went through this ventricle, in a plane parallel to the midsagittal plane. Then the differences of procedure between each team consisted only in electrode approach, introduced with double frontal and sagittal obliquity in team A and in simple sagittal obliquity in team B. Recording of cells bursting synchronously to tremor and observation of tremor suppression at a 130 Hz stimulation allowed optimal positioning of the electrode (Medtronic Inc, Minneapolis, MN, USA) which was then secured to the skull by dental cement (team B) or by a Straumann-Avery screw (team A). Final radiographs taken at the end of the procedure allowed precise measurement of the electrode position versus the ventriculographic Guiot’s landmarks. After a postoperative evaluation of efficacy using an external stimulator, a programmable pulse generator was implanted and connected to the electrode (ITREL I or II, Medtronic). The parameters of stimulation were not significantly different between both teams: intensity 1 to 3.75 V, pulse width 60 to 210 µs, frequency 130 Hz.

**ELECTRODE POSITION MEASUREMENTS**

Electrode position measurements were made by the same investigator (ALB) on the final radiographs, using the ventriculographic data. He was blinded as to the dyskinesia improvement with DBS. The electrode position was determined by the coordinates (in mm) of its lower and upper tips and its centre (the length of the active tip was 3.5 mm, the outer diameter 1.3 mm), versus the PC (anteroposterior coordinates expressed as 12ths of the AC-PC line for normalisation against individual variability of the AC-PC line length), the height above the AC-PC line (expressed in 1/8 of the height of the thalamus) and laterality from the midline of the third ventricle (expressed in mm without normalisation against an individual anatomical landmark).

**PATIENTS**

Teams A and B implanted respectively 21 and 52 patients with idiopathic Parkinson’s disease assessed according to previously defined criteria. All experienced disabling and persistent rest tremor despite antiparkinsonian drugs. Twenty two electrodes were implanted (bilateral implantation in only one patient) in team A and 74 in team B (bilateral implantation in 22 patients). The mean age of patients was 64 (SD 6.25) years in team A and 58.1 (SD 9.6) years in team B. The subgroup of patients with levodopa induced dyskinesias consisted of nine patients in team A and 32 patients in team B. They did not differ significantly from the other patients except for dyskinesias (mean age of 63 (SD 8.3) years and 58.4 (SD 9.7) years, respectively in teams A and B). A levodopa test performed before surgery in all patients, disclosed the two classic types of levodopa induced dyskinesias in this subgroup: the choreic peak dose type, and the dystonic onset and end of dose type.

**DYSKINESIA ASSESSMENT**

Dyskinesias were scored before and after surgery, every 6 months, during standardised acute...
anterior-posterior) in the two series. Statistical differences are calculated using the Student’s t test (AP = anterior-posterior).

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Levodopa tests, with repeated measurements of the motor part of the unified Parkinson’s disease rating scale (UPDRS). The rating scale used for dyskinesias was semiquantitative: 0=no dyskinesias; 1=mild and transient dyskinesias involving the distal part of one or two limbs; 2=moderate dyskinesias with social disability during more than 1 hour; 3=marked dyskinesias involving more than two limbs producing motor disability; 4=severe disabling dyskinesias, more than 8 hours a day.

**Data Processing**

The electrode position (lower tip, upper tip, centre) was compared in both groups, using the mean and SD and was graphically displayed showing the projection on the midplane and coronal plane perpendicular to the AC-PC line.

**Statistical Method**

Student’s t test was used to check the significance of differences between coordinates of the upper and lower electrode tips, and the centre of the electrodes.

**Results**

**Assessment of the Effect of DBS on Dyskinesias**

Choreic peak dose dyskinesias were prominent in all dystonic patients, and dystonic dyskinesias were found in four of team A. In the same group, during the levodopa test, the mean score of dyskinesias was 2.7 (SD 1) (range 1–4), whereas peak dose dyskinesias were suppressed by stimulation in all patients, with a posteffect lasting from 1 to several hours. The four patients of team A with onset and end of dose dystonic dyskinesias improved transiently but dyskinesias recurred after 3 to 6 months. The mean score of dyskinesias was 0.5 when DBS was applied, due to the persistence of moderate dystonic dyskinesias in three patients. Off period dystonia, seen in one patient before surgery, was not improved and even worsened after 2 years of stimulation. In team B, mild dyskinesias were found before surgery in a few patients (mean score<1), but as the disease progressed, 32 patients out of 52 experienced levodopa induced dyskinesias, which improved in only 19 under stimulation. This improvement was important in four, and moderate in four others. Eleven patients exhibited a mild improvement and 13 had no effect of DBS on their abnormal involuntary movements. Fourteen patients had off period dystonia which never improved with stimulation. In one patient of team B, a GPi stimulation was performed to treat disabling biphasic onset and end of dose dyskinesias, in addition to previous bilateral DBS of the VIM, which was still controlling totally the tremor on both sides.

**Anatomical Landmarks**

Both series (Grenoble and Lille) were comparable on the basis of the anatomical structures (mean width of the third ventricle respectively 6.48 (SD 2) mm and 6.34 (SD 1.9) mm; mean AC-PC length respectively 26.8 (SD 2) mm and 26.3 (SD 2.5) mm), except for the height of the thalamus (respectively 17.84 (SD 1.36) mm and 16.71 (SD 1.8) mm, t=2.86, p<0.01), which had no strong influence on the definition of the target at the level of the AC-PC line. The ratio “height of thalamus to length of the AC-PC” mainly determines the obliqueness of the VIM nucleus, but the usual placement of the electrodes was very close to the level of the AC-PC line. However, it might change the angle of the electrode approach during implantation and could account for differences when the active electrode tip goes below the intercommissural level. The height of the thalamus in the team A series could account for a more posterior position of the electrodes below the intercommissural line, and this was actually the case.

**Positions of All the Electrodes in the Two Groups**

For the centre of the electrode as well as for its upper and lower tips, the position of the electrodes was significantly different between teams A and B (table 1). The average coordinates of the centre of the electrodes were different in the three spatial directions between...
Improvement of levodopa induced dyskinesias by thalamic stimulation

Table 2  Mean values of the coordinates of the lower (inferior) tips of the electrodes in the dyskinetic subgroups from teams A and B segregated according to the improvement or absence of improvement

<table>
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<th>Subgroups with good effect on dyskinesias: centre of electrodes</th>
<th>Laterality</th>
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<th>Height</th>
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<td>Lille subgroup with AIMS improvement and Grenoble subgroup with no improvement</td>
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<td>Grenoble subgroups with AIMS improvement and no improvement</td>
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The two groups: the electrodes of team A were more medial, more posterior, and lower than those of team B (table 1). The average centre to centre distance between the two groups was 2.91 mm. The mean values of the coordinates of the upper and lower tips of these electrodes were still significantly different. Because team A had used a trajectory at 5°C to 10°C from the midsagittal plane in the frontal plane, their active tips were closer to the midsagittal plane as they went deeper, and in every case, their lower tips were even more medial (3.06 mm distance from team B mean centre of electrodes) than their upper tip (2.86 mm distance from team B mean centre of electrodes). The difference in laterality already seen between the centre of the electrodes was even greater when the lower tip was considered, whereas it was not significantly different for the upper tips. Upper and lower tip coordinates were significantly different in height above (team B) or below (team A) the intercommissural plane, whereas the anteroposterior coordinates were significantly different for the upper tips but not for the lower. This can be seen on the graphs showing the average centre of the electrodes with SD (fig 1).

Figure 2  Graphs of average position (with SD represented by rectangles) of the centre of electrodes in the dyskinetic patients improved by stimulation (same conventions as in fig 1).

The mean coordinates of upper and lower tips, and the centre of the electrodes, were not different in subgroups separated according to the occurrence (or not) of dyskinesias. All comparisons were then made on the lower tip position, to focus on the most active and most representative tips. Indeed, in team A, the mean coordinates were not different in the subgroup with dyskinesias in comparison with the whole team (respectively 13.2 and 13.3 mm apart from the midsline, 2.85 and 2.65 12ths of the AC-PC line in the anterior-posterior plane, −0.7 and −1.37 8th of the thalamus height with regard to the AC-PC line). The negative sign in height coordinates means that the electrode lower tips were below the AC-PC line. In team B, the mean coordinates were also identical between the subgroup with dyskinesias in comparison with the whole team. The comparison of subgroups confirmed that the improvement of dyskinesias depended on the electrode position. The subgroup with clear improvement in team B (n=8) did not differ from the same subgroup in team A (n=9). In team B’s patients experiencing a mild improvement (n=11), the laterality did not differ significantly, but the electrode was significantly higher than team A’s electrodes (height A=0.77, height B=−0.7; p<0.001). In team B’s patients with no improvement of dyskinesias (n=13), both the laterality and height were significantly different from team A’s patients (table 2). For team B, the electrode location in patients with a good improvement of dyskinesias (n=8) differed significantly in height from the subgroups with mild improvement (n=11) (respectively, the height was 0.07 and 0.77; p<0.01) or no improvement (n=13) (respectively, the height was 0.07 and 0.61; p<0.001). We also found a tendency suggesting that electrodes located at more than 14.5 mm apart from the midline had no effects on dyskinesias.
Discussion
The goal of our study was to assess a possible relation between electrode location and clinical outcome, to identify a possible target which would combine the effect on tremor (as obtained on the VIM) and on levodopa induced dyskinesias (as obtained on the GPi). Indeed, we have retrospectively found that a slight variation in the electrode location, placed 2 to 3 mm deeper and more internal, was significantly associated with the favourable effect on levodopa dyskinesias. This result was obtained by comparing patients with improved versus unimproved levodopa induced dyskinesias between team A and team B and within team B. Deep brain stimulation has the unique property of being spatially specific, due to the limited extent of the spreading of current. This spatial selectivity can even be modulated up or down by a careful adjustment of the intensity of current stimulation, which is not possible in ablative procedures, in which the lesion is fixed in size and permanent. However, the brain volume affected by the electrical current spreading is not precisely defined. The unique technical difference between the two teams, working with the same Talairach’s method, is the double sagittal and frontal obliquity of team A’s approach which on going deeper allowed the involvement of nuclei more medially located—such as the CM-Pf—to be reached (fig 3), where satisfactory effects were observed on tremor. This may account for a specific effect on dyskinesias. Team B, when going deeper, left the VIM to enter the internal capsule where the antitremor effect was missing and where side effects occurred. At this point, they did not proceed further.

The questions raised by our results are: (1) where are the electrodes improving both tremor and levodopa dyskinesias, and do they really stimulate the CM-Pf whereas those of patients with no improvement of dyskinesias could be more centred on the VIM nucleus? (2) would it make sense according to the pathophysiology of movement disorders?

1. Cytoarchitectonic data obtained from the unique postmortem case, operated on by team A, had confirmed that the active tip was in the deepest and most internal part of the VIM, very close to the CM-Pf. The mean coordinates of electrodes improving dyskinesias (at least at the upper tips) are also consistent with those of most schematic representations of the CM-Pf. The CM-Pf outlines have been determined either stereotactically or on cytoarchitectonic delineations by Talairach23 and Watkins,24 Van Buren and Burke25 and May et al.26 The last authors showed that the CM-Pf terminates 2 mm below the AC-PC line at the anteroposterior level of the electrodes (2 or 3 12ths of the AC-PC line). The posterior, medial, and deep location of team A’s electrodes was obviously far away from the coordinates of the more anterior thalamic nucleus receiving pallidal afferents (ventral oralis nucleus (VO)). Lesioning simultaneously both the VIM and the VO had been performed previously by Narabayashi et al and seemed to be necessary to improve all types of dyskinesias,27 as did pallidotomy. Based on this experience, we could have expected that the electrodes improving dyskinesias would be located more anteriorly than those failing to improve dyskinesias. Actually, we found the opposite. Because the CM-Pf and VO receive common projections from the GPi, the CM-Pf seems a good candidate target according to morphological and anatomical data.27 It is inconceivable to suggest that the motor posterior, deep, and internal electrodes could control dyskinesias by VO inhibition, when electrodes nearer to the VO did not.

2. Our hypothesis of CM-Pf involvement would make sense with regard to the pathophysiology of levodopa induced dyskinesias. Various hypotheses have tried to explain the consecutive occurrence of dystonic dyskinesias followed by choreic dyskinesias during levodopa test, without any certainty.21 Increased sensitivity of dopaminergic receptors, due to striatal denervation, could modify the neuronal activity at the efferent site, along the basal ganglia network. Both types of dyskinesias probably result from abnormal activity of different loops.28 The Nauta-Mehler circuit is in size the second efferent/afferent pathway connecting the CM-Pf and the GPi.29 The GPi is known to be involved in levodopa dyskinesias as its suppression of function (by destruction or inhibition) suppresses levodopa induced abnormal...
Improvement of levodopa induced dyskinesias by thalamic stimulation

...thalamus. They show the possible effects of DBS on the CM-Pf (or related afferents) on parkinsonian tremor, which has been suggested previously by Andy. This result also raises the following question: does it act by CM-Pf inhibition itself, or is it due to a spreading of current to the VIM? The pathogenesis of tremor is poorly understood, despite several electrophysiological studies in both animals and humans. Albe-Fessard et al., Lenz et al., Lamarre et al., Ohye et al., and many others have identified rhythmic activities, synchronous with tremor, and produced by thalamic neurons, particularly in the VIM. Their origin is unknown and abnormal bursts synchronous with tremor are recorded in most nuclei of the basal ganglia. Tremor suppression, either due to VIM thalamic stimulation or to levodopa treatment induces a reduction of regional cerebral blood flow, not only in the premotor and motor cortex but also in the striatum and in cerebellar deep nuclei. By another route, the effect of anticholinergic drugs on tremor has been shown to be related to an inhibition of small cholinergic neurons connecting striatal neurons, but their functional role, though shown in rats, has not been assessed in humans as they are morphologically different and represent only 1% of striatal neurons. More recently, another cholinergic pathway involved in motor processes has been described emerging from the pedunculopontine nucleus and projecting to the parafascicular part of the CM-Pf. Anticholinergic drugs could then act as this level rather than at the thalamus and adjacent structures. A variability study. A. DiSSERTATIONS IN THE HUMAN THALAMUS. ACTA NEUROCHIRURGICA. 1989;26:290–7.

... basalganglia and thalamus. Patients experiencing an improvement of both tremor and levodopa induced dyskinesias are supposed to receive the stimulation whereas CM-Pf (or at least CM-Pf afferents and the VIM afferents simultaneously). As a result, a potential new target for DBS has emerged which is based on clinical experience and observation.


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