Pattern of premature degenerative changes of the cervical spine in patients with spasmodic torticollis and the impact on the outcome of selective peripheral denervation

S J Chawda, A Münchau, D Johnson, K Bhatia, N P Quinn, J Stevens, A J Lees, J D Palmer

Abstract

Objectives—To characterise the pattern of and risk factors for degenerative changes of the cervical spine in patients with spasmodic torticollis and to assess whether these changes affect outcome after selective peripheral denervation.

Methods—Preoperative CT of the upper cervical spine of 34 patients with spasmodic torticollis referred for surgery were reviewed by two radiologists blinded to the clinical findings. Degenerative changes were assessed for each joint separately and rated as absent, minimal, moderate, or severe. Patients were clinically assessed before surgery and 3 months postoperatively by an independent examiner using standardised clinical rating scales. For comparison of means a $t$ test was carried out. To determine whether an association exists between the side of degenerative changes and type of spasmodic torticollis a $\chi^2$ test was used. Changes in severity, disability, and pain before and after surgery were calculated using a Wilcoxon matched pairs signed ranks test.

Results—Fourteen out of 34 patients had moderate or severe degenerative changes. They were predominantly found at the C2/C3 and C3/C4 level and were significantly more likely to occur on the side of the main direction of the spasmodic torticollis ($p=0.015$). There was no significant difference in age, sex, duration of torticollis, overall severity, degree of disability, or pain between the group with either no or minimal changes and the group with moderate or severe changes. However, in the second group the duration of inadequate treatment was longer (10.1 ± 4.8 years; $p=0.009$), head mobility was more restricted ($p=0.015$), and head tremor was more severe ($p=0.01$). At 3 months postoperatively, patients with no or minimal degenerative changes showed a significant improvement in pain and severity whereas no difference was found in those with moderate or severe changes.

Conclusions—Patients with spasmodic torticollis have an increased risk of developing premature degenerative changes of the upper cervical spine that tend to be on the side towards which the head is turned or tilted and compromise outcome after surgery. Effective early treatment of spasmodic torticollis with botulinum toxin seems to have a protective effect. Patients with spasmodic torticollis and restricted head mobility who do not adequately respond to treatment should undergo imaging of the upper cervical spine. Patients with imaging evidence of moderate or severe degenerative changes seem to respond poorly to selective peripheral denervation.

Keywords: osteoarthritis; spasmodic torticollis; computed tomography; selective peripheral denervation

Idiopathic spasmodic torticollis is the most common form of adult onset focal dystonia. It is characterised by repetitive or sustained contractions of neck muscles that lead to an abnormal posture of the head and neck. Depending on the pattern of muscular activity the head is rotated, tilted, flexed, or extended but often there is a combination of these movements. Spasmodic torticollis often causes pain, interferes with daily activities, and can be very socially disabling. Secondary problems include dysphagia and impairment of balance.

Non-physiological repetitive head and neck movements can stress the joints in the cervical spine. Cervical spondylosis with resulting radiculopathy or myelopathy has been reported in patients with generalised dystonia and, rarely, in patients with spasmodic torticollis. It has also been noted that degenerative changes in patients with generalised dystonia tend to occur prematurely. So far no systematic study considering this problem using modern imaging methods has been carried out.

We investigated 34 patients with spasmodic torticollis who were referred for surgery (selective peripheral denervation (SPD)) because of primary resistance to or secondary failure of botulinum toxin (BT) treatment. In all patients CT of the cervical spine from the occipitocervical junction down to the mid/upper cervical spine was carried out mainly to look for craniovertebral abnormalities that may not have been apparent on plain radiographs such as os odontoideum and rotatory atlantoaxial dislocation. Plain radiographs of the cervical spine were not always available to us; therefore degenerative changes were only assessed on
A total of 34 patients with spasmodic torticollis, all of whom had received BT injections in the past, were referred for consideration of SPD surgery over the period 1997–8. The interval between the last botulinum toxin (BT) injection and the investigation before surgery was at least 4 months in all patients and often more than 6 months. Patients were referred for surgery because of either primary or secondary failure of BT treatment. Patient characteristics are given in tables 1 and 4. Of the 34 patients referred 22 underwent surgery. They were all examined again 3 months after surgery.

In addition to a detailed history from each patient, medical notes were studied to determine the documented response to BT injections over the years. Patients were classified as having predominantly torticollis (head rotation in the horizontal plane), laterocollis (head tilt in the coronal plane), or anterocollis or retrocollis (head flexion or extension). This was done taking into account the primary dystonic position and the degree of restriction of head movements. For instance, a patient with a combination of head rotation to the right and tilt to the left was labelled primarily right torticollis when left rotation was more restricted than right tilt and vice versa. Severity at the time of the investigation was determined using the Toronto Western Spasmodic Torticollis Rating (TWSTR) severity scale. This scale takes into account the dystonic position of the head, the effectiveness of any sensory trick, and how long the patient can keep the head in a straight position and estimates the degree of head mobility and position of the shoulder. The maximum severity on this scale is 35.

To further characterise restriction of head and neck movements we used a goniometer to measure the degree of head mobility opposite to the direction of the dystonic head position—for example, contralateral tilt in a patient with laterocollis. Firstly, patients were asked to actively tilt their head in the direction opposite to the main dystonic position; secondly, the head was moved passively. If the patient was able to actively or passively tilt the head less than 5 degrees past the midline a score of 0 was given. The rating was 5, 4, 3, 2, 1, or 0 if the contralateral tilt was at best 10, 15, 20, 25, and 30 degrees or more than 30 degrees, respectively. For contralateral active or passive rotation the rating was as follows: 6=contralateral rotation of less than 10 degrees, 5=contralateral rotation possible up to 20 degrees, 4=up to 30 degrees, 3=up to 40 degrees, 2=up to 50 degrees, 1=up to 60 degrees, and 0=more than 60 degrees. To assess the overall restriction of

### Table 1  Spasmodic torticollis patient characteristics

<table>
<thead>
<tr>
<th>Type of ST</th>
<th>n</th>
<th>Sex (M:F)</th>
<th>Median age (y (range))</th>
<th>Median duration (y (range))</th>
<th>Severity* (mean (SD))</th>
<th>Primary failure</th>
</tr>
</thead>
<tbody>
<tr>
<td>R Torticollis</td>
<td>5</td>
<td>3:2</td>
<td>54 (32–57)</td>
<td>12 (9–18)</td>
<td>20.2 (5)</td>
<td>3</td>
</tr>
<tr>
<td>L Torticollis</td>
<td>11</td>
<td>4:7</td>
<td>49 (30–60)</td>
<td>11 (2–26)</td>
<td>20 (4.2)</td>
<td>3</td>
</tr>
<tr>
<td>R Laterocollis</td>
<td>6</td>
<td>3:3</td>
<td>52 (44–59)</td>
<td>12 (7–22)</td>
<td>19.5 (6)</td>
<td>1</td>
</tr>
<tr>
<td>L Laterocollis</td>
<td>6</td>
<td>1:5</td>
<td>50 (38–66)</td>
<td>16 (4–21)</td>
<td>22.3 (1.6)</td>
<td>1</td>
</tr>
<tr>
<td>Anterocollis</td>
<td>1</td>
<td>1:0</td>
<td>53</td>
<td>17</td>
<td>16</td>
<td>1</td>
</tr>
<tr>
<td>Retrocollis</td>
<td>5</td>
<td>4:1</td>
<td>56 (23–59)</td>
<td>10 (3–37)</td>
<td>21 (4.1)</td>
<td>1</td>
</tr>
<tr>
<td>Total</td>
<td>34</td>
<td>16:18</td>
<td>53.5 (23–66)</td>
<td>11.5 (2–37)</td>
<td>20.4 (4)</td>
<td>6</td>
</tr>
</tbody>
</table>

*As determined by the TWSTRS. R=right, L=left.
Pattern of degenerative change in spastic torticollis

Table 2  Radiological findings in 14 spasmodic torticollis patients with moderate to severe degenerative changes

<table>
<thead>
<tr>
<th>Type of ST</th>
<th>AO JT</th>
<th>AA JT PEG</th>
<th>AA JT LM</th>
<th>C2/3 FACETS</th>
<th>C2/3 U/C FACETS</th>
<th>C4/5 U/C FACETS</th>
</tr>
</thead>
<tbody>
<tr>
<td>R Torticollis</td>
<td>0 0 1</td>
<td>0 0 2</td>
<td>0 0 0</td>
<td>0 0 1</td>
<td>0 0 0</td>
<td>0 0 0</td>
</tr>
<tr>
<td>L Torticollis</td>
<td>0 0 1</td>
<td>0 0 2</td>
<td>0 0 0</td>
<td>0 0 1</td>
<td>0 0 0</td>
<td>0 0 0</td>
</tr>
<tr>
<td>R Laterocollis</td>
<td>0 0 3</td>
<td>0 0 0</td>
<td>0 0 0</td>
<td>0 0 1</td>
<td>0 0 0</td>
<td>0 0 0</td>
</tr>
<tr>
<td>L Laterocollis</td>
<td>0 0 2</td>
<td>0 0 1</td>
<td>0 0 0</td>
<td>0 0 1</td>
<td>0 0 0</td>
<td>0 0 0</td>
</tr>
<tr>
<td>Retrocollics</td>
<td>0 0 1</td>
<td>0 0 0</td>
<td>0 0 0</td>
<td>0 0 1</td>
<td>0 0 0</td>
<td>0 0 0</td>
</tr>
</tbody>
</table>

R=Right; L=left; AO=atlanto-occipital; AA=atlatnoaxial; LM=lateral masses; JT(S)=joint(s); U/C=uncovertebral
—=not scanned; 0=normal; 1=minimal degenerative change; 2=moderate degenerative change; 3=severe degenerative change

Table 3 Side of (moderate or severe) degenerative changes in the cervical spine below C2 in patients with torticollis or laterocollis (n=12; note that 1 patient with left laterocollis had bilateral changes)

<table>
<thead>
<tr>
<th>Type of spastic torticollis</th>
<th>Right</th>
<th>Left</th>
</tr>
</thead>
<tbody>
<tr>
<td>R torticollis or laterocollis</td>
<td>5 1</td>
<td>6 1</td>
</tr>
<tr>
<td>L torticollis or laterocollis</td>
<td>1 6</td>
<td>— —</td>
</tr>
</tbody>
</table>

R=Right; L=left; p = 0.015 (Fisher’s exact test).

head mobility both ratings were added so that patients could score from 0–12.

Disability and pain were assessed using the disability and pain subscales of the TWSTRS.

Severity of head tremor was scored according to the validated clinical rating scale proposed by Bain et al which defines four main categories: no tremor (0), noticeable but mild tremor (1–3), moderate tremor, the tremor may be bothersome to the patient but does not lead to significant functional impairment (4–6), severe tremor present in all head positions (7–9), and extremely severe (10).

STATISTICAL ANALYSIS

For comparison of means of independent samples a t test was carried out. To determine whether an association between primary BT treatment failure and occurrence of degenerative changes exists and whether the side of degenerative changes is associated with the type of spasmodic torticollis a χ² test was used (for small sample size Fisher’s exact test was used). Changes in severity, disability, and pain before and after surgery were calculated using Wilcoxon matched pairs signed ranks test.

Results

There were no patients with an anomaly of the craniovertebral junction in our study. Degenerative changes on CT that was carried out in all 34 patients were either absent or minimal (20 patients) or moderate or severe (14 patients, table 2). Cervical spine MRI was performed on the same day as the CT and none showed evidence of a myelopathy.

We grouped patients according to the level and side of degenerative changes seen on CT. From a functional point of view the cervical spine can be divided into a more mobile upper segment (occipital-C1 and C1-C2 joints) and a lower less mobile segment (C2/C3 facet/uncovertebral joints and below). We grouped degenerative changes in patients accordingly. In all 14 patients with moderate to severe degenerative disease, changes occurred at C2/C3 and below. Five of these patients also had moderate or severe disease above the C2/C3 level, four of whom had significant changes in the C1 arch-peg articulation only (one patient with right laterocollis, two with left laterocollis, and one with left torticollis as their main component respectively), and one patient (with right laterocollis) had changes in the C1 arch-peg and right C1/C2 lateral mass articulation.

Unilateral degenerative changes at C2/C3 and below were significantly more likely to occur on the side of the main direction of spasmodic torticollis: patients with left torticollis or laterocollis were more likely to have left sided degenerative changes and vice versa (table 3). The few patients with moderate or severe disease at the OC1C2 articulations precluded statistical analysis.

We compared the two groups of patients with none or minimal or moderate or severe degenerative changes (table 4). There was no significant difference in age, sex, duration of...
torticollis, overall severity, degree of disability, or amount of pain (before surgery) as determined by the TWSTRS. However, the duration without adequate treatment differed significantly between the groups. In other words, patients with moderate or severe changes either had a longer interval between onset of symptoms of spasmodic torticollis and start of effective treatment (usually BT injections) or an overall shorter lasting response to BT treatment. Among the moderate or severe change group there was a higher proportion of patients with primary failure of BT treatment (six out of 14) than in the none or minimal degenerative change group (3 out of 20), but the difference was not significant. However, the proportion of patients with primary BT treatment failure in the moderate or severe group was significantly greater than the expected proportion of primary BT failures in a non-selected group with spasmodic torticollis (less than 10%)* determined by the TWSTRS. However, the dural sclerosis, and osteophytosis on plain films, CT, and MRI and is strongly associated with age. The degree of degenerative changes encountered is more than would be expected in a patient group with a mean age of 50 years. Premature spondylosis has been documented in generalised dystonia but there is little information on patients with spasmodic torticollis, particularly with regard to primary or secondary failure of BT treatment.

Spondylositic changes in the cervical spine usually occur between C3 and C7 but not above C3. In normal aging the C5/6 uncovertebral joints are most often involved, followed by those at C6/7. Changes in the facet (zygapophyseal) joints usually occur at the C3/4, C4/5 and C5/6 levels. Overall, the C2/3 level is the least often affected, by contrast with our findings in patients with spasmodic torticollis. The pattern of degenerative changes we found in patients with spasmodic torticollis has previously been described by others and has also been noted in patients with generalised dystonia.

Functional anatomy might help to explain the occurrence of degenerative changes predominantly in the upper cervical segments in patients with spasmodic torticollis. The C2/3 intervertebral joint is a transitional area situated between the upper cervical spine, where there is little flexion or extension and most rotation, and the lower cervical spine, where sagittal plane motion predominates (C5/6 having the greatest range) as well as some rotation. Nearly 50% of axial rotation occurs at the C1/C2 articulations. There is no or minimal axial rotation at the OC1 articulations and negligible lateral flexion at the C1/C2 articulations. It has been shown that rotation of the C1/C2 segment does not decrease with age but increases slightly to compensate for the overall decreased motion that occurs in the lower segments. It is therefore likely that the continuous abnormal and complex head movements that occur in spasmodic torticollis strain the upper cervical spine articulations more than the lower cervical spine, as there is less mobility at lower levels. Moreover, coupling of motion in the spine seems very important in spasmodic torticollis. For example, lateral flexion of the neck to the left will result in rotation of the cervical vertebrae to the left with the spinous process moving to the right. Hence, if patients with

Table 5 Outcome after surgery

<table>
<thead>
<tr>
<th></th>
<th>ST without or only minimal degenerative changes</th>
<th>ST with moderate or severe degenerative changes</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Before (n=20)</td>
<td>After (n=14)</td>
</tr>
<tr>
<td>Mean (SD) severity*</td>
<td>21.2 (3.8)</td>
<td>15.6 (4.8)</td>
</tr>
<tr>
<td>Mean (SD) disability*</td>
<td>14.6 (6.4)</td>
<td>13.2 (8.1)</td>
</tr>
<tr>
<td>Mean (SD) pain*</td>
<td>11.5 (5.1)</td>
<td>7.3 (5.6)</td>
</tr>
<tr>
<td>Mean (SD) head tilt restriction score</td>
<td>2.5 (2)</td>
<td>1.8 (2.2)</td>
</tr>
<tr>
<td>Mean (SD) head turn restriction score</td>
<td>1.7 (2.1)</td>
<td>0.8 (1.4)</td>
</tr>
<tr>
<td>Mean (SD) overall head movement restriction score</td>
<td>4.2 (3.1)</td>
<td>2.9 (3)</td>
</tr>
<tr>
<td>Mean (SD) tremor severity</td>
<td>1.4 (1.8)</td>
<td>1.4 (2.1)</td>
</tr>
</tbody>
</table>

* Determined by the TWSTRS.

**Discussion**

The most striking finding of this study is the occurrence of moderate to severe degenerative changes in the C2/3 facets in 14 out of 34 patients with spasmodic torticollis. Osteoarthritis in the cervical spine presents with joint narrowing, obliteration of joint space, subchondral sclerosis, and osteophytosis on plain films, CT, and MRI and is strongly associated with age. The degree of degenerative changes encountered is more than would be expected in a patient group with a mean age of 50 years. Premature spondylosis has been documented in generalised dystonia but there is little information on patients with spasmodic torticollis, particularly with regard to primary or secondary failure of BT treatment.
spasmodic torticollis have an abnormal posture for prolonged periods, this may result in more complex and asymmetric joint strain than would happen if the head spent more time in the neutral position.

Degenerative changes in the upper cervical spine tended to be unilateral. In patients with moderate to severe degenerative changes, the side of arthritic changes was significantly associated with the direction of spasmodic torticollis (for example, patients with left torticollis or left laterocollis had predominantly left sided degenerative disease and vice versa). Abnormal motion results in increased stress, particularly at joint margins, which is likely to be greater on the side towards which the head is tilted or rotated, accelerating degenerative changes on this side. Levine et al. studied plain radiographs of the cervical spine of patients with spasmodic torticollis and also found that spondylosis was greater on the side to which the head rotated.

Overall, it seems plausible that head position and sustained non-physiological stress on joints facilitates degenerative disease. However, degenerative changes were either absent or mild in 20 out of 34 patients in this selected series of patients with spasmodic torticollis. Surprisingly, neither age nor overall duration of spasmodic torticollis differed between the two groups. However, a significant difference was found with respect to duration of inadequate or insufficient treatment and occurrence of degenerative changes. Thus, in the group with moderate or severe changes the interval between onset of symptoms and the start of effective treatment was significantly longer than in the none or minimal group. Similarly, six out of the 14 patients in the moderate or severe group were primary BT injection failures and consequently never had adequate treatment. This proportion of primary BT injection failures was significantly higher than expected in a non-selected group of patients with spasmodic torticollis in which primary failure to BT injections is usually less than 10%. Effective treatment with BT may, probably due to improvement of head posture, protect patients from developing premature cervical spondylosis.

Although overall severity on the TWSTR scale did not differ between the two groups, patients in the moderate to severe group had some distinguishing clinical features. Firstly, using a validated rating scale, their head tremor was significantly more severe. Tremulous head movements in spasmodic torticollis are often jerky and complex and could strain cervical joints considerably, particularly in the mobile transition zone of C2/3 between the upper and the lower cervical spine, which may promote the formation of osteophytes. Dystonic head tremor often responds less well to BT treatment and this also may put patients with head tremor at higher risk of developing degenerative changes. Secondly, head mobility was significantly more restricted in patients in the moderate to severe group. This finding is probably a consequence of underlying osteoarthritis that in advanced stages will inevitably restrict movement. On the other hand, it might also be argued that a fixed abnormal position may be a risk factor for the development of degenerative cervical spine disease. Sometimes patients develop a relatively fixed head posture shortly after onset of the disease—for example, in acute onset post-traumatic torticollis after minor trauma that usually starts several days and sometimes weeks after the trauma, in post-traumatic torticollis after cervical spine injury, or occasionally in tardive dystonia (personal observation). These patients may have an increased risk of developing premature osteoarthritis. Interestingly, three patients with severe degenerative changes had a history of acute onset post-traumatic torticollis, severe cervical spine injury, and tardive dystonia respectively, but only one patient with mild degenerative changes had a history of acute onset after trauma (table 4). However, these numbers are too small to draw firm conclusions.

Three out of 20 and two out of 14 patients in the none or minimal and moderate or severe group respectively gave a history of mild head or neck trauma several months before the onset of dystonic symptoms (table 4), a proportion that is in keeping with reports from the literature. However, trauma referred to as delayed onset post-traumatic torticollis, but clinically these patients are indistinguishable from patients with spasmodic torticollis who do not report a history of trauma. A history of minor trauma itself does not seem to be a risk factor for developing cervical spondylosis.

Do the degenerative changes we have found in the upper cervical spine matter? Severe myelopathy or radiculopathy caused by degenerative changes in the upper cervical spine can occur in generalised dystonia. However, no patient in our series had myelopathy and clinically there was no detectable weakness in cervical myotomes.

On the other hand compression of the C1/C2 roots could cause sensory symptoms in the C2 dermatome. We did not find sensory loss in the C2 dermatome before surgery in any of our patients but occipital and nuchal pain was a common complaint. Pain is often encountered in spasmodic torticollis and often involves overactive dystonic muscles in the neck. Apart from one patient with severe degenerative changes who reported constant nagging occipital pain starting shortly after a traction manoeuvre which could be interpreted as C2 radiculopathy, we were unable to recognise a difference in the quality, location, or behaviour of pain in the two groups of patients. Also, their ratings on the TWSTR pain scale were similar before operation. However, our measures may not have been sensitive enough to discern subtle differences in the character of the pain between the groups. The fact that pain significantly improved after peripheral denervation in the none or minimal group but not in the moderate or severe degenerative change group could indicate that pain in the second group is indeed partly caused by osteoarthritis rather than purely by dystonic muscular spasms. However, as the number of patients...
operated on with severe degenerative disease is small, these results must be interpreted with caution. Recently Kutvonen et al., assessing patients with spasmodic torticollis for the presence, quality, and location of pain using different established pain measurements, found no association with degenerative changes of the cervical spine.

It seems that osteoarthritis compromises treatment outcome as it impairs neck mobility. The proportion of primary BT failures was high in patients with moderate or severe degenerative changes and surgical outcome was unsatisfactory. Three months after surgery, when possible reinnervation that could limit benefit would not be expected to have occurred, patients with no or mild degenerative changes had a significant improvement of severity of torticollis and associated pain. However, in patients with moderate or severe changes none of the outcome variables had improved. Whereas postoperative neck mobility tended to improve in patients with mild osteoarthritis, this was not the case in patients with moderate or severe changes.

In a study of 242 patients with spasmodic torticollis, Jankovic and Schwartz57 found that poor treatment response after BT injections was associated with a long duration of disease before starting BT injections, and pointed out that these non-responders often had restricted head mobility. These features match the characteristics of our patients with marked degenerative changes in the cervical spine, which implies that osteoarthritis may limit response to treatment with BT, and also with SPD. Detailed imaging assessment of degenerative changes in the cervical spine may assist in selecting patients likely to benefit from BT and SPD treatment. Although plain radiographs with lower associated radiation dosage, might prove equally useful in separating patients with no or mild disease from those with moderate or severe degenerative disease, CT is necessary to confidently exclude some craniovertebral anomalies and is our investigation of choice in patients with spasmodic torticollis considered for surgery, or with a history of fixed, post-traumatic, or sudden onset torticollis.

Conclusions

Patients with spasmodic torticollis are at risk of premature degenerative changes in the upper cervical spine that tend to be on the side to which patients turn or tilt their heads. One risk factor for developing degenerative changes was long duration of the disease without adequate treatment. In other words, effective treatment, particularly BT injections, seemed to be protective. Head tremor and severe restriction of head movements were common in patients with moderate or severe degenerative changes and the second factor is likely to be a consequence of degenerative changes. However, both factors may also accelerate the degenerative process. Overall, treatment response after SPD in the group of patients with marked degenerative changes was unsatisfactory. These patients do not seem to be good candidates for surgery.

Imaging of the cervical spine should be considered in patients with spasmodic torticollis who present with significantly restricted head mobility and do not adequately respond to treatment. To demonstrate degenerative changes in the spine plain films or CT can be used. Spinal MRI should only be considered when clinical signs of myelopathy are present. As part of our assessment for surgery we now routinely scan patients from the occiput to C4 using CT.

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