Diffusion tensor MRI correlates with executive dysfunction in patients with ischaemic leukoaraiosis

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Background: Cerebral small vessel disease is a common cause of vascular dementia. Both discrete lacunar infarcts and more diffuse ischaemic changes, seen as confluent high signal (leukoaraiosis) on T2 weighted magnetic resonance imaging (MRI), occur. However, there is a weak correlation between T2 lesion load and cognitive impairment. Diffusion tensor MRI (DTI) is a new technique that may provide a better index of white matter damage.

Objectives: To determine whether DTI measures are correlated more strongly with cognitive performance than lesion load on T2 weighted images, and whether these correlations are independent of conventional MRI parameters.

Methods: 36 patients with ischaemic leukoaraiosis (leukoaraiosis plus a previous lacunar stroke) and 19 healthy volunteers underwent DTI, conventional MRI, and neuropsychological assessment.

Results: On DTI, diffusivity was increased both within lesions and in normal appearing white matter. Mean diffusivity of normal appearing white matter correlated with full scale IQ ($r = -0.46, p = 0.009$) and tests of executive function. These correlations remained significant after controlling for age, sex, brain volume, and T1/T2 lesion volumes. No significant correlation was identified between T2 lesion load and IQ or neuropsychological scores. Of conventional measures, brain volume correlated best with cognitive function.

Conclusions: Diffusion tensor measurements correlate better with cognition than conventional MRI measures. They may be useful in monitoring disease progression and as a surrogate marker for treatment trials. The findings support the role of white matter damage and disruption of white matter connections in the pathogenesis of cognitive impairment in cerebral small vessel disease.

In the USA it is estimated that 430 000 people have dementia in the context of a previous stroke, and prevalence estimates for vascular dementia in Europe range from 1.5% to 16.3% in elderly people. Cerebral small vessel disease, in which ischaemia occurs in the territory of small perforating cerebral end arterioles, is a major cause of vascular dementia. Patients with this subtype of vascular dementia have a characteristic neuropsychological profile with particular impairment of executive functions, attention, and mental processing speed. The importance of this subtype of vascular dementia was evident in a recent clinical trial where over 60% of the participants had small vessel disease.

On neuropathological examination in patients with cerebral small vessel disease, a combination of small regions of focal infarction (lacunar infarction) and more diffuse areas of neuronal loss, demyelination, and gliosis is found. The neuroimaging correlates—which are best seen on T2 weighted magnetic resonance imaging (MRI)—are discrete focal lesions representing lacunar infarcts, and more diffuse white matter hyperintensity referred to as leukoaraiosis.

Cerebral small vessel disease is itself common, independent of dementia. Lacunar stroke accounts for a quarter of all ischaemic stroke episodes. It is likely that a much larger number of individuals have more subtle cognitive disturbance because of cerebral small vessel disease and leukoaraiosis. This group of patients probably has a high risk of developing dementia, and may be a particularly suitable target for preventive treatment.

As originally defined, “leukoaraiosis” is a purely radiological entity. In patients with vascular dementia and leukoaraiosis, neuropathological data have shown that the underlying pathology is almost always ischaemic, and on a population level leukoaraiosis most often has an ischaemic basis. However, because leukoaraiosis can occasionally represent pathologies other than small vessel disease, one approach that has been applied to define a homogeneous pathological group with underlying small vessel disease is to combine radiological findings with a history of lacunar stroke. The term “ischaemic leukoaraiosis” has been defined as the combination of radiological leukoaraiosis and a previous clinical lacunar stroke. This concept includes patients not fitting criteria for dementia, who represent a large portion of all patients with small vessel disease, and avoids difficulties introduced by the definition of dementia.

Studies in patient groups with small vessel disease and in asymptomatic community populations have found only relatively weak correlations between lesion load on T2 weighted imaging and the degree of cognitive impairment. The lack of a strong correlation limits the usefulness of T2 weighted MRI in assessing and monitoring disease severity in patients with small vessel disease. One of the difficulties with T2 weighted imaging is that high signal intensity may reflect a wide spectrum of pathological changes, ranging from complete axonal degeneration to relatively benign pathology with preserved fibre architecture. An attractive hypothesis explaining cognitive impairment in patients with cerebral small vessel disease is that white matter tract damage results in a “disconnection syndrome” owing to disruption of cortical–subcortical and cortical–cortical connections. If this...
is true, an imaging technique that provides a more direct assessment of the integrity of white matter would be expected to correlate better with cognition, and would be more appropriate for monitoring disease course and progression.

Diffusion tensor MRI (DTI) is a new technique that provides quantitative information about disruption of white matter projections. It is a further development of diffusion weighted imaging, in which diffusion is measured in at least six non-collinear directions, providing a three dimensional representation of water motion. Axonal membranes and myelin hinder diffusion in white matter so that damage to these structures would be expected to lead to an increase in mean diffusivity, a measure of diffusion averaged in all spatial directions. In addition, in tracts of parallel fibres diffusion occurs preferentially along the direction of the fibres. This directionality of diffusion can be quantified as fractional anisotropy, which varies from zero, where diffusion is equal in all directions, to 1, where diffusion occurs along a single axis. Damage to axons or myelin in coherent tracts would be accompanied by a decrease in fractional anisotropy. Measurement of anisotropy makes it possible, for the first time, to obtain maps of white matter tract anatomy. A previous study of patients with lacunar stroke and leukoaraiosis showed both increased diffusivity, consistent with tissue damage, and reduced fractional anisotropy, consistent with disruption of coherent white matter tracts, in the white matter of patients. Studies in CADASIL, a rare autosomal dominant form of cerebral small vessel disease, have shown a similar pattern.

In addition to improving the assessment of disease severity, DTI may provide new insights into the mechanisms of cognitive dysfunction. The weak correlation between lesion load on T2 weighted images and cognitive performance has been interpreted in two contrasting ways. One view is that damage to white matter tracts does underpin cognitive impairment but that T2 weighted MRI provides a relatively poor index of white matter damage. Alternatively, some investigators have argued that cognitive function is determined more by changes in perfusion than by structural damage. By applying better indices of tissue damage, such as DTI, it will be possible to determine the extent to which cognitive dysfunction can be attributed to alterations in white matter structure. DTI is likely to provide a better index of tissue damage than conventional MRI for two reasons. First, it may provide a quantitative measure of tract damage within T2 weighted lesions, where pathological studies have shown that the severity of fibre damage is highly variable. Consistent with this, a DTI study in CADASIL found that mean diffusivity within lesions correlated both with disability and with a simple measure of cognitive impairment, the mini-mental state examination (MMSE). Second, in CADASIL, and in patients with lacunar stroke and leukoaraiosis, DTI has revealed abnormalities in white matter that appear normal on conventional MRI sequences. Changes in the normal appearing white matter may also contribute to cognitive function, thereby weakening correlations with lesion burden.

In this study, we assessed the pattern of DTI changes—both within lesions and in normal appearing white matter—in a group of patients with ischaemic leukoaraiosis compared with a group of matched control subjects. We then examined the relations between cognitive function and DTI parameters in ischaemic leukoaraiosis. Our aims were, first, to determine whether DTI measures were correlated more strongly with cognitive performance than lesion load on T2 weighted images, and second, to determine whether these correlations were independent of conventional MRI parameters.

METHODS

Subjects

Patients with ischaemic leukoaraiosis were recruited from a stroke/transient ischaemic attack (TIA) clinic. Ischaemic leukoaraiosis was defined as diffuse confluent white matter hyperintensity on T2 weighted MRI (fig 1, bottom left) in patients with a history of clinical lacunar stroke. All patients had a full clinical assessment, ECG, carotid duplex, and MRI scan. Exclusion criteria were: any cause of stroke other than small vessel disease, including large artery stenosis or a cardioembolic source; cortical infarcts on neuroimaging; large subcortical infarcts (more than 15 mm maximum diameter); as these infarcts often have a large vessel or embolic aetiology; and any history of previous neurological or psychiatric disease. Thirty six consecutive patients were recruited. Twenty four community volunteers, with no current or previous neurological or psychiatric disease, were also recruited as control subjects. Five of these were subsequently excluded from the study when conventional MRI revealed asymptomatic white matter abnormalities.

The groups were matched for age, sex, and educational history. Mean (SD) age was 69.5 (8.8) years (range 50 to 84) in the group with ischaemic leukoaraiosis (ILA group), and 71.6 (7.5) years (56 to 84) in the control group (p = 0.35). Twenty four (67%) of the ILA group were male, compared with 12 (63%) of the control group (p = 0.80). Mean (SD) duration of full time education was 10.8 (3.6) years in the ILA group and 11.9 (1.9) years in the control group (p = 0.16). Thirty (83%) of the ILA group and nine (47%) of the control group had a history of hypertension (p = 0.01). Four of the ILA group and one of the control group were diabetic.

The local research ethics committee approved the study and subjects gave informed, written consent.

MRI protocol

MRI was done using a 1.5 T GE Signa MR scanner (General Electric, Milwaukee, Wisconsin, USA).

Conventional imaging

Dual echo (proton density and T2 weighted), FLAIR (fluid attenuating inversion recovery), and T1 weighted sequences were employed. Slice locations were identical for all of these sequences. Multiple contiguous 3 mm slices were prescribed in a true axial plane to provide complete brain coverage. In-plane resolution was 0.86x0.86 mm. Acquisition parameters were as follows:

- Dual echo: TE (time of echo) = 17/102 ms; TR (time of repetition) = 4000 ms; NEX 1
- FLAIR: TE = 135 ms; TR = 9500 ms
- T1 spin echo: TE = 20 ms; TR = 450 ms; NEX 2

T1 weighted images were not obtained for three patients and one control subject.

Diffusion tensor imaging

A peripherally gated echo-planar imaging sequence, optimised for white matter, was employed, with TE = 121.1 ms, TR = 9 RR intervals on ECG, and maximum strength of diffusion gradients = 22 mT.m⁻¹. In-plane resolution was 1.875x1.875 mm. Between 15 and 18 near axial 5 mm slices with a 1 mm gap provided coverage of supratentorial structures in all subjects.

MRI image analysis

MRI image analysis was undertaken by a single rater blinded to the neuropsychological findings.
Conventional MRI

Conventional images were displayed using Analyze (Mayo Clinic, Rochester, Minnesota, USA). Lesion volumes were measured on both T1 weighted and FLAIR. A cursor was placed within each visible lesion and the software then automatically outlined the lesion using fixed intensity thresholds that were the same for all subjects. Areas of diffuse abnormality and discrete lacunar infarcts were included. All regions were checked visually to ensure that they matched the visible extent of lesions. Total lesion volumes were calculated by summing all the areas measured and multiplying by the slice thickness. Total brain volume and the volume of the lateral ventricles were also calculated using a similar intensity threshold approach on the T1 weighted images. Parenchymal volume was calculated by subtracting lateral ventricle volume from whole brain volume.

Diffusion tensor MRI

In addition to fractional anisotropy and mean diffusivity images, the diffusion tensor imaging sequence produces T2 weighted images with identical slice characteristics (fig 1). To ensure that the observer was not influenced by values of diffusivity or fractional anisotropy, regions of interest (ROIs) were placed on the T2 weighted images. Multiple 5.625 x 5.625 mm (3 x 3 voxels) ROIs were positioned. To minimise partial volume effects, ROIs were positioned at least 2 mm (corresponding to at least one pixel width) from both the edge of the ventricles and the tissue boundaries demarcating abnormal from normal appearing white matter. ROIs were then superimposed in identical positions on mean diffusivity and fractional anisotropy maps, and mean values within them recorded.

Two slices including the anterior horns of the lateral ventricles, and two slices including the posterior horns, were chosen for assessment of periventricular white matter. ROIs were situated in three standardised positions around each horn (fig 2, left). ROIs were included in subsequent analysis only if they were situated entirely within either normal or abnormal appearing white matter, at least 2 mm from a tissue boundary, and within an imaginary line halfway between ventricle and cortical surface to prevent contamination with cortical grey matter. Two slices through the centrum semiovale were also chosen. For each slice, up to five ROIs were placed in lesion white matter in each hemisphere, and similarly up to five ROIs were placed widely...
through normal appearing white matter in each hemisphere (fig 2, right).

Assessment of reliability of measures
Intrarater reliability was assessed in a randomly selected subgroup of 10 subjects (five patients and five controls). The computer image files were randomised and renamed, and FLAIR, T1 weighted, and DTI images were then reanalysed by the original observer. The within-subject standard deviation has been recommended as a practical index of measurement error. This was calculated following the method of Bland, and expressed as the within-subject coefficient of variation (within-subject standard deviation as a percentage of the mean value). Coefficients of variation were: T2 weighted lesion volume, 2.9%; parenchymal volume, 3.4%; diffusivity of normal appearing white matter, 1.0%; fractional anisotropy of normal appearing white matter, 5.4%; diffusivity within lesions, 8.1%; fractional anisotropy within lesions, 9.7%.

Neuropsychological assessment
Neuropsychological assessment was done within one week of MRI. One subject suffered a further stroke four days after the MRI and therefore neuropsychological scores were not analysed on that subject. A comprehensive battery of neuropsychological tests was designed, based on a review of relevant published reports. Tests were grouped into cognitive domains, as follows:

General function and global intellectual function: 30 point MMSE,25 Wechsler adult intelligence scale–revised (WAIS-R),26 27 providing verbal IQ (vocabulary, comprehension, and similarities subtests) and performance IQ (block design and object assembly subtests).

Executive function: Wisconsin card sorting test (WCST)24 25 27; Reitan trail making25 26 27; verbal fluency; WAIS-R digit symbol; WAIS-R digit span backwards.

Memory: Wechsler memory scale (WMS)24 26; logical memory and paired associate learning subtests.

Visuospatial function: Benton facial recognition test.22

Motor: Finger tapping test.

Administration of the battery took between two and two and a half hours. Two control subjects declined neuropsychological testing, so complete neuropsychological data were available for 17 subjects. Two of the ILA group were unable to perform five and six tests, respectively, because of severe cognitive impairment. One additional subject was unable to do the trail making and Benton facial recognition tests because of severe visual impairment.

Statistical analysis
To allow direct comparison of different tests, we generated z scores for the neuropsychological measures. These scores were based on the means and standard deviations of each measure in the control group. A z score defines where a score falls in the distribution of scores in normal subjects: a z score of +2.0 corresponds to a score 2 SD above the mean score. Direct comparison of performance between tests was possible because the z scores for all tests were based on an identical control population. MMSE was excluded from this analysis as the scores did not approximate to a normal distribution in control subjects. Group comparisons were made using Student t tests, apart from the MMSE, where a Mann–Whitney U test was employed.

Correlations between MRI findings and neuropsychological measures were examined in the ILA group only. For each subject, 11 neuropsychological measures were generated so that a large number of possible correlation coefficients and p values could be calculated. However, in this setting Bonferroni correction for multiple comparisons is inappropriate, because the neuropsychological measures are not independent of each other. When different measures are correlated in this way, a better approach is to undertake a limited number of comparisons using composite measures. Principal components analysis was used to generate a composite score (the first principal component) for cognitive performance. As an initial step in analysis, this composite score was correlated with similar composite scores for DTI of lesions and DTI of normal appearing white matter (giving only two statistical comparisons) to determine whether there was a true underlying relation between DTI findings and cognitive function. The nature of any relation was then explored in more detail using the full range of neuropsychological measures.

RESULTS
Diffusion tensor imaging: group comparisons
Table 1 shows the measurements of mean diffusivity and fractional anisotropy in each anatomical region. In the ILA group, differences were found within lesions compared with normal appearing white matter (NAWM) in all anatomical regions. Differences were also found in the NAWM, compared with NAWM of controls, in anterior periventricular white matter and the centrum semiovale.

Pattern of cognitive deficit
Figure 3 shows mean z score differences between patients and control subjects. In ischaemic leukoaraiosis, performance on measures of executive function was affected more severely than performance in other cognitive domains. Relative preservation of memory performance was also a striking feature. Group differences in timed executive tests (digit symbol and Reitan trail making) remained significant after covarying for motor speed (digit symbol, p = 0.007; trail making B-A, p = 0.021).

Correlations between DTI and cognitive function in ischaemic leukoaraiosis
There was no correlation between lesion load on T2 weighted images and the composite score of cognitive function (r = 0.13, p = 0.49). In contrast, there was a significant correlation between the composite score for DTI of normal appearing white matter and cognitive function (r = 0.41, p = 0.048; after covarying for T1 lesion load, r = 0.53, p = 0.013). No correlation was found between the composite score for cognition and that for DTI within lesions (r = 0.01, p = 0.97).

Table 2 shows correlation coefficients for individual tests covarying for age and sex. Diffusivity in the normal appearing white matter of the centrum semiovale correlated both with general intellectual function (full scale IQ) and with more specific measures of executive function (the Wisconsin card sorting test). In the periventricular normal appearing white matter, only total errors on the Wisconsin card sorting test correlated with diffusivity. However, the paucity of normal appearing white matter meant that far fewer measurements were made in the periventricular regions.

Multiple linear regression analyses were done for the three neuropsychological measures with significant univariate correlations with diffusivity: full scale IQ, digit symbol, and both total errors and per cent perseverative errors on the Wisconsin card sorting test. Age, sex, T1 and T2 weighted lesion volume, parenchymal volume, and mean diffusivity of normal appearing white matter were included in a multivariate analysis. For all three neuropsychological measures, only diffusivity of normal appearing white matter remained independently correlated with cognitive performance. The results of multivariate analysis for full scale IQ and Wisconsin card sorting test total errors are shown in table 3.

The multivariate model including mean diffusivity of normal appearing white matter accounted for 52% of the
variance in IQ scores, compared with 16% for a model including age, sex, and lesion loads on T1 and T2 weighted images.

**DISCUSSION**

This study shows that DTI indices correlate much more strongly with cognitive function than T2 lesion volume in patients with ischaemic leukoaraiosis. The correlation was strongest for diffusivity of normal appearing white matter, and remained significant after controlling for conventional MRI parameters, including brain parenchymal volume and T1 and T2 lesion load. These findings have two important implications. First, they suggest that DTI is a potentially powerful technique for use as a surrogate marker in therapeutic trials. Second, DTI is a promising tool for exploring the mechanisms of cognitive dysfunction in these patients.

In contrast to the positive findings with DTI, no correlations were identified between cognitive function and lesion load measured on T2 weighted images. This finding is consistent with those of a range of previous studies. Community based studies of healthy older adults have produced inconsistent results, with several early studies reporting no relation between white matter lesions and cognitive impairment. However, recent larger studies have provided more convincing evidence that cognitive function is affected in individuals with white matter hyperintensities. Nevertheless, the relation between lesion burden and the extent of cognitive dysfunction is weak, emerging only in studies that include hundreds of subjects.

One possible factor underlying the lack of correlation in community based studies is that the pathological basis of T2 weighted hyperintensities is uncertain, and may be heterogeneous. These studies include subjects with minor punctate hyperintensities—data from the Austrian stroke prevention study have shown that these lesions often have a non-ischaemic basis. This difference in underlying pathology is also reflected in a lack of progression of white matter abnormalities over three years of follow up in those subjects with minor abnormalities at baseline.

An alternative to the population based approach is to study specific subgroups of patients with more advanced disease, in which one might expect stronger correlations between T2 weighted abnormalities and cognitive dysfunction. All the patients with ischaemic leukoaraiosis in the present study had confluent hyperintensities and had also had a symptomatic stroke; nevertheless, no convincing correlation was identified between T2 weighted images and cognitive function. Several other studies have adopted clinical and radiological criteria that parallel our definition of ischaemic leukoaraiosis. In these studies, the correlations between T2 lesion load and cognitive function have also been weak and inconsistent. For example, in a study of 41 patients with cardiovascular risk factors, previous history of stroke, or transient ischaemic attacks and leukoaraiosis, a correlation was found between parts 2 and 3 of the Stroop test and the leukoaraiosis score, but no other correlations were found across a detailed neuropsychological battery.

Of the measures derived from conventional MRI, brain parenchymal volume correlated most strongly with cognitive function. These findings are consistent with previous studies that have shown that cognitive dysfunction correlated with markers of cerebral atrophy such as callosal atrophy and the volume of the cortical grey matter and hippocampus. Studies with highly reproducible techniques have revealed a strong relation between atrophy and...
cognitive decline in Alzheimer’s disease,11 and similar rates of atrophy are encountered in patients with vascular dementia (defined by the NINDS-AIREN criteria).32 The findings of the present study suggest that measurement of brain atrophy may have a role to play in longitudinal studies in patients with vascular dementia and they are consistent with the “disconnection” hypothesis of cognitive impairment in patients with small vessel disease.

In this study we found that changes in normal appearing white matter correlated with cognitive performance. It is feasible that subjects with a similar extent of white matter hyperintensity on T2 weighted MRI could have different degrees of involvement of the normal appearing white matter, which may be one factor in the relatively weak relation between T2 weighted MRI and cognitive function. In contrast, there was no correlation with measurements within lesions. One possible explanation for this is that relations between neural damage and cognitive dysfunction may be obscured within lesions by changes in glial tissue that also influence patterns of water diffusion. For example, in a lesion obscured within lesions by changes in glial tissue that also influence patterns of water diffusion, for example, in a lesion one would not expect any further change in tissue structure within which all axonal projections have already been lost, in contrast, there was no correlation with measurements within lesions. One possible explanation for this is that relations between neural damage and cognitive dysfunction may be obscured within lesions by changes in glial tissue that also influence patterns of water diffusion. For example, in a lesion within which all axonal projections have already been lost, one would not expect any further change in tissue structure to influence cognitive function. However, diffusivity within this lesion could alter with subsequent changes; loss of glial cells and cavitation would lead to a large increase in diffusivity, while gliosis might further hinder water motion, reducing mean diffusivity. Alternatively, the lack of correlation between DTI measures in lesions and normal appearing

### Table 2

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<tr>
<th></th>
<th>T2 lesion volume</th>
<th>Parenchymal volume</th>
<th>NAWM MD</th>
<th>FA</th>
<th>T2 lesion volume</th>
<th>Parenchymal volume</th>
<th>NAWM MD</th>
<th>FA</th>
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Partial correlation coefficients are shown after covarying for age and sex.

* p < 0.05, ** p < 0.01.

FA, fractional anisotropy; MD, mean diffusivity; WAIS-R, Wechsler adult intelligence scale-revised; WCST, Wisconsin card sorting test; WMS, Wechsler memory scale.

### Table 3

<table>
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<tr>
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<th>Univariate</th>
<th>Multivariate</th>
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<tr>
<td></td>
<td>R</td>
<td>p Value</td>
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<td>(A) Multivariate model for full scale IQ (WAIS-R) (R² = 0.52)</td>
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<td>Age</td>
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<td>Diffusivity of NAWM</td>
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<td>(B) Multivariate model for Wisconsin card sorting test–total errors (R² = 0.39)</td>
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<td>Age</td>
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<td>Sex</td>
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<td>Parenchymal volume</td>
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<td>T1 lesion load</td>
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<tr>
<td>Diffusivity of NAWM</td>
<td>0.41</td>
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NAWM, normal appearing white matter; WAIS-R, Wechsler adult intelligence scale-revised.
white matter may reflect methodological limitations of the study. Correlations may be easier to demonstrate in normal appearing white matter, simply because this often constitutes the larger portion of the white whole matter, so its measurement provides a more comprehensive measure of damage across the whole brain. Measurements within normal appearing white matter were also more reproducible in the present study. In addition, lesion location is likely to be an important factor in the relation with cognitive dysfunction. Spatial analysis of DTI data has been limited up to now, although the emergence of more sophisticated analysis techniques should provide new insights into the anatomical pattern of correlations with cognitive function.

Relations with cognitive function were weaker for fractional anisotropy than for mean diffusivity. This may reflect the relatively simple analysis techniques used. Diffusivity is relatively constant in white matter, whereas fractional anisotropy values vary widely, depending upon whether the region is placed over the centre of a white matter tract. The position of regions of interest relative to white matter tracts cannot be determined from the T2 weighted image on which the regions were placed. Therefore small variations in the placement of regions of interest would lead to larger variations in fractional anisotropy than mean diffusivity, as reflected by the reproducibility analysis. With the implementation of more advanced analysis techniques and particularly techniques for highlighting specific tracts (tractography), correlations with fractional anisotropy are likely to be stronger.

The strong correlation between diffusivity and cognitive function suggests that DTI may be a useful marker of disease severity for use in prospective studies, including treatment trials. An MRI model of disease has several advantages over direct measurement of cognitive function. The cognitive profile of ischaemic leuкоaraisos—is indicated in the present study—is dominated by executive dysfunction. Most simple bedside tools for assessing cognitive function are insensitive to executive dysfunction, so that direct assessment of cognition is likely to be time consuming. In addition, learning effects can lead to subjects performing better when a test is repeated, which could mask a true decline in cognitive performance. Finally, factors such as mood, cooperation, and motivation can affect the test–retest reliability of direct cognitive assessment.

ACKNOWLEDGEMENTS
MO’S was supported by a clinical research fellowship awarded by St George’s Hospital Medical School. This study was supported by a grant from PPP Healthcare Medical Trust. DKJ was supported by the Wellcome Trust. We thank Dr Paul Summers for help with data acquisition, Dr Mark Horsfield of the Division of Medical Physics, University of Leicester, for providing software for analysis of diffusion tensor images, Professor Martin Bland, St George’s Hospital Medical School, for statistical advice, and Dr Chris Clark for reading the manuscript and making suggestions. We also thank Professor Steve Jackson for allowing us to study subjects from the Clinical Age Research Unit database at King’s College Hospital, and Emma Ouldred for help with recruiting these subjects.

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Competing interests: none declared

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J Neurol Neurosurg Psychiatry 2004 75: 441-447
doi: 10.1136/jnnp.2003.014910

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