



REVIEW

Effort, exaggeration and malingering after concussion

Jonathan M Silver

Correspondence to

Dr Jonathan M Silver, Clinical Professor of Psychiatry, New York University School of Medicine, 40 East 83rd Street, Suite 1E, New York, NY 10028, USA; jonsilver@gmail.com

Received 20 December 2011

Revised 1 April 2012

Accepted 11 April 2012

Published Online First

13 June 2012

ABSTRACT

Although most individuals who suffer a mild traumatic brain injury have complete recovery, a number experience persistent symptoms that appear inconsistent with the severity of the injury. Symptoms may be ascribed to malingering, exaggeration or poor effort on cognitive testing. The purpose of this paper is to propose that previously unconsidered factors, informed by social psychology and behavioural economics, can appear as 'symptom magnification' or 'poor effort', which are incorrectly interpreted as the result of a conscious process. These are complex and multi-determined behaviours with a unique differential diagnosis which have important implications for research, evaluation and treatment.

Traumatic brain injury is common, with over 2 million occurrences per year in the USA. Most are 'mild' (mild traumatic brain injury; mTBI) (defined by LOC <30 min, PTA <24 h) and are often called 'concussions'. Fortunately, the vast majority of those with mild injuries recover fully. Systematic reviews of cognitive impairment after a single mTBI conclude that these injuries are not associated with long term cognitive impairment,^{1,2} although it may be difficult to extrapolate findings from a single sports concussion with those that occur in the general population or military personnel. However, a 'miserable minority' may experience prolonged symptoms.³ In comparison with patients with neurological disorders such as stroke, brain tumours, Parkinson's disease or Alzheimer's disease, individuals with persistent symptoms after a concussion may be confronted with doubts as to their veracity. Many symptoms that persist after a concussion are non-specific and occur in a non-brain injured population.⁴ At 1 year, while 53% of a traumatic brain injury (TBI) group had three or more 'post-concussive symptoms,' a non-trivial 24% of a trauma comparison group also complained of these symptoms.⁵ By definition, 'traumatic' brain injury occurs during an emotionally traumatic experience (such as a motor vehicle accident or assault) and there may be an adversarial situation regarding reimbursement for treatment (No Fault, Workers' Compensation) and potential litigation. The focus may change from treatment of symptoms to whether they result from the motivation to obtain disability benefits or a successful lawsuit.

The challenge has been to demonstrate a causal connection between residual symptoms and the brain injury, and to ascertain which factors predict or interfere with recovery. Patients may face scepticism as to the 'reality' of their disorder, and are subjected to 'independent' examinations to confirm that the symptoms are 'real'. Opinions may be given that the problems are due to 'malingering', 'exaggeration',

'symptom magnification' or 'poor effort' on cognitive testing, and that the patient is consciously misrepresenting the neuropsychiatric sequelae of the injury.

The presence and severity of symptoms after mTBI are influenced by co-occurring psychiatric disorders, such as depression, anxiety and post-traumatic stress disorder (PTSD),^{6–11} and may be a significant predictor of symptoms. Since brain injury often occurs during a traumatic event, symptoms may be caused by the event, and not the brain injury. Genetic factors, a prior TBI and a history of substance use also complicate prognosis.^{5,12} Under-recognised conditions including seizures, vestibular disorders, visual problems and chronic pain complicate recovery and produce symptoms that may not be due to the 'brain' injury.¹³

Laboratory, pathological and radiological testings are important to confirm the diagnosis of many neurological disorders. 'Unexplained' neurological complaints often are found in patients referred to neurologists.^{14–16} For mTBI, most symptoms are subjective, and the diagnosis usually hinges on the patient's self-report, and whether the observations by the physician and others are consistent. Studies in animal models of mTBI, pathological studies in humans and advanced imaging techniques confirm that brain abnormalities can occur, even with a single mild injury, and we expect that there will be variations in the ability to recover (see McAllister for a review³). However, the diagnostic and prognostic implications for interpreting newer modalities of brain imaging for mTBI—including research-quality high field-strength (3 Tesla) MRI, functional MRI, magnetic resonance spectroscopy, diffusion tensor imaging, positron emission tomography, single photon emission CT—are unclear. Many of these modalities are in the early stages of research,¹⁷ and the information needed to distinguish normal from 'pathological' is not adequately developed. The findings of abnormalities on functional imaging associated with psychiatric/emotional states make it problematic to use these neuroimaging studies as a 'proof' of brain injury and permanence.

Increased reporting of symptoms, as well as poor results on neuropsychological testing, have been suggested to be the result of litigation and compensation. One study compared those with moderate to severe TBI with those who had both mTBI and poor effort on testing. The group with mTBI/poor effort reported more symptoms and with greater severity than the moderate to severe TBI group.¹⁸ Financial incentives have been estimated to account for 23% of complaints and have a moderate effect size (half of an SD).¹⁹ In comparing symptoms at intake, 3 and 12 months after injury, those with mTBI who were seeking or receiving compensation were associated with a significantly increased number and severity of

symptoms (1 SD).²⁰ In contrast, several prospective studies have shown that litigation had no effects on the occurrence of depression or PTSD after TBI.^{7 21 22}

The focus of this paper will be to propose that previously unconsidered multiple factors may result in symptom magnification (either increased symptom reporting or misattribution of symptoms) or poor effort, and the incorrect interpretation that these are conscious efforts and malingering. Poor effort and exaggeration are not categorical values, but are complex and multi-determined and have a differential diagnosis of their own. Some factors are intrinsic to the circumstances of the injury or the evaluation process. Others are well-described phenomena that are ubiquitous, and found in common human relationships and reactions. This paper is not intended to provide a systematic review of concussion, effort or malingering, but to stimulate discussion on alternative explanations that are informed by social psychology and behavioural economics.

EXPECTATIONS OF PROGNOSIS

Psychological beliefs, such as optimism and a sense of personal control, are protective of physical and mental health in a number of medical disorders.²³ Even an unrealistically optimistic view of the future helps cope with intensely stressful and life-threatening events. As found with other diseases, this finding pertains with expectations of prognosis after a concussion. When 73 patients were evaluated 3 months after mTBI, 25% had 'postconcussion syndrome'. The beliefs about illness duration and consequences significantly predicted symptomatic outcome, above and beyond depression and post-traumatic symptoms.²⁴ In 126 patients with mTBI who were followed for up to 6 months, predictors of having 'PCS' were a negative perception of having mTBI, stress, anxiety, depression and 'all-or-nothing behaviour' (a need to either be able to do the maximum or nothing).²⁵ Similarly, in a group of 147 patients with mTBI who were recruited within 3 months of presenting to a concussion clinic or an emergency room, those who held stronger beliefs about the identity of having a TBI and its emotional impact had significantly greater odds of a poor outcome at 3 months.²⁶ Psychological factors begin to impact prognosis even at the time of the injury, and may be one explanation why individuals who have suffered a concussion during sports recover quickly after an injury: they expect to fully recover and 'get back in the game'.

EFFORT

A 40 year old man who had an mTBI was administered the Dot Counting Test²⁷ as an 'effort test.' In this test, the patient counts dots on a card which are either randomly placed or in groups (as found in dice). The time for counting should be much faster for the grouped dots, as the individual does not need to count each dot separately. This patient counted the group dots separately, so his performance was similar in the two situations. After the test, the grouped dots were presented again, and he could easily and quickly determine the number of dots by appearance, without counting them individually. When asked why he counted the grouped dots separately during the first administration, he replied, 'I wanted to be sure.'

'Effort' tests can provide important information as part of a comprehensive neuropsychological evaluation, and serve as an internal calibration for more difficult tests of attention and memory.^{28 29} These instruments are a common component of the neuropsychological evaluation, and have been described as assessing 'cognitive exaggeration', 'feigning', 'suboptimal effort', 'response bias' and malingering. Many of these tests are 'forced-choice', and present a

series of words or pictures, and afterwards the subject is presented two words at a time, and asked which one was shown earlier. It is important to assess whether the individual is exerting optimal 'effort', so that we know that impairment is most likely due to the brain injury, rather than 'not trying'. For example, if the individual only performs at or below a 'chance' level, it is reasonable to assume that the individual is either guessing or trying to be incorrect. However, the 'cut-off' for poor effort on these tests varies, and while statistically different from normal controls, it may be as high as 82.5%–90%.^{30–32}

The context of the evaluation influences performance on these effort tests. In a study of claimants who were seeking compensation, those who had mTBI had poorer effort and worse cognitive performance than those with moderate to severe TBI.³³ Nelson *et al*³⁴ assessed 1991 US veterans consisting of a group referred for forensic evaluation (compensation and pension process), a group with 'non-OEF/OIF' (Operation Enduring Freedom/Operation Iraqi Freedom) forensic evaluations, a group with OEF/OIF research concussions (recruited to participate in ongoing research projects) and a research group without concussions. Depression and PTSD/anxiety were more common in the forensic groups. 'Insufficient effort' (eg, a score of <43 in the Victoria Symptom Validity Test³⁵ or digit span <8) on at least one measure was found in 59.1% of those in the forensic groups, but only 10.7% of those in the research group. Effort accounted for 20.3%–33.5% of the performance variance in the forensic groups compared with 1%–8.4% in the research groups. In another study, individuals with severe TBI, mTBI and non-injured controls were told that test performance might affect driving ability. The group with mTBI and controls improved scores subsequent to this intervention, although controls performed better than mTBI.³⁶

A study designed to ascertain differences in neuropsychological testing in veterans with TBI, PTSD or both highlights the complexity of interpreting reasons for insufficient effort.³⁷ The Word Memory Test³¹ was administered with a cut-off of 82.5% for appropriate effort to qualify for the study. Of those screened for inclusion in the study, 0/16 of those with PTSD only and 1/26 of those with TBI only demonstrated insufficient effort. However, 15/34 of veterans with both TBI and PTSD failed the effort test and were excluded from the study. The authors did not discuss this finding. It does not make sense to say that a group of veterans were malingering or did it for 'secondary gain' or 'compensation' with coexisting PTSD and TBI, whereas veterans with either PTSD or TBI would not. We need to explore other potential explanations of increased symptom reporting, possible misattribution of symptoms to the TBI and poor effort. These are not discussed in two comprehensive consensus statements reviewing the use of an interpretation of effort tests.^{28 29}

STRESS AND STEREOTYPE THREAT

Since stress and anxiety impair performance in normal subjects,³⁸ we expect that stress affects performance and symptoms in patients with mTBI. When symptomatic individuals with mTBI were given cognitively challenging tasks, there was an increase in postconcussive symptoms and autonomic changes associated with decreased speed of processing and subtle memory deficits.³⁹ This was correlated with a greater change in skin conductive response. We often observe athletes failing because they are trying too hard (even with an 'easy' task such as a short putt in golf). However, during neuropsychological testing, it is assumed that patients fail because they are not trying.

However, stress can result from another factor: stereotype threat, the observation that society's bias of a subgroup affects performance (see Steele 2010 for a comprehensive discussion).⁴⁰ Stereotype threat increases the pressure to perform well under

the 'threat' condition, and results in impaired performance ('choking'). Examples include the effect on performance of African-Americans when given tests to assess 'intelligence', women math major when given a test to assess intrinsic math 'ability' and Caucasian men when given tests for athletic ability. Because the societal bias is ubiquitous, it may not need to be mentioned when the test is administered; the subject assumes the bias. Performance under stereotype threat is significantly impaired; when the same test is administered under conditions that eliminate the threat, performance is normal. For example, the difference in performance with and without the threat could be four out of 30 items on the Graduate Record Examination (Steele, p. 50).⁴⁰ Individuals with previous educational difficulties or failure may expect themselves to perform poorly during a cognitive evaluation. The framing of a test as assessing memory rather than to gain a general impression of an individual reduces memory performance of older adults.⁴¹

Because of public awareness that brain injury produces cognitive impairment and postconcussion symptoms, stereotype threat could affect performance or the assessment of symptoms in individuals who have sustained a TBI. In this situation, the individual with a TBI will assume the bias that they will have difficulties with a cognitive task or will experience certain symptoms associated with the injury, and thus perform poorly on the task or associate symptoms with the TBI. There have been few studies of a non-clinical population (college students taking a psychology course) to assess 'diagnosis threat'. A group of normal subjects who completed an affective, somatic and memory deficit checklist are expected to experience symptoms 6 months after an imagined concussion similar to those with the postconcussion syndrome.⁴² Undergraduates with a history of mTBI performed worse under the threat condition (when they participated in a study of 'working memory in young adults who have experienced a head injury compared to young adults who have not experienced a head injury') on attention/working memory, psychomotor speed and memory tasks (but not on effort)⁴³ compared with the 'non-threat' condition (a study of 'working memory and attention in young adults'). In an earlier study, these investigators found that the threat group rated themselves as putting forth lower effort and had increased anxiety and vigilance. Ozen and Fernandes⁴⁴ surveyed 87 undergraduates for a number of problems, including whether they ever had an mTBI. They were then asked to participate in one of two studies: either looking at 'working memory after head injury' or 'working memory and attention in college students'. Under the threat, the mTBI subjects had more complaints of everyday attention problems and memory related errors. Controls outperformed subjects on one attention measure.

The theory of 'ego depletion' asserts that the capacity to exert self-control is a limited resource, and after a difficult task, the individual no longer has the store of normal resources and performance suffers. The ability to perform executive functions and effortful choice is affected when there are limited resources.⁴⁵ Performing difficult cognitive tasks (such as the Stroop task) results in poorer performance on a subsequent task. Interestingly, increasing motivation and the provision of snacks that contain glucose can reverse the effects of depletion on performance.⁴⁵ Job and colleagues found that ego depletion depends on the individual's belief as to whether it is a 'limited resource'.⁴⁶ Manipulating beliefs, such as telling a subject that doing a difficult task is energising rather than depleting, improved performance. In a study of treatments of chronic fatigue syndrome, a treatment that reinforced this belief of ego depletion (Adaptive Pacing Therapy) was the least effective treatment.⁴⁷ In patients

with mTBI, this is often observed during testing when patients believe they can no longer perform well on tests after a number of hours. Ego depletion may be sensitive to, or a form of, stereotype threat.

Performance under threat in a normal population (non-TBI) reduces speed and accuracy⁴⁸ and working memory capacity.⁴⁹ The ventral anterior cingulate cortex, which is involved in social and emotional processing, is affected during a stereotype threat.⁵⁰ Patients with mTBI may respond as a 'normal' group, while injury to these circuits is more likely to be associated with moderate to severe injury. Hypothetically, groups with varying severity of TBI may respond differentially to stereotype threat. While stereotype threat results in a clinically and statistically significant detriment in performance for many groups of normal subjects (eg, four questions on a 30 question test), it is striking that this has never been discussed in any clinical study of symptoms, effort or cognitive performance in individuals being evaluated for the effects of TBI, or included as a possible reason for poor performance in consensus statements about effort.^{28 29} In the clinical situation, the patient is being evaluated under stereotype threat and either knows or is told that individuals who have experienced a concussion commonly experience many of these symptoms and have cognitive difficulties. Research is needed to assess this effect on patients.

COMPENSATION AND LITIGATION

In a study of 97 individuals with mTBI evaluated 6 weeks after injury, those involved in litigation had greater anxiety, social dysfunction and poorer outcome.⁵¹ Other studies have not found an effect of litigation.^{7 21 22} Although it is possible that the individual 'wants' to do poorly to increase the potential for compensation, and some may consciously malingering, other common psychological processes may be involved. Distinct from other medical disorders, individuals who sustain a TBI are often faced with unique obstacles to treatment. Compare the individual who has suffered brain injury from a stroke to the person who has brain injury from an accident. Unlike the patient with a stroke, where treatment is instituted without doubting the veracity of the complaint, there is an independent evaluation and questioning of the occurrence of the injury and the presence of symptoms. Since TBI often occurs in an 'accident' setting, there is an adversarial environment between the injured and the people or insurance responsible for payment.

The legal and insurance process increases the cost (anger, revenge, loss aversion). In addition, money has an effect on behaviour for everyone involved. We would expect that those injured during sports, even on a non-professional level, would have fewer of these factors impinging on recovery. Anger, revenge and loss aversion may not be present. Most athletes expect to do well after a concussion and most do. Research in behavioural economics provides important findings that elucidate the cost of these issues. The assumption is made that the monetary cost used in these paradigms translates into symptoms.

Anger/revenge

The litigation and insurance processes are unpleasant, at best, and potentially noxious. This can start after the accident when the other party involved (employer, driver, etc), fails to acknowledge that the person was injured. It may not entail taking responsibility, but at least saying 'I'm sorry you were hurt'. In many cases of trauma, the offender never acknowledges the injuries that the individual suffered; this is especially interesting given that offering an apology modulates the need for revenge.⁵² The injured individual may experience increased anger when subjected to independent evaluations, where the assumption is that the person is

either not injured at all, not injured as severely as claimed or malingering. The following study is demonstrative:⁵²

In a local coffee shop at a university, an interviewer approaches a subject and asks if they are willing to perform a five-minute task for \$5. In the control situation, after the subject completes the task, they are given four one-dollar bills and one \$5 bill, and asked to sign a receipt for \$5. In this situation, the subject usually tells the interviewer that there was a mistake, and they tell the examiner of the error. In the 'annoyance' paradigm, the examiner gets a phone call while giving the instructions, and then comes back and completes the study. In this case, the subject takes the extra money. However, if the examiner apologizes after the call, the subject tells the examiner that they were given the incorrect amount.

There is a cost to feelings of anger and revenge. In an experimental paradigm, those people whose trust was violated (by not reciprocating an exchange of money) punished the offender. This was correlated with increased activity in the striatum, indicating that feelings of revenge stimulated the 'reward center'.^{52,53} Apologies after medical errors decrease the frequency of malpractice lawsuits.^{54–56} Blaming others for the occurrence of threatening events is associated with poorer physical and emotional well-being after the event.⁵⁷ Although these studies examine 'cost' in monetary terms, it is likely that feelings of anger translate into more intense and chronic symptoms.

Loss aversion

People are more averse to the risk of losing than they are attracted to gaining the same amount. This is called 'loss aversion', where the evaluation of a risky project does not depend on the cumulative wins or losses, but a gain or a loss.⁵⁸ The emotional impact of a loss is greater than the impact of a gain; in general, you need to win \$2 to offset the loss of \$1. While used to describe the behaviour of individuals in economic situations, it may also reflect an emotional or physical loss. When an individual who has been in an accident experiences a 'loss', whether this is physical function, pain, cognitive problems, or an emotional and psychiatric disorder, the 'value' of the loss is difficult to assess. If that individual is in litigation and is seeking compensation, what is twice that value needed to offset the loss? It is possible that no amount can alleviate an overwhelming loss. The adverse legal and insurance process, as well as the feelings of anger, may fuel the feelings of loss and the sense that one can never be made whole. At this point, no amount is large enough to offset the hardship caused by the accident. The result may be an increase in symptoms as well as a need to persist through a difficult and unfortunately counterproductive process to be made 'whole'.

Cheating

The individual who has an injury and is being evaluated for compensation may 'cheat', that is, consciously report a greater number or severity of symptoms or purposefully perform worse on tests. Cheating is a common occurrence in normal individuals, ranging from the small (stealing a pencil or pad of paper at work) to large (scandals such as Enron and Madoff). Money influences behaviour, such as the opinions of those receiving money from the pharmaceutical industry and lobbyists.^{59,60} We would expect this to occur in the interpretation of results and reports of an independent evaluator who receives remuneration from either side of a conflict. Studies that assess lying or malingering by asking normal subjects to behave 'as if' they are lying are problematic, since that does not reflect the real life situation. Ariely has conducted a series of studies of normal cheating.⁶¹

A group of subjects (usually college students) are gathered in a room to take a test (completing math matrices). They are told

that they will receive a certain amount of money for every correct answer they get during five minutes. In the control scenario, they take the test, check off the correct answers, and hand the paper to the monitor. In the 'cheating' situation, after completing the test and checking the answers, they tear up the paper, throw it away, and tell the monitor how many they got correct. Interestingly, the number of correct answers increases by a third in the cheating situation.

They become 'smarter' when they do not show the monitor how many they got correct. While most people cheat 'a little', few cheat 'a lot'. The per cent improvement in scores in the cheating situation is similar to the observed effect of financial influences in brain injury.¹⁹ The 'cheating effect' may be the expected influence of a financial incentive, one that is not specific to individuals with TBI.

DISCUSSION

Similar to the problem with the diagnosis of conversion disorder,⁶² the conceptualisation of adequate effort, symptom exaggeration and malingering has been oversimplified and underanalysed, and ignores common psychological reactions found in normal subjects. Suboptimal effort and symptom magnification may not be conscious processes but stem from well described and validated findings in social psychology and behavioural economics. The observation that expectation predicts prognosis, in TBI as well as other disorders, emphasises that there are many aspects to symptom production. While anxiety may impair performance, expectations about the prognosis of brain injury, either initially or through stereotype threat, may increase symptoms. Stereotype threat significantly affects performance in a wide range of situations, yet has not been investigated in a clinical sample of patients who have sought treatment for symptoms after TBI. Any evaluation within the insurance and litigation system may engender anger and resentment. Studies in behavioural economics reveal that there are costs of anger, loss aversion and normal cheating that may exacerbate symptoms.

Performance in effort tests should utilise a fast, non-effortful and automatic cognitive process. This has been called a 'System 1' process.^{63,64} System 2 processes are slow, deliberative and conscious. While these studies have been conducted in healthy volunteers, performing a simple System 1 test may be impaired during periods of stress, anxiety and anger (which affects amygdala activity), during a stereotype threat (which affects cingulate activity) or an mTBI (which increases the activation of working memory circuitry).⁶⁵ Anxiety, stereotype threat and ego depletion shift the cognitive process from System 1 to System 2, and affect normal performance (choking). People in litigation or who are applying for disability may perform more poorly on the effort tests because of trying too hard and increased effort, and a shift from the automatic responses of System 1. Paradoxically, trying 'too hard' is often interpreted as not trying. Conceivably, this is found in mild, but not moderate or severe TBI, when the brain is closest to normal functioning.

We have previously proposed a model for the interaction of preinjury and postinjury factors and the cognitive, emotional, behavioural and sensorimotor symptoms on the development of symptoms after TBI.⁶⁶ Hou *et al* proposed a more specific model for postconcussion symptoms, which included illness perception, stress, all-or-nothing behaviour and litigation/compensation.²⁵ A model for the interactions of the factors discussed in this paper is found in the figure 1. After the injury, expectations and the development of depression, anxiety or PTSD have a primary influence on symptoms. Subsequently, increasing stress, stereotype threat, feelings of anger or revenge, loss

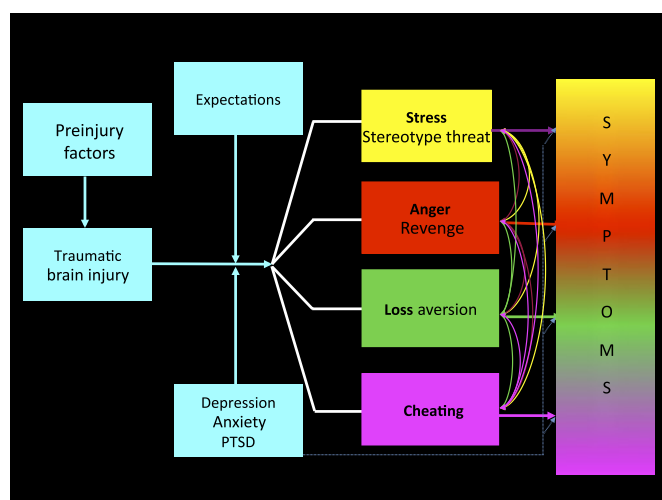


Figure 1 A model for understanding the interactions of multiple factors in their contribution to symptoms after brain injury. After the initial effect of recovery expectation and psychiatric symptoms, other common psychological mechanisms (stress, stereotype threat, anger, revenge, loss aversion and cheating) may influence symptom manifestation after concussion. PTSD, post-traumatic stress disorder.

aversion, and cheating can exacerbate symptoms through well-described psychological processes.

The reconceptualisation of the factors involved in problems with effort and exaggeration highlights the need for new research strategies. We need to address the relevance of stereotype threat in patients with TBI, PTSD, depression, somatoform disorders, and victims of trauma or abuse. How do anger and feelings of revenge impair functioning? Do patients with TBI cheat the same as other populations? Are they sensitive to loss aversion? At this time, we do not know how these differing processes interact or if one is more predominant. Does the severity of the injury affect these processes? Those with mild injuries may behave as a normal group, compared with those with more severe injuries. In addition, they may feel more stress and be more aware of deficits, and have a greater reaction to litigation (where the existence of an injury may be questioned, in distinction to more severe injuries).

Until we recognise the causes of these symptoms and problems with performance, we cannot adequately address treatment and prevention. There is a significant cost manifested by increased symptoms in those who suffer from mTBI as well as the system designed to treat them. Treatment begins with a proper diagnosis. When the focus is on persistent symptoms, there is a tendency to not fully assess predisposing factors that may be associated with symptom prolongation. These include substance use, personality disorder/style, a history of trauma/abuse/neglect and past psychosocial issues. Family members may influence symptoms by augmenting the sick role with enmeshment and overprotection, even when the patient is trying to get better.

Guidelines for the issues that should be addressed during treatment are found in the box 1. While a single uncomplicated mTBI can result in brain dysfunction, other factors amplify the symptoms. Initially, expectations of recovery impact prognosis, and patients should be told that rapid and significant improvement is expected after a concussion.⁶⁷ Since depression and anxiety significantly increase symptoms after TBI, they must be actively treated.⁶⁶ Trying too hard is counterproductive. Cognitive behavioural therapy may be particularly efficacious in this regard.²⁵ We need to explore methods of administering cognitive

Box 1 Interventions to potentially minimise symptoms

1. Optimise expectations.
2. Treat depression and anxiety.
3. Minimise stereotype threat.
4. Address feelings of anger and revenge.
5. Address loss aversion.
6. Consider possible effects of money on behaviour.

tests (and assessing symptoms) that diminish the stereotype effect. Paradoxically, the increased awareness of concussion suffered during sports and in combat may inadvertently increase stereotype threat.

Patients need to be informed about the possible adverse effects of the feelings of anger and revenge. Outcome may be improved if the employer, the other driver or insurance company acknowledges that the individual has suffered during the accident. This may also apply in our interactions with returning soldiers who have sustained injuries. They are thanked for their service to the country, a lesson learnt from the Vietnam era veterans, but they are not told that we are sorry they were injured during their service to our country. Could this mitigate some of the suffering experienced by those with TBI and PTSD?

The patients' feelings about the cost of the injury need to be explored, as well what they believe will be adequate compensation. It is important to have realistic expectations of the legal and insurance system. Last, money may not only affect the patient, it can influence every decision and opinion, even by independent experts.

Patients with even a single mTBI can experience symptoms that are directly attributable to brain dysfunction, and the vast majority have an excellent recovery. While current research is focused on determining biological factors (genetics, imaging, etc) that correlate with response to injury, poor outcome (cognitively or symptomatically) is often simplistically attributed to a conscious manipulation (reduction) of performance. Poor effort, symptom exaggeration and malingering are complex issues with a complex differential diagnosis. It is hoped that this paper will stimulate productive discussion and research. Various priming variables are powerful influences on test taking and symptoms. To the extent that symptoms may be exacerbated by the mechanisms discussed above, we have an opportunity to apply interventions that may diminish suffering and improve outcome.

Acknowledgements The author appreciates the helpful comments from David Arciniegas, Dan Ariely, Philip Costanzo, W Curt LaFrance, Jr., Thomas McAllister and Ronald Ruff during the preparation of this paper.

Contributors JMS is the sole author and completely responsible for its content.

Competing interests None.

Provenance and peer review Commissioned; externally peer reviewed.

REFERENCES

1. Frencham KA, Fox AM, Maybery MT. Neuropsychological studies of mild traumatic brain injury: a meta-analytic review of research since 1995. *J Clin Exp Neuropsychol* 2005;**27**:334–51.
2. Carroll LJ, Cassidy JD, Peloso PM, et al. Prognosis for mild traumatic brain injury: results of the WHO Collaborating Centre task Force on mild traumatic brain injury. *J Rehabil Med* 2004;(43 Suppl):84–105.
3. McAllister TW. Mild brain injury. In: Silver JM, McAllister TW, Yudofsky SC, eds. *Textbook of Traumatic Brain Injury*. 2nd edn. Washington, DC: American Psychiatric Publishing, Inc, 2011:239–64.
4. Dikmen S, McLean A, Temkin N. Neuropsychological and psychosocial consequences of minor head injury. *J Neurol Neurosurg Psychiatry* 1986;**49**:1227–32.

5. **Dikmen S**, Machamer J, Fann JR, *et al.* Rates of symptom reporting following traumatic brain injury. *J Int Neuropsychol Soc* 2010;**16**:401–11.
6. **Fann JR**, Katon WJ, Uomoto JM, *et al.* Psychiatric disorders and functional disability in outpatients with traumatic brain injuries. *Am J Psychiatry* 1995;**152**:1493–9.
7. **Rapoport MJ**, McCullagh S, Streiner D, *et al.* The clinical significance of major depression following mild traumatic brain injury. *Psychosomatics* 2003;**44**:31–7.
8. **Chamelin L**, Feinstein A. The effect of major depression on subjective and objective cognitive deficits in mild to moderate traumatic brain injury. *J Neuropsychiatry Clin Neurosci* 2006;**18**:33–8.
9. **Hoge CW**, McGurk D, Thomas JL, *et al.* Mild traumatic brain injury in U.S. Soldiers returning from Iraq. *N Engl J Med* 2008;**358**:453–63.
10. **Satz P**, Forney DL, Zaucha K, *et al.* Depression, cognition, and functional correlates of recovery outcome after traumatic brain injury. *Brain Inj* 1998;**12**:537–53.
11. **Pietrzak RH**, Johnson DC, Goldstein MB, *et al.* Posttraumatic stress disorder mediates the relationship between mild traumatic brain injury and health and psychosocial functioning in veterans of Operations Enduring Freedom and Iraqi Freedom. *J Nerv Ment Dis* 2009;**197**:748–53.
12. **McAllister TW**. Genetic factors. In: Silver JM, McAllister TW, Yudofsky SC, eds. *Textbook of Traumatic Brain Injury*. 2nd edn. Washington, DC: American Psychiatric Publishing, Inc, 2011:37–54.
13. **Silver JM**, McAllister TW, Yudofsky SC. *Textbook of Traumatic Brain Injury*. 2nd edn. Washington, DC: American Psychiatric Publishing, Inc, 2011.
14. **Carson A**, Stone J, Hibberd C, *et al.* Disability, distress and unemployment in neurology outpatients with symptoms 'unexplained by organic disease'. *J Neurol Neurosurg Psychiatry* 2011;**82**:810–13.
15. **Stone J**, Carson A, Duncan R, *et al.* Symptoms 'unexplained by organic disease' in 1144 new neurology out-patients: how often does the diagnosis change at follow-up? *Brain* 2009;**132**:2878–88.
16. **Silver JM**. The 'unexplained' illness: costly, but is it treatable? *J Neurol Neurosurg Psychiatry* 2011;**82**:710.
17. **Bigler ED**. Structural imaging. In: Silver JM, McAllister TW, Yudofsky SC, eds. *Textbook of Traumatic Brain Injury*. 2nd edn. Arlington, VA: American Psychiatric Pub, 2011.
18. **Tsanadis J**, Montoya E, Hanks RA, *et al.* Brain injury severity, litigation status, and self-report of postconcussive symptoms. *Clin Neuropsychol* 2008;**22**:1080–92.
19. **Binder LM**, Rohling ML. Money matters: a meta-analytic review of the effects of financial incentives on recovery after closed-head injury. *Am J Psychiatry* 1996;**153**:7–10.
20. **Paniak C**, Reynolds S, Toller-Lobe G, *et al.* A longitudinal study of the relationship between financial compensation and symptoms after treated mild traumatic brain injury. *J Clin Exp Neuropsychol* 2002;**24**:187–93.
21. **Deb S**, Lyons I, Koutzoukis C, *et al.* Rate of psychiatric illness 1 year after traumatic brain injury. *Am J Psychiatry* 1999;**156**:374–8.
22. **Koren D**, Aron I, Klein E. Acute stress response and posttraumatic stress disorder in traffic accident victims: a one-year prospective, follow-up study. *Am J Psychiatry* 1999;**156**:367–73.
23. **Taylor SE**, Kemeny ME, Reed GM, *et al.* Psychological resources, positive illusions, and health. *Am Psychol* 2000;**55**:99–109.
24. **Whittaker R**, Kemp S, House A. Illness perceptions and outcome in mild head injury: a longitudinal study. *J Neurol Neurosurg Psychiatry* 2007;**78**:644–6.
25. **Hou R**, Moss-Morris R, Peveler R, *et al.* When a minor head injury results in enduring symptoms: a prospective investigation of risk factors for postconcussional syndrome after mild traumatic brain injury. *J Neurol Neurosurg Psychiatry* 2012;**83**:217–23.
26. **Snell DL**, Siegert RJ, Hay-Smith EJ, *et al.* Associations between illness perceptions, coping styles and outcome after mild traumatic brain injury: preliminary results from a cohort study. *Brain Inj* 2011;**25**:1126–38.
27. **Binks PG**, Gouvier WD, Waters WF. Malingering detection with the dot counting test. *Arch Clin Neuropsychol* 1997;**12**:41–6.
28. **Heilbronner RL**, Sweet JJ, Morgan JE, *et al.* American Academy of Clinical Neuropsychology Consensus Conference Statement on the neuropsychological assessment of effort, response bias, and malingering. *Clin Neuropsychol* 2009;**23**:1093–129.
29. **Board PP**. *Assessment of Effort in Clinical Testing of Cognitive Functioning for Adults*. Leicester, UK: The British psychological society, 2009:27.
30. **Green P**, Iverson GL. Validation of the computerized assessment of response bias in litigating patients with head injuries. *Clin Neuropsychol* 2001;**15**:492–7.
31. **Green P**, Iverson GL, Allen L. Detecting malingering in head injury litigation with the Word Memory Test. *Brain Inj* 1999;**13**:813–19.
32. **Tombaugh TN**. *Test of Memory Malingering*. Toronto, ON: Multi Health Systems, 1996.
33. **Green P**, Rohling ML, Lees-Haley PR, *et al.* Effort has a greater effect on test scores than severe brain injury in compensation claimants. *Brain Inj* 2001;**15**:1045–60.
34. **Nelson NW**, Hoelzle JB, McGuire KA, *et al.* Evaluation context impacts neuropsychological performance of OEF/OIF veterans with reported combat-related concussion. *Arch Clin Neuropsychol* 2010;**25**:713–23.
35. **Slick DJ**, Hoop G, Strauss E. *The Victoria Symptom Validity Test*. Odessa, FL: Psychological Assessment Resources, 1995.
36. **Keller M**, Hiltbrunner B, Dill C, *et al.* Reversible neuropsychological deficits after mild traumatic brain injury. *J Neurol Neurosurg Psychiatry* 2000;**68**:761–4.
37. **Campbell TA**, Nelson LA, Lumpkin R, *et al.* Neuropsychological measures of processing speed and executive functioning in combat veterans with PTSD, TBI, and comorbid TBI/PTSD. *Psychiatr Ann* 2009;**39**:796–803.
38. **Staal MA**. *Stress, Cognition, and Human Performance: A Literature Review and Conceptual Framework*. NASA/TM-2004–212824, 2004. http://human-factors.arc.nasa.gov/flightcognition/Publications/IH_054_Staal.pdf
39. **Hanna-Pladdy B**, Berry ZM, Bennett T, *et al.* Stress as a diagnostic challenge for postconcussive symptoms: sequelae of mild traumatic brain injury or Physiological stress response. *Clin Neuropsychol* 2001;**15**:289–304.
40. **Steele CM**. *Whistling Vivaldi: and Other Clues to How Stereotypes Affect Us*. New York: W.W. Norton & Co, 2010.
41. **Chasteen AL**, Bhattacharyya S, Horhota M, *et al.* How feelings of stereotype threat influence older adults' memory performance. *Exp Aging Res* 2005;**31**:235–60.
42. **Mittenberg W**, DiGiulio DV, Perrin S, *et al.* Symptoms following mild head injury: expectation as aetiology. *J Neurol Neurosurg Psychiatry* 1992;**55**:200–4.
43. **Suhr JA**, Gunstad J. Further exploration of the effect of "diagnosis threat" on cognitive performance in individuals with mild head injury. *J Int Neuropsychol Soc* 2005;**11**:23–9.
44. **Ozen LJ**, Fernandes MA. Effects of "diagnosis threat" on cognitive and affective functioning long after mild head injury. *J Int Neuropsychol Soc* 2011;**17**:219–29.
45. **Baumeister RF**, Vohs KD, DeWall CN, *et al.* How emotion shapes behavior: feedback, anticipation, and reflection, rather than direct causation. *Pers Soc Psychol Rev* 2007;**11**:167–203.
46. **Job V**, Dweck CS, Walton GM. Ego depletion—is it all in your head? implicit theories about willpower affect self-regulation. *Psychol Sci* 2010;**21**:1686–93.
47. **White PD**, Goldsmith KA, Johnson AL, *et al.* Comparison of adaptive pacing therapy, cognitive behaviour therapy, graded exercise therapy, and specialist medical care for chronic fatigue syndrome (PACE): a randomised trial. *Lancet* 2011;**377**:823–36.
48. **Steele CM**, Aronson J. Stereotype threat and the intellectual test performance of African Americans. *J Pers Soc Psychol* 1995;**69**:797–811.
49. **Schmader T**, Johns M. Converging evidence that stereotype threat reduces working memory capacity. *J Pers Soc Psychol* 2003;**85**:440–52.
50. **Krendl AC**, Richeson JA, Kelley WM, *et al.* The negative consequences of threat: a functional magnetic resonance imaging investigation of the neural mechanisms underlying women's underperformance in math. *Psychol Sci* 2008;**19**:168–75.
51. **Feinstein A**, Ouchterlony D, Somerville J, *et al.* The effects of litigation on symptom expression: a prospective study following mild traumatic brain injury. *Med Sci Law* 2001;**41**:116–21.
52. **Ariely D**. *The Upside of Irrationality: The Unexpected Benefits of Defying Logic at Work and at Home*. New York: Harper, 2010.
53. **De Quervain DJ**, Fischbacher U, Treyer V, *et al.* The neural basis of altruistic punishment. *Science* 2004;**305**:1254–8.
54. **MacDonald N**, Attaran A. Medical errors, apologies and apology laws. *CMAJ* 2009;**180**:11, 13.
55. **Lazare A**. Apology in medical practice: an emerging clinical skill. *JAMA* 2006;**296**:1401–4.
56. **Ho B**, Liu E. *Does Sorry Work? The Impact of Apology Laws on Medical Malpractice*. 2010. http://econweb.tamu.edu/common/files/workshops/PERC_Applied_Microeconomics/2010_3_24_Elaine_Liu.pdf
57. **Tennen H**, Affleck G. Blaming others for threatening events. *Psychol Bull* 1990;**108**:209–32.
58. **Tversky A**, Kahneman D. Judgment under Uncertainty: Heuristics and Biases. *Science* 1974;**185**:1124–31.
59. **Steinbrook R**. Controlling Conflict of Interest—Proposals from the Institute of medicine. *N Engl J Med* 2009;**360**:2160–3.
60. **Ross JS**, Gross CP, Krumholz HM. Promoting Transparency in pharmaceutical industry-Sponsored research. *Am J Public Health* 2012;**102**:72–80.
61. **Ariely D**. *Predictably Irrational, Revised and Expanded Edition: The Hidden Forces that Shape Our Decisions*. New York: Harper Collins, 2009.
62. **Nicholson TR**, Stone J, Kanaan RA. Conversion disorder: a problematic diagnosis. *J Neurol Neurosurg Psychiatry* 2011;**82**:1267–73.
63. **Kahneman D**. *Thinking Fast and Slow*. New York: Farrar, Straus and Giroux, 2011.
64. **Evans JS**. Dual-processing accounts of reasoning, judgment, and social cognition. *Annu Rev Psychol* 2008;**59**:255–78.
65. **McAllister TW**, Sparling MB, Flashman LA, *et al.* Differential working memory load effects after mild traumatic brain injury. *NeuroImage* 2001;**14**:1004–12.
66. **Silver JM**, McAllister TW, Arciniegas DB. Depression and cognitive complaints following mild traumatic brain injury. *Am J Psychiatry* 2009;**166**:653–61.
67. **Wade DT**, King NS, Wenden FJ, *et al.* Routine follow up after head injury: a second randomised controlled trial. *J Neurol Neurosurg Psychiatry* 1998;**65**:177–83.

Information for patients from JNNP

Understanding the causes of ongoing brain injury

Introduction

It's not always easy to tell why some people continue to have health problems many months after a traumatic brain injury, while other people recover completely. This review looked at some of the reasons why, and how good the studies have been that have looked into this.

What do we know already?

Most people who suffer a mild traumatic brain injury, which are often called concussions, recover fully within three months. Most people who have concussions don't have any health problems in the long term. But some people continue to have health problems many months after their concussion. It's not always easy to tell if their health problems are caused by the brain injury, or for other reasons. For example, if they were injured in an accident or other traumatic circumstances, they may still be affected by the events that caused their injury. The symptoms people continue to have after a concussion can be vague and difficult to diagnose. This can make it more difficult to tell if it is caused by brain injury, or something else. This review looked at the evidence for some of the other explanations why some people carry on having health problems long after they have had a concussion.

It looked at different studies of how well people who had a recent concussion do on different types of tests. These tests are designed to measure things like your attention span, memory, and how well you are able to think, as well looking for signs of brain damage.

What does the new study say?

The review came up with five different categories of reasons to explain what may cause long-term problems after a concussion:

- **A person's outlook.** Your psychological beliefs, and whether you are generally an optimistic person, can have an effect on your health. Some studies have shown that people who are under stress, or have anxiety or depression, are also more likely to have health problems six months after their concussion.

- **Effort.** Researchers can use statistics to predict how people who don't have any brain injury should do on tests of memory, for example. But people may make more or less of an effort when asked to perform certain tasks during tests, and the reasons why are not always related to brain injury.
- **Stress.** People do less well on tests for brain injury if they are under stress, or if the task is difficult or challenging. Studies have shown that when people with symptoms of concussion are given challenging tasks to test their thinking they experience more symptoms, think more slowly, and have more memory problems. So we don't know if people do less well because they have health problems caused by their brain injury, or because of the test.
- **Bias.** Some people do less well on these tests because they expect to perform a certain way. For example, people who have had problems with education or learning in the past may not expect to do well on tests of thinking. We don't know if this affects their performance on tests, or if they do badly because they have a brain injury.
- **Litigation.** People may perform differently on tests depending on whether they are involved in ongoing disputes about the cause of their brain injury. For example, if people are making claims for compensation after their injury, this can have an effect on how they perform on the tests. This also means it's not always possible to know what's causing a person to have symptoms long after their brain injury.

How reliable are the findings?

This is a review that explores some of these different reasons and flags up some studies that have looked into these different explanations. But it's not a review of all the evidence from these studies. We don't know how the author decided which studies to include and what to look at. So we can't be sure if the findings are reliable.

What does this mean for me?

There might be lots of reasons why, after having concussion, some people still have health problems many months after other people have recovered. This study has looked at some of the reasons, but the author also points out that there are many areas where doctors are still unsure. There needs to be a lot more research before we can tell why some people have problems after having concussion.

From: Silver JM. Effort, exaggeration and malingering after concussion. J Neurol Neurosurg Psychiatry 2012;**83**:836–41. <http://jnnp.bmj.com/content/83/8/836.full>

This summary was prepared by the staff of Best Health, BMJ Group's patient information service. This information does not replace medical advice. If you have a medical problem please see your doctor.

BestHealth