RESEARCH PAPER

ACOMS: a composite clinical outcome for prodromal Alzheimer’s disease trials

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ABSTRACT

Background Development of new therapies for Alzheimer’s disease (AD) is increasingly focused on more mildly affected populations, and requires new assessment and outcome strategies. Patients in early stages of AD have mild cognitive decline and no, or limited, functional impairment. To respond to these assessment challenges, we developed a measurement approach based on established scale items that exhibited change in previous amnestic Mild Cognitive Impairment (aMCI) trials.

Methods Partial least squares regression with a longitudinal clinical decline model identified items from commonly used clinical scales with the highest combined sensitivity to change over time in aMCI and weighted these items according to their relative contribution to detecting clinical progression in patients’ early stages of AD. The resultant AD Composite Score (ADCOMS) was assessed for its ability to detect treatment effect in aMCI/prodromal AD (pAD) clinical trial populations.

Results ADCOMS consists of 4 Alzheimer’s Disease Assessment Scale—cognitive subscale items, 2 Mini-Mental State Examination items, and all 6 Clinical Assessment Scale—Sum of Boxes items. ADCOMS demonstrated improved sensitivity to clinical decline over individual scales in pAD, aMCI and in mild AD dementia. ADCOMS also detected treatment effects associated with the use of cholinesterase inhibitors in these populations. Improved sensitivity predicts smaller sample size requirements when ADCOMS is used in early AD trials.

Conclusions ADCOMS is proposed as new standard outcome for pAD and mild AD dementia trials, and is progressing in a CAMD-sponsored qualification process for use in registration trials of pAD.

INTRODUCTION

The pathology of Alzheimer’s disease (AD) precedes the development of symptoms by many years. This insight has led to a shift in AD research and treatment development to earlier predementia stages of AD, traditionally defined as amnestic mild cognitive impairment (aMCI) and, more recently, further specified as ‘MCI due to AD’, or ‘prodromal AD’ (pAD) (as defined by the International Working Group).1,2

The earliest clinical manifestations of AD involve very mild decline in cognition with measurable functional impairment developing later in the disease progression. These subtle changes early in the predementia stage of AD are difficult to measure, and new approaches are required to detect change and establish treatment effects. Currently, there is no consensus on standard endpoints for use in aMCI populations.3 The Food and Drug Administration (FDA) has indicated that a single composite outcome may be appropriate for pAD/MCI due to AD trials.4 Cognitive instruments, such as the Alzheimer’s Disease Assessment Scale—cognitive subscale (ADAS-cog), Mini-Mental State Examination (MMSE), and neuropsychological test items show relatively little change over time in pAD/aMCI participants, primarily due to ceiling effects in many of the items that make up these scales.6,7 Scales that measure functional or global changes may be unable to capture subtle clinical decline due to the comparatively mild functional deficits in pAD/aMCI patients.8,9 While clinical tools that are widely used in AD dementia trials may lack overall sensitivity, certain items within these scales appear to be more responsive to clinical decline in aMCI/pAD. We sought to develop an AD Composite Score (ADCOMS) comprised of items from existing scales that, when combined, would be sensitive to AD-specific clinical decline in aMCI/pAD. After identifying the items, we assessed the ability of ADCOMS to detect treatment effects in data sets from previously conducted trials of cholinesterase inhibitors with proven efficacy in AD. The Coalition Against Major Diseases (CAMD), a component of the Critical Path Institute,10 advanced ADCOMS with the intention of establishing this approach as a qualified primary outcome measure for registration trials in pAD.

METHODS

Data sets

Data from placebo groups, or untreated populations of four aMCI studies, were used to establish the natural progression of the condition. These data sets included the aMCI subgroup from the Alzheimer’s Disease Neuroimaging Initiative (ADNI-1; ADNI-MCI, n=405; downloaded on 20 May 2010), the placebo group from the Alzheimer’s Disease Cooperative Study (ADCS) ’A randomized, double-blind, placebo-controlled trial to evaluate the safety and efficacy of vitamin E and donepezil HCL (Aricept) to delay clinical progression from MCI to AD’ (ADCS-MCI, n=264), the placebo group of ‘A 1 year, multicenter, randomized, double-blind, placebo-controlled evaluation of the efficacy and safety of donepezil...
hydrochloride in patients with MCI (MCI-412, n=388), and the placebo group from ‘Hippocampus study: comparative effect of donepezil 10 mg/day and placebo on clinical and radiological markers’ (MCI-415, n=103) studies. Demographic and baseline characteristics of these data sets are summarised in table 1. The pooled data set from these four trials is referred to as pooled-MCI (n=1160).

Two enriched populations were defined within the pooled-MCI group: cerebrospinal fluid (CSF) Aβ1–42 positive (equivalent to MCI due to AD with intermediate likelihood and almost equivalent to pAD, with exception that pAD criteria high CSF total tau or phosphorylated tau along with low CSF Aβ1–42 levels; this population is referred to as either MCI due to AD or pAD) and apolipoprotein E (ApoE) e4 positive carriers. CSF biomarkers were available only for aMCI participants in ADNI-1, of whom 146 were CSF Aβ1–42 positive (Aβ1–42 > 192 pg/mL). ApoE e4 carriers totalled 473: 217 in ADNI-1, 138 in ADCS-MCI, and 118 in MCI-412. ApoE carrier status was not available for MCI-415.

In addition to the aMCI data, three mild AD dementia data sets were analysed to determine whether ADCOMS was equally sensitive to clinical decline in this population. These data sets included the mild AD dementia subgroup from ADNI-1 (ADNI-mild, n=188), and placebo groups from 2 ADCS clinical trials in mild AD dementia: ‘A multicenter trial of rofecoxib and naproxen in Alzheimer’s disease’ (n=111), and ‘VITAL—VITamins to Slow AD’ (n=170). The pooled data set using these 3 mild dementia studies is referred to as pooled-MCI (n=469).

Data sets from ADCS-MCI (n=784) and ‘30-week, multicenter, randomised, double-blind, placebo-controlled evaluation of the safety and efficacy of E2020 (donepezil) in patients with Alzheimer’s disease’ (AD-302, n=236) were used to evaluate the ability of ADCOMS to detect treatment effect in aMCI and mild AD dementia populations treated with donepezil.

**Table 1**

<table>
<thead>
<tr>
<th>Study</th>
<th>Placebo, n</th>
<th>Age, mean (SD)</th>
<th>Sex, male, n (%)</th>
<th>ApoE e4 positive, n (%)</th>
<th>CSF Aβ1–42 positive, n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADNI-MCI†‡</td>
<td>405</td>
<td>74.2 (7.41)</td>
<td>259 (64.0)</td>
<td>217 (53.6)</td>
<td>146 (36.0)</td>
</tr>
<tr>
<td>ADCS-MCI†‡</td>
<td>264</td>
<td>72.3 (7.54)</td>
<td>138 (52.3)</td>
<td>138 (52.3)</td>
<td></td>
</tr>
<tr>
<td>MCI412†‡§</td>
<td>388</td>
<td>69.8 (10.31)</td>
<td>223 (57.5)</td>
<td>118 (30.4)</td>
<td></td>
</tr>
<tr>
<td>MCI415§¶</td>
<td>103</td>
<td>73.1 (6.62)</td>
<td>49 (47.6)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

ApoE, apolipoprotein E; CSF, cerebrospinal fluid; MCI, amnestic/mild cognitive impairment.

*Alzheimer’s Disease Neuroimaging Initiative MCI data base.
†Placebo group from Eisai/Pfizer trial of vitamin E and donepezil HCl (Aricept) in aMCI conducted by the Alzheimer’s Disease Cooperative Study (ADCS) group.
‡Placebo group from Eisai/Pfizer E2020-A001-412 study of donepezil in aMCI.
§Shared data between Pfizer and Eisai.
¶Placebo group from Eisai E2020-E033-415 study of donepezil 10 mg/day in aMCI (Clinical trials identifier: NCT00403520).

**Longitudinal disease decline model in aMCI**

To apply a statistical modelling approach to characterise the relationship between disease progression and the individual items from existing AD clinical scales, a linear longitudinal model was constructed to characterise clinical decline. Time is an appropriate surrogate for a direct measure of clinical change over time in a progressive disorder. Because we were interested in modelling clinical decline in early AD stages over a relatively short period (eg, 12 months), a linear decline model provided an accurate representation of progression. The following equation represented the clinical decline from time $t_0$ to time $t$ (see online supplementary appendix 2):

$$ (t - t_0) = \sum_{i=1}^{12} d_i \Delta A_i(t) + \sum_{i=1}^{7} c_i \Delta B_i(t) + \sum_{i=1}^{6} f_i \Delta C_i(t) + \cdots (1) $$

where $t_0$ is the time at baseline, and $\Delta A_i(t)$, $\Delta B_i(t)$, $\Delta C_i(t)$, and so on, are change from baseline of individual scale items at time $t$, corresponding to items from ADAS-cog, reversed MMSE, CDR-SB, ADL, NPI, FAQ, ADCS-ADL scale and available neuropsychological tests. The left side of Equation (1) is duration of decline (time $t-t_0$), which is the dependent variable representing rescaled clinical decline. The right side of Equation (1) is a weighted linear combination of a set of predictor variables measuring cognitive and functional decline. Full details of the mathematical derivation leading to Equation 1 can be found in online supplementary appendix 2. Equation (1) was subsequently fitted using PLS regression to identify individual clinical scale items that represent AD-related clinical decline over time to calculate their respective weighting factors and to optimise the sensitivity of measuring clinical decline with this weighted combination.

**PLS regression to generate ADCOMS**

The PLS procedure (implemented by the SAS V9.2 system, SAS Institute Inc, Cary, North Carolina, USA) was used to fit the linear decline model (Equation (1)) using longitudinal data up to 12 months. The Variable Importance of Projection (VIP) statistically summarises the contribution each variable makes to the model. Wold’s criterion was used to remove items (predictor variables) with a VIP <0.8. The resultant composite score, ADCOMS, is a weighted linear combination of the remaining individual scale items in the final fitted PLS model using the corresponding PLS coefficients as weighting factors.

**Assessing sensitivity of ADCOMS to AD-related clinical decline**

The sensitivity of ADCOMS to clinical decline was measured using the mean to SD ratio (MSDR) of change from baseline over a fixed time period. A larger MSDR implies greater...
sensitivity (ie, greater effect size and/or less noise). The MSDR of a composite score calculated from the same data used to develop this composite score, may be inflated due to model-data dependence and must be corrected for this bias. A conservative estimate of bias due to model-data dependence was calculated to be 5.4% (data on file, Eisai Inc, and Pentara Corp).

Evaluation of ADCOMS

Comparison with existing AD clinical scales
ADCOMS was evaluated, using bootstrap methodology, by comparing its bias-adjusted MSDR for change from baseline with the MSDRs for the ADAS-cog, MMSE, and CDR-SB total scores. The bootstrap estimate of mean MSDR and its 95% CI, were based on 10 000 bootstrap samples. Assessments were conducted for the pooled-MCI data set as well as for the enriched subgroups (ApoE ε4 positive and CSF Aβ1–42 positive). The performance of ADCOMS was also assessed in a time frame beyond 12 months, and in populations of mild AD dementia.

Study sample sizes required to detect a 25% reduction in clinical decline relative to placebo after 12 months of treatment were also calculated using the bootstrap method for the pooled-MCI, pAD (CSF Aβ1–42 positive), ApoE ε4 carrier-enriched aMCI populations, and the mild AD dementia population. Sample size calculation was based on a two-sample t test with an α of 0.05 (2-sided) and a statistical power of 80%. Sample sizes obtained for ADCOMS were based on bias-adjusted MSDR for change from baseline.

Treatment effects
The ability of ADCOMS and other clinical scales to measure treatment effect in both aMCI and populations of mild AD dementia was evaluated by comparing donepezil and placebo groups from the ADCS-MCI and AD-302 studies, respectively. Changes from baseline in ADCOMS, and individual AD clinical scales were analysed using a mixed model for repeated measures (MMRM) approach. The MMRM model included the baseline score of the scales chosen for comparison as a covariate with treatment group, visit, and treatment group-by-visit interaction as fixed effects. A compound symmetry covariance structure was used to model the within-patient error. Least squares means, SE, and 95% CI were calculated for the within-treatment group and between treatment group differences.

RESULTS

Fitted PLS models and ADCOMS
The VIP plot for the linear clinical decline model fitted to the pooled aMCI data set using the PLS regression is shown in figure 1. The horizontal line represents the Wold’s criterion for predictor deletion (VIP<0.8). Twelve items had VIP values ≥0.8 and were included in the final model.

The final composite score, ADCOMS, was a weighted linear combination of the 12 items in the Wold’s PLS model using the corresponding PLS coefficients in the fitted model (table 2). The range of ADCOMS is between 0 and 1.97. Items making contributions to ADCOMS include 4 items of the ADAS-cog; two items of the MMSE, and all six items of the CDR-SB. No additional contribution was seen with inclusion of items from other scales including neuropsychological test items, ADL/FAQ and NPI (data not shown).

When ADCOMS was applied to the pooled aMCI data set, an increase in ADCOMS value was seen between baseline (mean 0.224, SD 0.1029; median 0.209) and 12 months (mean 0.283, SD 0.1835; median 0.247), indicating ADCOMS’ ability to detect clinical decline (mean increase 0.060, SD 0.1360; median 0.033 for participants who had assessments at both baseline and 12 months). The MSDR of change from baseline was 0.4418. The bias-corrected MSDR for change from baseline of ADCOMS was 0.4192.

Evaluation of ADCOMS

Sensitivity to clinical decline compared with individual clinical scales
The sensitivity of ADCOMS for measuring AD-related clinical decline was compared with existing clinical scales in pooled aMCI, ApoE ε4 positive and CSF Aβ1–42 positive pAD/aMCI groups, and pooled-mild AD dementia using the bootstrap estimate of MSDR for change from baseline at 12 months. The MSDR ratios of the ADCOMS scores to individual clinical scales are presented in table 3.

Using the pooled aMCI data set, ADCOMS showed robust statistically significant improvement in sensitivity over the ADAS-cog total score (110% (95% CI 67% to 201%) improvement) and the MMSE total score (90% (95% CI 53% to 152%) improvement), and moderate, but statistically significant improvement in sensitivity over the CDR-SB (19% (95% CI 10% to 30%) improvement). ADCOMS showed similar improvements over the three existing scales in the pAD/enriched aMCI subgroups. Using the aMCI APOE ε4 carrier and pAD/aMCI CSF Aβ1–42 positive data sets, ADCOMS showed 90% (95% CI 42% to 160%) and 139% (95% CI 48% to 309%) improvement in sensitivity compared with the ADAS-cog total score, respectively; 49% (95% CI 20% to 88%) and 71% (95% CI 26% to 138%) improvement compared with the MMSE total score, respectively; and 21% (95% CI 10% to 34%) and 11% (95% CI –2% to 26%) improvement compared with the CDR-SB, respectively. The improvement over the CDR-SB using the pAD/aMCI CSF Aβ1–42 positive data set is significant before adjusting for bias (17% (95%CI 3% to 33%)), but barely misses significance after adjusting for bias (11% (95% CI –2% to 26%)), possibly due to using a conservative calculation for bias adjustment in ADCOMS and the much smaller data set (n=146) available for analyses.

While ADCOMS was developed using longitudinal data from aMCI clinical trials up to 12 months in duration, it maintained its improvement relative to ADAS-cog, MMSE and CDR-SB up to 36 months (see online supplementary appendix 3).

ADCOMS was optimised for sensitivity in an aMCI population, but showed substantial improvement in sensitivity in mild AD dementia compared with the MMSE (83% (95% CI 50% to 127%) improvement), and small and moderate improvements compared with the ADAS-cog (10% (95% CI –7% to 29%) improvement), and the CDR-SB (19% (95% CI 10% to 28%) improvement), respectively, over 12 months.

Sample sizes required in clinical trials based on ADCOMS compared with individual clinical scales
Sample sizes required to detect a 25% reduction in clinical decline from baseline on the ADCOMS and individual scales after 12 months of treatment are shown in table 3. Sample sizes required when using the standard scales were 4.6 (95% CI 2.8 to 9.2) times larger for ADAS-cog, 3.8 (95% CI 2.3 to 6.4) times larger for MMSE, and 1.4 (95% CI 1.2 to 1.7) times larger for CDR-SB relative to ADCOMS for the pooled aMCI population. The same trend was observed in the aMCI ApoE ε4 carriers and pAD/aMCI CSF Aβ1–42 positive subgroups. Sample sizes required when using the standard scales were 3.7 (95% CI 2.0 to 6.8) and 6.3 (95% CI 2.2 to 17.1) times larger for ADAS-cog, respectively; 2.3 (95% CI 1.5 to 3.5) and 3.0
(95% CI 1.6 to 5.4) times larger for MMSE, respectively; and 1.5 (95% CI 1.2 to 1.8) and 1.2 (95% CI 0.97 to 1.6) times larger for CDR-SB, respectively (table 3).

ADCOMS also required smaller sample sizes for mild AD dementia, with individual scales requiring larger sample sizes by factors of 1.2 (95% CI 0.9 to 1.7), 3.4 (95% CI 2.3 to 5.2) and 1.4 (95% CI 1.2 to 1.6) for ADAS-cog, MMSE and CDR-SB, respectively (table 3).

Assessing treatment effect

Treatment effects of donepezil and vitamin E compared with placebo were assessed using ADCOMS and existing scales to determine whether ADCOMS generated consistent outcomes and/or improved ability to distinguish drug from placebo (table 4). Donepezil 10 mg showed statistically significant benefit in aMCI participants (ADCS-MCI) according to ADCOMS (p=0.019) and MMSE (p=0.024), but not with the ADAS-cog or CDR-SB. Results using ADCOMS confirmed the lack of effect of vitamin E (p=0.885), which was consistent with the results seen using individual scales (p=0.755, 0.594, and 0.419 for ADAS-cog, MMSE, and CDR-SB, respectively).

In a donepezil study in patients with mild AD dementia (AD-302), both donepezil 10 and 5 mg showed improvement from baseline, while placebo showed decline from baseline. This was consistent whether measured by ADCOMS, or the three AD scales. Donepezil 10 mg resulted in greater benefit than 5 mg, as assessed by all tools except MMSE. Among all the assessments, ADCOMS provided the strongest evidence (p<0.0001) for treatment differences, with the benefit assessed using ADAS-cog (p=0.0008), MMSE (p=0.00095) and CDR-SB (p=0.017) not being as highly significant.

DISCUSSION

Treatments for AD will maintain cognitive function at higher levels if initiated early in the disease process. Clinical trials for pAD are being initiated, and improved outcomes for these new types of trials are needed. Traditional outcome scales and analytic approaches used in AD studies are less than optimal for measuring clinical progression in pAD/aMCI. ADCOMS is an outcome approach optimised to detect AD-related clinical decline in pAD/aMCI due to AD. It was derived by employing a statistical model to select the most sensitive weighted combination of items from commonly used clinical scales in a large, diverse clinical data set including aMCI/pAD populations.

Table 2  Items selected in the Wold’s PLS model and their corresponding PLS coefficients

<table>
<thead>
<tr>
<th>Scale</th>
<th>Item name</th>
<th>PLS coefficients</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADAS-cog</td>
<td>Delayed word recall</td>
<td>0.008</td>
</tr>
<tr>
<td></td>
<td>Orientation</td>
<td>0.017</td>
</tr>
<tr>
<td></td>
<td>Word recognition</td>
<td>0.004</td>
</tr>
<tr>
<td></td>
<td>Word finding difficulty</td>
<td>0.016</td>
</tr>
<tr>
<td>MMSE</td>
<td>Orientation time</td>
<td>0.042</td>
</tr>
<tr>
<td></td>
<td>Drawing</td>
<td>0.038</td>
</tr>
<tr>
<td>CDR-SB</td>
<td>Personal care</td>
<td>0.054</td>
</tr>
<tr>
<td></td>
<td>Community affairs</td>
<td>0.109</td>
</tr>
<tr>
<td></td>
<td>Home and hobbies</td>
<td>0.089</td>
</tr>
<tr>
<td></td>
<td>Judgement and problem solving</td>
<td>0.069</td>
</tr>
<tr>
<td></td>
<td>Memory</td>
<td>0.059</td>
</tr>
<tr>
<td></td>
<td>Orientation</td>
<td>0.078</td>
</tr>
</tbody>
</table>

ADAS-cog, Alzheimer’s Disease Assessment Scale–cognitive subscale; CDR-SB, Clinical Dementia Rating, sum of boxes; MMSE, Mini-Mental State Exam; PLS, partial least squares.
When applied to a large aMCI population, our PLS model showed that the early stages of AD will follow a similar declining path. Disease, a population that is sufficiently heterogeneous in this slowly progressing neurodegenerative disease construct thereby optimising specificity to AD.

ADCOMS is based on the assumption that despite the inherent heterogeneity in this slowly progressing neurodegenerative disease, a population that is sufficiently well defined to represent the early stages of AD will follow a similar declining path. When applied to a large aMCI population, our PLS model identified key items characteristic of the declining trajectory for pAD/aMCI.

ADCOMS demonstrates improved sensitivity to clinical decline relative to traditional AD scales used alone. Sensitivity to clinical decline in AD, as measured by ADCOMS, was not further improved by adding items from other scales including ADL, FAQ, NPI, and standard neuropsychological tests (data not shown). While executive function is known to decline early in aMCI, none of the corresponding neuropsychological tests included in our analyses were selected by the PLS regression procedure. CDR items may better address executive function indirectly via evaluation of changes in global/functional performance.

### Table 3  ADCOMS improved sensitivity (MSDR) and reduced sample sizes required when compared with original scales

<table>
<thead>
<tr>
<th>Data set (nt)</th>
<th>ADCOMS* and MSDR ratio</th>
<th>ADAS-cog</th>
<th>Reverse MMSE</th>
<th>CDR-SB</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pooled-aMCI (963)</td>
<td>0.4192</td>
<td>0.1957</td>
<td>2.1</td>
<td>0.2206</td>
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<tr>
<td>aMCI APOE ε4 carrier (402)</td>
<td>0.5565</td>
<td>0.3009</td>
<td>1.8</td>
<td>0.3792</td>
</tr>
<tr>
<td>aMCI CSF Aβ1–42 positive (135)</td>
<td>0.7979</td>
<td>0.3583</td>
<td>2.2</td>
<td>0.4817</td>
</tr>
<tr>
<td>Pooled-mild-AD (312)</td>
<td>0.8183</td>
<td>0.7485</td>
<td>1.1</td>
<td>0.4509</td>
</tr>
</tbody>
</table>

### Sample size and sample size ratio

<table>
<thead>
<tr>
<th>Data set (nt)</th>
<th>ADAS-cog</th>
<th>Reverse MMSE</th>
<th>CDR-SB</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pooled-aMCI (963)</td>
<td>1431</td>
<td>6549</td>
<td>4.6</td>
</tr>
<tr>
<td>aMCI APOE ε4 carrier (402)</td>
<td>812</td>
<td>2774</td>
<td>3.4</td>
</tr>
<tr>
<td>aMCI CSF Aβ1–42 positive (135)</td>
<td>396</td>
<td>1957</td>
<td>4.9</td>
</tr>
<tr>
<td>Pooled-mild-AD (312)</td>
<td>376</td>
<td>449</td>
<td>1.2</td>
</tr>
</tbody>
</table>

*MSDR: Mean to SD ratio for change for baseline at 12 months.
†: Number of participants who had assessments at both baseline and 12 months.
‡: Bias-adjusted MSDR was used in MCI population.
§: Ratio=MSDR from ADCOMS/MSDR from original scale.
¶: Ratio=Sample size from original scale/Sample size from ADCOMS.
AD, Alzheimer's dementia; APOE, apolipoprotein E; CDR-SB, Clinical Dementia Rating, sum of boxes; CSF, cerebrospinal fluid; MMSE, Mini-Mental State Exam.

The derivation of ADCOMS used robust mathematical approaches to ensure its objectivity. PLS is a method for modelling the relationship between one or more responses and several correlated independent variables. It combines a regression approach, optimising sensitivity to decline, with a technique similar to a principal components analysis which identifies items that converge on a unified disease construct thereby optimising specificity to AD.

ADCOMS is based on the assumption that despite the inherent heterogeneity in this slowly progressing neurodegenerative disease, a population that is sufficiently well defined to represent the early stages of AD will follow a similar declining path. When applied to a large aMCI population, our PLS model identified key items characteristic of the declining trajectory for pAD/aMCI.

ADCOMS demonstrates improved sensitivity to clinical decline relative to traditional AD scales used alone. Sensitivity to clinical decline in AD, as measured by ADCOMS, was not further improved by adding items from other scales including ADL, FAQ, NPI and standard neuropsychological tests (data not shown). While executive function is known to decline early in aMCI, none of the corresponding neuropsychological tests included in our analyses were selected by the PLS regression procedure. CDR items may better address executive function indirectly via evaluation of changes in global/functional performance.
ADCOMS was shown to be more sensitive than individual scales to AD-specific clinical decline in an enriched aMCI population defined by APOE e4 carrier status (MSDR=0.56) and pAD defined as aMCI with reduced CSF Aβ1–42 levels (MSDR=0.81). This supports the objective of developing ADCOMS for use in pAD clinical trials with disease-modifying agents. ADCOMS maintained its sensitivity in very mild AD dementia and can be applied in mixed pAD/mild AD dementia trials including pAD patients who progress to mild AD dementia over the treatment period in trials. ADCOMS’ improved sensitivity to clinical decline has the benefit of reducing sample size requirements for pAD trials. Clinical assessment of disease-modifying agent targeting a 25% slowing of clinical progression in pAD patients with 80% power and two-sided 0.5 α would require 400 patients per arm with ADCOMS, 2507 per arm with ADAS-cog, and 494 per arm with CDR-SB.

A suitable clinical outcome for clinical trials in pAD/aMCI must be sensitive to both clinical decline and treatment effect. ADCOMS demonstrated the largest sensitivity to treatment effects associated with donepezil in the ADCS-MCI trial compared with ADAS-cog, MMSE and CDR-SB. CDR-SB showed relatively high sensitivity to clinical progression in aMCI but less sensitivity to treatment effects. Overall, ADCOMS showed high sensitivity to both clinical decline and treatment effect in pAD/aMCI/mild AD dementia populations. A limitation in this development approach is that changes produced by cholinesterase inhibitors may differ from effects produced by other agents.

A potential limitation of the ADCOMS is the fact that ADCOMS’ performance was evaluated using the same data that were administered to develop the instrument. This could inflate the performance of ADCOMS because of model-data dependence. To address this potential effect, we estimated the bias introduced by the model-data dependence and used the estimated bias to produce adjusted estimates of the ADCOMS performance. In developing ADCOMS data from ADNI, an observational study, and three interventional studies were pooled. Ideally, one would pool data only from placebo groups in interventional trials to create a new clinical outcome tool. At the present time, there exists a very limited number of MCI/MCI due to AD/pAD trial placebo groups that are publically available; to make analyses more robust, results from ADNI are frequently used as a simulated placebo-treated group when designing clinical trials as was done in development of ADCOMS.

To overcome these limitations, it is necessary to evaluate the performance of ADCOMS using completely independent data sets. Recently, the performance of ADCOMS in pAD participants was evaluated using an entirely independent Phase 3 data set from pAD participants. In the SCARLET RoAD (NCT01224106; WN25203) trial, sensitivity to change was evaluated via MSDR for the change from baseline to Week 104 in participants randomised to placebo (n=105). ADCOMS outperformed all other outcome measures, including CDR-SB, and was the only measure considered to have a robust responsiveness to change (0.86). These results further support our conclusion that ADCOMS represents an improved clinical approach as compared with traditional AD tools when tracking decline in pAD/MCI due to patients with AD.

ADCOMS is not a new clinical trial instrument. It is an analytic strategy based on commonly used clinical trial instruments that are administered in their entirety and are familiar to AD trial personnel. Implementation of ADCOMS as an outcome approach in pAD/aMCI/mild AD dementia trials will be based on standard administration of conventional clinical trial instruments with analysis of the collected data, as specified by the ADCOMS methodology. ADCOMS consists of 12 items of which six are the CDR domains, five are performance-based items from ADAS-cog and MMSE; one ADAS-cog item, word finding difficulty, is judgement dependent. The six CDR items also are highly dependent on input from a caregiver and on the examiner’s judgement. The composition of ADCOMS includes items from three performance-based tests—ADAS-cog, MMSE and CDR. The ADCOMS has more performance-based measures than the CDR-SB and may offer a more objective outcome assessment.

The Critical Path Institute was launched by the FDA to support activities leading to safer, faster and more efficient clinical trials. This institute provides funding to CAMD and has the responsibility of promoting development of new clinical outcomes. The Coalition has adopted ADCOMS for qualification as a primary outcome measure in trials of pAD/aMCI.

CONCLUSIONS

Our results indicate that ADCOMS optimises sensitivity to clinical disease progression and specificity to the clinical decline that is characteristic of AD in the early stages. ADCOMS is more sensitive to change and treatment effects than individual trial instruments. Use of ADCOMS may enable using smaller sample sizes to demonstrate a drug-placebo difference. ADCOMS may be used as an AD clinical outcomes approach in trials of 12 months’ duration or longer targeting participants with aMCI, pAD and mild AD dementia.

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