Vestibular involvement in spastic torticollis

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SUMMARY Vestibular findings in a group of 35 patients with spastic torticollis without other otological or neurological symptoms were reviewed. The most consistent abnormality, present in more than 70% of cases, was a directional preponderance of vestibular nystagmus in the dark in a direction opposite to the head (chin) deviation. Rigidly clamping the head to a rotating chair did not abolish the directional preponderance. In the presence of optic fixation the directional preponderance was less frequent and its severity tended to diminish as a function of the duration of the disease. Smooth pursuit and optokinetic nystagmus were only occasionally affected. The results are indicative of primary involvement of the vestibular system in spastic torticollis and are discussed in terms of a break-down of the central mechanisms conveying sensory information responsible for head and eye orientation.

Spastic torticollis is a motor disorder comprising involuntary activation of the neck muscles resulting in intermittent or persistent deviation of the head. Although the underlying pathophysiology is unknown, the disorder is usually considered to be one of the extra-pyramidal system particularly involving the basal ganglia. On the other hand, in animals vestibular lesions cause striking postural derangement of the head position and in man there are sporadic reports of vestibular abnormalities in patients with spastic torticollis. Indeed operations on the vestibular system have been used as a treatment for spastic torticollis in man. It is not clear, however, whether the vestibular abnormalities found in patients with spastic torticollis are primarily causative or the result of the abnormal head posture or the result of abnormal interaction with other sensory-motor systems.

In an attempt to clarify this we have looked at two groups of patients with spastic torticollis in whom extensive vestibular investigations were undertaken. The first included all the patients with spastic torticollis referred to the MRC Neuro-Otology Unit at the National Hospital, whose results will be discussed here. The second group comprises three patients each of whom developed spastic torticollis in association with an 8th nerve disturbance and will be considered in a separate paper.

Material and methods

Clinical records of 71 patients with torticollis seen in the MRC Neuro-Otology Unit at the National Hospital between 1957 and 1984 were reviewed. As it was intended that abnormalities found on vestibular testing should be strictly related to spastic torticollis, all cases with additional neurological signs were excluded (28 cases). Most of these 23 patients had focal or generalised dystonic features, writer's cramp, Parkinsonism, tremor, pyramidal lesions or cerebrovascular disease. Five patients with otological diseases were also excluded as were six additional patients. Of these latter six, two had pure retrocollis, two had psychogenic torticollis, one had incomplete medical records and one had tuberculosis of the cervical vertebrae. Three patients had more than one condition resulting in their exclusion.

The clinical material thus comprises 35 patients (18 female and 17 male). The mean age at the time of the neuro-otological examination was 46 years (24 to 70 years) with a mean duration of spastic torticollis of 4 years (10 weeks to 19 years). The head could be rotated or tilted in a tonic or spastic manner; the most frequent abnormality was a combination of these four conditions. The direction of the torticollis was specified by the chin position relative to the midline, that is chin to the right is right torticollis.

Clinical neuro-otological evaluation included assessment of the Romberg test and gait (eyes open and closed). Any nystagmus in primary position or with gaze deviation to right, left, up and down was noted. The Hallpike manoeuvre was used to produce positional nystagmus. Optokinetic nystagmus (OKN) was elicited with a small black and white striped drum rotated in the vertical and horizontal planes.
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Smooth pursuit eye movements were assessed clinically in 15 patients. Caloric tests were performed in the presence of visual fixation with the head held in the primary position in 31 patients. Methods and criteria used to establish abnormalities of the various caloric patterns were as in Fitzgerald and Hallpike;7 nine patients had, in addition, caloric testing in the dark while their eye movements were observed either with an infra-red viewer or with Frenzel’s glasses. Four patients did not undergo caloric testing.

In 24 patients electro-nystagmography (ENG) had been obtained with bitemporal electrodes and direct current amplification. The paper recordings were available and re-evaluated. All the recordings included traces on primary gaze and on 30° deviation to the right and the left both in the presence of visual fixation and in darkness. OKN was investigated either with a small drum or full field stimulation in 18 patients. Smooth pursuit was assessed in 13 cases. OKN and smooth pursuit were inspected visually from the paper recordings and, when in doubt, slow phase velocity was measured by hand. Labyrinthine responses to an impulsive rotation to a velocity of 40°/s were assessed in 13 patients and the duration of the nystagmic response to the start and the stop impulse was measured. During ENG recording attempts were made to restrict head movement as much as possible by providing the subjects with a chin and a head rest. However, as this was not always entirely satisfactory, five patients were additionally tested on another revolving chair in which, by means of a head rest and bi-auricular head clamp, complete head immobility was achieved. These patients underwent sinusoidal rotation in the dark at a frequency of 0.3 Hz and peak velocity of approximately 50°/s.

Results

(a) Clinical assessment

Body balance was largely preserved in spasmodic torticollis; Romberg’s test was negative in all 35 patients and gait was normal in 31. There was minimal lataropulsion in four patients (11%) on walking with the eyes closed. This body deviation was in the same direction of torticollis in one case and opposite in the other 3.

A summary of the vestibulo-oculomotor findings and their relation to the side of the neck torsion is presented in table 1. Spontaneous nystagmus on primary gaze (2nd°) was never found and 1st° nystagmus was exceptional. When present, however, it was of vestibular type and was usually apparent when the patients looked in the opposite direction to their torticollis. Positional nystagmus was seen in only two cases; it was in the direction opposite the torticollis. Of some interest were the five cases whose torticollis was markedly influenced by positional changes being more prominent in the upright position in two patients and while lying down in three. OKN was essentially normal although in 23% of cases a slight directional preponderance in the direction opposite the torticollis was found. Smooth pursuit was normal in the 15 patients examined.

(b) Electornystagmography

The results are summarised in Table 2. In 11 out of 24 cases (46%) a vestibular nystagmus in the direction opposite to that of the torticollis was clearly seen in the dark. In eight out of these 11 cases it was found on both eccentric and primary gaze (2nd°); in the other three the nystagmus was of 1st° only. The nystagmus was usually abolished on fixation. Less frequently ipsilateral or bilateral nystagmus was found. Although

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Abnormalities in clinical vestibular testing</th>
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</thead>
<tbody>
<tr>
<td>Spontaneous nystagmus n = 35</td>
<td>Positional nystagmus n = 35</td>
</tr>
<tr>
<td>Ipsilateral</td>
<td>3%</td>
</tr>
<tr>
<td>Contra lateral</td>
<td>11%</td>
</tr>
<tr>
<td>Bilateral</td>
<td>0%</td>
</tr>
<tr>
<td>Normal</td>
<td>86%</td>
</tr>
</tbody>
</table>

n = number of patients examined.
Ipsilateral-contralateral = abnormality in the same-opposite direction of torticollis in this and subsequent tables.
OKN = optokinetic nystagmus.
DP = directional preponderance of nystagmus.
CP = canal paresis.
SP = smooth pursuit.

<table>
<thead>
<tr>
<th>Table 2</th>
<th>ENG abnormalities</th>
</tr>
</thead>
<tbody>
<tr>
<td>Spontaneous nystagmus n = 24</td>
<td>OKN DP n = 18</td>
</tr>
<tr>
<td>Light</td>
<td>Dark</td>
</tr>
<tr>
<td>Ipsilateral</td>
<td>0%</td>
</tr>
<tr>
<td>Contralateral</td>
<td>0%</td>
</tr>
<tr>
<td>Bilateral</td>
<td>4%</td>
</tr>
<tr>
<td>Normal</td>
<td>96%</td>
</tr>
</tbody>
</table>
in more than half of the cases OKN was normal, a
directional preponderance opposite to the torticollis
was encountered in 39%. Smooth pursuit was normal
in most patients tested; in two out of 13 (15%) pa-
tients pursuit was moderately broken (saccadic) in the
direction opposite to the torticollis.

(c) Rotational and caloric testing
Labyrinthine function assessed by the caloric test or
rotation was usually abnormal. Only eight of 31 pa-
tients (26%) in whom caloric test was performed in
the presence of fixation and one of the nine patients
(11%) who were also assessed in the dark had normal
responses to irrigation. There was a caloric direc-
tional preponderance in the direction opposite to the
torticollis in 33% of the patients in the light and 78%
of patients in the dark. Other abnormalities, listed in
table 1, were less frequent.

None of the 13 patients in whom rotational tests
were done had a normal symmetrical response (table
2). 76% of the patients had a clear directional pre-
ponderance in the direction opposite the torticollis, a
figure in good agreement with that obtained with cal-
oric testing in the dark (78%).

An attempt was made to correlate the degree of
asymmetry found in the vestibular tests with features
of the disease. Unfortunately we had no reliable way
of assessing the severity of spasmodic torticollis.
However the duration of the disorder seemed to be
inversely related to the degree of asymmetry found on
caloric testing. Since the duration of caloric and rota-
tional nystagmus was available in all cases a direc-
tional preponderance index was calculated using the
following formula:

\[
\text{Duration of induced nystagmus in the direction of torticollis (}d_o\text{)}
\]

\[
\text{Duration of induced nystagmus in the direction opposite to the torticollis (}d_a\text{)}
\]

From this it can be seen that if, \(d_o/d_a < 1\) the direc-
tional preponderance was opposite to torticollis while
if \(d_o/d_a > 1\) the directional preponderance is in the
same direction of torticollis; \(d_o/d_a = 1\) indicates sym-
metric responses.

The directional preponderance indices of 29 out of
31 cases who had caloric testing in the light were cal-
culated; two cases had to be discarded because in one
of them the caloric test had been repeated with con-
tradictory results and, in the other, only one of the
four irrigations induced nystagmus. The directional
preponderance indices of caloric test in the light of
spasmodic torticollis patients with less than a year’s
duration were 0.77, SD = 0.17 (n = 10), between 1 to
5 years = 0.92, SD = 0.13 (n = 11) and more than 5
years = 0.97, SD = 0.11 (n = 8) (fig 1). There was a
positive logarithmic correlation between the duration
of the illness and directional preponderance index (\(r = 0.55, p < 0.01\)). This indicates that spasmodic tor-
icollis patients show a tendency to have more active
nystagmus (directional preponderance) in a direction
opposite to the torticollis and that this asymmetry, in
the presence of optic fixation, tends to decrease with
time.

Further analysis of these data was undertaken. If
only those patients who had rotational or caloric di-
rectional preponderance in the direction opposite to
torticollis are considered (17 of the original 31) a bet-
ter inverse correlation between the duration of the
torticollis and the directional preponderance is found
\(r = 0.75 \ p < 0.01\) (fig 2). On the other hand, in the
same group there was no correlation between the di-
rectional preponderance obtained on caloric testing
in the dark or on rotational testing and the duration of
the illness (fig 3). These data indicate that although
directional preponderance in the presence of fixation
shows a progressive reduction with time, the direc-
tional preponderance in the dark remains unchanged.

In order to establish whether the directional pre-
ponderance was a trivial consequence of the head
movement or posture five patients (plus one with ad-
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![Graph showing symmetric caloric response (fixating)](image)

Fig 2 *Relationship between duration of torticollis and directional preponderance indices of caloric test in the light. Only the patients who had a rotational or caloric directional preponderance contralateral to the torticollis are presented.*

![Graph showing directional preponderance](image)

Fig 3 *Relationship between duration of torticollis and directional preponderance indices in the dark in patients with caloric or rotational directional preponderance contralateral to the torticollis.*

Discussion

Our results show that there are neuro-otological abnormalities in patients with spasmodic torticollis. The most consistent finding is the directional preponderance of vestibular induced nystagmus opposite to the direction of the torticollis. Since the directional preponderance is specified by the fast phase of the nystagmus the vestibular induced slow phase is greater in the opposite direction to the directional preponderance and thus in the same direction as the torticollis. The same trend was noted, although not emphasised, by Matthews *et al.* Such a finding is consistent with the hypothesis that there is tonic imbalance of muscle activity both in the neck and the extra-ocular system which tends to deviate the head and the eyes in the same direction. That this directional bias of the vestibularly elicited eye movements is not a trivial consequence of the head movement is supported by three observations.

Firstly, in normal subjects, forced rotation of the head does not result in a directional preponderance of the rotational response (unpublished observations). Secondly, if the head is fixed during vestibular stimulation in a patient with torticollis the directional preponderance persists. Thirdly, if the directional preponderance were a consequence of the position of the head it might be expected to remain constant or even increase with time. In fact the reverse occurs in spite of the torticollis persisting. For these reasons we think the directional preponderance of induced nystagmus is more fundamentally related to the torticollis.

From the available evidence the type of neuro-otological abnormalities found indicate that the vestibular system itself, rather than other ocular-motor sub-systems, is affected. This is suggested by the much higher incidence of directional preponderance or...
spontaneous nystagmus in the dark than in the light, indicative of a preserved capacity to compensate for vestibular asymmetry by fixation, and by the relative integrity of the more purely visuo-motor tests such as smooth pursuit and to a lesser degree OKN.

It might be argued, based on some isolated reports in the clinical literature, that the torticollis and directional preponderance stem from a vestibular end organ, 8th nerve or vestibular nuclear disturbance. Although this is a superficially attractive hypothesis, it is unlikely to be true since the vast majority of cases of spasmodic torticollis have no overt 8th nerve symptomatology.

It is more likely that the neuro-otological abnormalities are due to a central disturbance of posture which also affects the tonus balance of the vestibulo-ocular system. In Parkinson's disease, for instance, abnormalities of caloric,9 rotational10 and tilting reactions11 have been reported which could be explained on a similar basis. Unfortunately, however, unilateral cases were not presented separately in order to determine whether basal ganglia diseases other than torticollis are able to produce a directional preponderance in the vestibular tests.

The vestibular system has complex connections within the brain stem, thalamus and cortex where interaction with other sensory modalities can occur.12–17 It is possible that the abnormality in spasmodic torticollis is a break down of these central connections and particularly their interaction with other modalities signalling posture of the somatic musculature controlling head and eye position. Such a break down is consistent with a perverted or exaggerated response to sensory stimuli in patients with spasmodic torticollis and would account for the observations that patients are able to control their head position by cutaneous stimulation, have increased sensitivity to proprioceptive input18 and for the effect of position with respect to gravity seen in five of our cases. The possibility that in some cases an abnormal peripheral labyrinthine input might play a role in the genesis of this break down is, however, an open question currently being investigated.

It is apparent from the present series that the directional preponderance in the direction opposite to the chin deviation, although frequently found, is not a constant feature of the disease. We suggest two possible reasons for this. Firstly, there is evidence from stereotaxic surgery that different forms of spasmodic torticollis (jerky, tonic, rotational, tilted) have a distinctive anatomical basis.19 It is thus possible that different vestibular pathways are involved in various types of spasmodic torticollis. Secondly, the type and degree of compensation of the vestibular derangement could vary. Although this is entirely speculative there is no doubt that such compensation mechanisms are active in patients with spasmodic torticollis at least as far as the vestibulo-ocular system is concerned, in that removal of fixation in our study, resulted in an asymmetry of the vestibular ocular reflex in many patients. The exact nature of these complex derangements and corrective processes, however, remains as obscure as when Barre2 proposed a similar mechanism over sixty years ago.

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