Symptomatic and functional outcome of surgical treatment of cervical dystonia

Joachim K Krauss, Elizabeth G Toups, Joseph Jankovic, Robert G Grossman

Abstract

Objectives—Previous studies of surgical treatment for cervical dystonia have reported highly variable rates of postoperative symptomatic benefit and morbidity. Little is known about functional improvement and long term results. This study evaluates the symptomatic and functional outcome of surgical treatment of cervical dystonia in a consecutive series of 46 patients.

Methods—The most affected muscles were selected for denervation after clinical examination and confirmation by four channel EMG studies. Surgical treatment, aiming at selective elimination of pathological activity while preserving normal motor function and avoiding side effects, was achieved by using a broad scope of techniques including intradural denervation, extradural denervation, and myotomy. Rather than carrying out standard operations, the treatment was tailored to the needs of the patient according to the individual pattern of dystonic activity. Long term benefit was assessed with a global outcome score, and a modified Toronto western spasmotic torticollis rating scale (TWSTRS) in those 34 patients who were available for a recent follow up evaluation.

Results—The 46 patients underwent a total of 70 procedures with intradural approaches in 33 instances, extradural approaches in 21, and muscle sections (singly or combined) in 22 instances. Transient mild postoperative side effects occurred in 10% of the procedures. The mean duration of long term follow up was 6.5 years. The global outcome was rated as excellent in nine patients (21%), as marked in 12 (27%), as moderate in nine (21%), as mild in nine (21%), and as no improvement in five (11%). A persistent side effect consisting of mild difficulty with balance was noted in one case. There were highly significant changes of the preoperative and postoperative mean values for almost all TWSTRS subscores for severity of cervical dystonia, functional disability, and pain. Patients with excellent outcome underwent a higher number of surgical procedures on average than those patients who achieved no benefit.

Conclusions—Surgical treatment tailored to the specific pattern of dystonic activity in the individual patient is a valuable alternative in the long term management of cervical dystonia.

Keywords: cervical dystonia; surgical treatment; torticollis

Cervical dystonia is the most common form of focal dystonia. It is characterised by involuntary, sustained contractions of cervical muscles producing abnormal head movements or postures. The active contractions of the corresponding muscles can be identified by palpation. We prefer the term cervical dystonia rather than spasmodic torticollis as only some patients present with simple turning of the head and the abnormal movement is not always spasmodic. Furthermore, non-dystonic abnormal neck postures have also been called torticollis. Medical treatment of cervical dystonia often renders limited benefit and side effects are common. The injections are effective in most patients and, in general, produce mild side effects. The effect, however, lasts only three to four months and the injections have to be repeated indefinitely. Botulinum toxin A renders only limited benefit or is not effective in 6%–14% of patients, and it loses its initial efficacy in at least 3% of patients with continued use.

Surgical treatment of cervical dystonia was considered as early as the last century. Various procedures have been investigated to achieve permanent relief including functional stereotactic operations such as thalamotomy, spinal cord stimulation, microvascular decompression, myotomy, and denervation techniques.

The approaches used most often currently utilise intradural and extradural nerve sectioning techniques. The primary goal of both techniques is to reduce increased muscle tone. Until a few years ago, extradural sectioning of the upper cervical anterior nerve roots (rhizotomy) and the spinal accessory nerve roots was the most common surgical procedure for cervical dystonia. The procedure in general was performed in a standardised manner with bilateral sectioning, not taking into account the specific pattern of dystonic activity in the individual patient. Wide variations in postoperative outcome have been described. Occasionally, severe complications have occurred and some series have reported frequent postoperative morbidity. Extralateral denervation procedures have been favoured more recently.

Department of Neurosurgery, Baylor College of Medicine, Houston, Texas
J K Krauss
E G Toups
R G Grossman

Department of Neurology, Baylor College of Medicine, Houston, Texas
J Jankovic
Correspondence to:
Dr Joachim K Krauss,
Department Neurochirurgie,
Inshospital, University of Berne, 3010 Berne, Switzerland.

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With this technique, the posterior primary divisions of the cervical nerve roots (rami sec- 
tom) and the branches of the spinal accessory 
nerve to the sternocleidomastoid muscle are 
sectioned. 9 14 24 26 Only the rami and nerves to 
the dystonic muscles are sectioned, and those 
not involved in the production of dystonia are 
spared. Bertrand has coined the term selective 
peripheral denervation for this approach. 9 14 The 
use of myotomies has been controversial, 
although beneficial results have been described 
in some studies. 27 Overall, it is most difficult to 
appreciate the role of different surgical proce-
dures performed for cervical dystonia and to 
compare their results. The methods of assess-
ment of the outcome in different surgical series 
have been criticised. 14 Many studies have used 
only subjective global outcome scales, and fol-
low up was evaluated by chart reviews or by 
questionnaires. Little is known about the long 
term benefit and the improvement of func-
tional disability.

This scenario prompted us to review our 
experience with the surgical treatment of cervi-
cal dystonia over a period of 16 years. We have 
used and continue to use different surgical 
options or a combination of techniques such as 
intradural rhizotomy, peripheral denervation, 
and myotomy. Instead of performing a stan-
ard procedure the technique is adapted to the 
pattern of dystonic muscle contractions in the 
individual patient. 13 The primary rationale for 
this approach is the selective weakening of the 
muscles that produce the dystonic posture, 
with preservation of normal muscle function 
and the avoidance of side effects. This approach 
is guided by four channel EMG investigation 
and may include a subsequent procedure if necessary to produce optimal 
symptomatic and functional benefit. The 
present study considers the symptomatic and 
functional long term outcome as assessed with 
a modified form of an established clinical 
rating scale.

Patients and methods

PATIENT SELECTION AND PREOPERATIVE 
ASSESSMENT
Between 1980 and 1996, 52 patients with cer-
vical dystonia underwent surgical treatment at 
the Department of Neurosurgery at Baylor 
College of Medicine. Six patients were 
excluded from this analysis. In three of these 
patients extradural spinal electrodes were 
implanted for dorsal column stimulation with 
which, however, proved to be ineffective. The 
other three patients had thalamotomies per-
formed, but primarily for contralateral appen-
dicular dystonia.

Patients were referred for surgery from the 
movement disorders clinic. The preoperative 
routine assessment included extensive 
neurological examination with videotaped 
documentation of the movement disorder. 
Conservative treatment consisted of physical 
therapy, pharmacotherapy, and, since 1987, 
botulinum toxin injections. Surgical treatment 
was considered when the movement disorder 
was disabling or a cause of considerable social 
embarrassment, and when it did not respond 
satisfactorily to drugs, when medical therapy 
was not tolerated, or when botulinum toxin did 
not render adequate benefit or became inef-
fective with repeated injections. Four channel 
EMG was performed to delineate the involve-
ment of different cervical muscles. Routine 
recording included the sternocleidomastoid, 
trapezius, splenius, and semispinalis muscles, 
and in patients with complex dystonic patterns, 
the levator scapulae, scalenae, and paraspinai 
erector trunci muscles. Recordings were made 
at rest and with the head turned to the right 
and to the left. The degree of the pathological 
activity was rated semiquantitatively with a five 
point scale.

SURGICAL PLANNING AND OPERATIVE 
TECHNIQUES
Planning of the surgical procedure was guided 
by the pattern of dystonic activity in the 
individual patient. Muscles which did not con-
tribute to the movement disorder were not 
denervated. Whereas selective intradural tech-
niques were used almost exclusively in the early 
cases of this series, both intradural and 
extradural methods were performed in recent 
patients. Occasionally, the procedure was 
planned to be performed in successive steps in 
patients with complex dystonic patterns to 
avoid excessive postoperative weakness or 
discomfort. With successive operative steps 
some patients underwent both intradural 
procedures and peripheral denervations. Selec-
tive muscle sectioning was used only excep-
tionally as a single operation but commonly as 
part of stepwise procedures, as an adjunct to 
another technique, or for treatment of residual 
dystonia after incomplete denervation. The 
choice of treatment also depended on the 
patient’s informed decision. Some patients 
preferred to have a muscle sectioned first rather 
than an intradural operation with its possible 
risks, although they were aware that the first 
might render less benefit. All procedures were 
performed under general anaesthesia.

The planning strategies may be illustrated 
best by the following examples. In patient A, 
with “pure” torticollis to the right, secondary 
to dystonic activity of the left sternocleido-
mastoid and the right splenius, alternative proce-
dures would include intradural C1–C3 anterior 
rhizotomy on the right side and section of the 
spinal accessory nerve rootlets for the sterno-
iclomastoid on the left side, or selective 
peripheral denervation with right sided 
rami section and peripheral section of the spi-
nal accessory nerve branch to the sternocleido-
mastoid. If the sternocleidomastoid were to 
have a very high level of activity and the 
splenius were to show only very little involve-
ment a possible two step operation could be 
considered, with the first (and eventually the 
only) step consisting of myotomy or peripheral 
denervation of the sternocleidomastoid. In 
patient B, with torticollis to the right and tilting 
of the head to the right, secondary to activity 
mainly of the right splenius, treatment options 
would include selective intradural C1–C3 
anticipatory rhizotomy on the right side or right 
sided ramisectomy. In patient C, however, with
torticollis to the right, tilting of the head to the left, and retrocollis, secondary to bilateral involvement of various muscles including the left sternocleidomastoid, a two step procedure would be performed, with bilateral rhizotomy or ramiesectomy as the first step, and peripheral denervation or section of the sternocleidomastoid as the second step. The operative techniques will be outlined briefly.

Intradural section of nerve roots were carried out with the patient in the prone position. Depending on the pattern of the cervical dystonia the procedure involved unilateral rhizotomy, bilateral rhizotomy, or a combination of anterior rhizotomy with contralateral or ipsilateral sectioning of the spinal accessory nerve. Via a posterior midline approach a small suboccipital craniotomy and laminectomies or hemilaminectomies of the upper three cervical vertebrae were performed. After opening of the dura, the anterior C1-C3 roots were identified and sectioned after stimulation. When the procedure was combined with sectioning of the spinal accessory nerve, the accessory nerve was sectioned at the level of the C1 root.

Extradural section of the posterior rami was done according to the technique described by Bertrand. 28 Again, depending on the dystonic pattern, either unilateral or bilateral procedures were performed. The dorsal rami were approached via a midline incision and exposed by reflecting the posterior muscles laterally. In general, the dorsal rami were sectioned from C1-C6. The spinal accessory nerve branch to the sternocleidomastoid muscle was sectioned via an incision in the lateral cervical region.

EVALUATION OF OUTCOME

Recent follow up reviews were sought from all patients who were alive in 1996. Two of the 46 patients had died secondary to causes not related to the surgical treatment. All patients who could be located were telephoned and were invited for a follow up visit. Standardised telephone interviews were obtained in 16 patients who could not come to Houston, and 18 patients were seen as outpatients. In all these 34 patients a modified form of an established clinical rating scale, the Toronto western spastic torticollis rating scale (TWSTRS) 28 was administered. Additional information was collected from the patients’ families.

The severity of cervical dystonia was assessed with graded scales for 12 sub-items. Rotation, head tilt, anteflexion/retroflexion, the percentage of awake time with cervical dystonia, shoulder elevation, range of voluntary motion, and the time the head can be held straight or almost straight, were rated with four point scales; the effect of sensory tricks was rated with a three point scale; and lateral shift, sagittal shift, head tremor, and head jerking were rated with two point scales. The maximum total score for the severity scale was 27.

Functional disability was evaluated with graded scales for 12 subitems including work/domestic work, driving, reading, writing, watching television, outside activities, drinking, eating, personal hygiene, dressing, verbal communication, and the degree of social embarrassment. Impairment of the specific function was scored with a six point grading (0 = normal, 5 = extreme or unable to do). The maximum total score for the disability scale was 60. Local pain was assessed with two four point scales, one for severity and one for presence of pain, with a maximum score of 6.

Preoperative ratings were obtained retrospectively according to the neurological examination before surgery for the severity scale, and according to a questionnaire for the disability scale. The information was verified by review of the videotapes. In addition, global outcome was rated by both the examiner and the patient as: none 0; mild 1; moderate 2; marked 3; and excellent, 4. “Excellent” indicated a total or near total cure of the condition. In patients in whom no recent follow up was obtained, only the global outcome was rated according to the documentation of previous follow up visits. For statistical analysis the paired t test, the Wilcoxon test, the $\chi^2$ test, and Fisher’s exact test were used.

Results

DEMOGRAPHIC AND CLINICAL FEATURES

There were 28 women and 18 men. The mean age at the time of the (first) surgery was 46 (range 17–68) years. Cervical dystonia was classified as idiopathic in 35 patients, and as secondary in 11. There was a history of previous neck trauma in seven patients with idiopathic cervical dystonia. Cervical dystonia was associated with prior exposure to neuroleptic drugs in six patients, meningoencephalitis in two, stroke in two, and it was thought to be secondary to birth injury in one. The average age at onset of cervical dystonia was 36 (range 0.5–65) years. Table 1 shows the distribution of the different patterns of cervical dystonia. Table 2 shows the associations with other movement disorders. All patients had received various drugs before surgery. Limited benefit was achieved with trihexyphenidyl in 18 patients, and with tetrabenazine in 11. Botulinum toxin injections had been given in 24 patients: five of these patients were

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### Table 1: Patterns of abnormal head postures in 46 patients with cervical dystonia

<table>
<thead>
<tr>
<th>Pattern</th>
<th>n (%)</th>
</tr>
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<tbody>
<tr>
<td>Rotation only</td>
<td>8 (17)</td>
</tr>
<tr>
<td>Tilt only</td>
<td>2 (4)</td>
</tr>
<tr>
<td>Rotation and tilt (ipsilateral)</td>
<td>14 (30)</td>
</tr>
<tr>
<td>Rotation and tilt (contralateral)</td>
<td>5 (11)</td>
</tr>
<tr>
<td>Rotation and retrocollis</td>
<td>5 (11)</td>
</tr>
<tr>
<td>Rotation and anterocollis</td>
<td>1 (2)</td>
</tr>
<tr>
<td>Tilt and retrocollis</td>
<td>2 (40)</td>
</tr>
<tr>
<td>Rotation, tilt, and retrocollis</td>
<td>9 (20)</td>
</tr>
</tbody>
</table>

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### Table 2: Association of cervical dystonia with other movement disorders in 46 patients with cervical dystonia

<table>
<thead>
<tr>
<th>Movement Disorder</th>
<th>n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Generalised dystonia</td>
<td>6 (13)</td>
</tr>
<tr>
<td>Oromandibular dystonia</td>
<td>2 (4)</td>
</tr>
<tr>
<td>Spasmodic dysphonia</td>
<td>1 (2)</td>
</tr>
<tr>
<td>Segmental dystonia</td>
<td>1 (2)</td>
</tr>
<tr>
<td>Hemidystonia</td>
<td>1 (2)</td>
</tr>
<tr>
<td>Essential tremor</td>
<td>13 (28)</td>
</tr>
</tbody>
</table>
considered primary non-responders, 14 patients had decreased benefit with repeated injections (usually due to development of immunoresistance), and five had sustained improvement but sought more permanent relief. The mean duration of cervical dystonia at the time of the (first) surgery was 9.8 (range 0.5–53) years.

Operating Procedures and Early Postoperative Results
The 46 patients underwent a total of 70 operations. Table 3 shows the numbers of the different procedures that were used. In 26 patients only one operation was performed, whereas 16 patients had two subsequent operations, and four had three procedures. Second step operations were performed within one month to three years (mean 13 months) after the first procedure. Third step operations were performed within six months to one year after the second procedure.

Different degrees of improvement were seen in all patients in the early postoperative period. In general, patients who underwent denervation procedures noted further benefit within four weeks to six months after surgery. In individual patients cervical dystonia improved gradually for a period as long as four years postoperatively. Transient mild postoperative side effects occurred in seven (10%) out of the 70 procedures: a 38 year old woman with tardive dystonia experienced a psychotic episode postoperatively; a 33 year old woman developed cellulitis at the site of the skin incision; one patient had severe headaches; and two patients complained about increased neck pain after intradural approaches; two patients noted difficulty swallowing after bilateral anterior rhizotomies.

Long Term Results
The mean duration of postoperative follow up in 44 patients was 6.5 (SD) 4.7 (range 0.5–16) years. No follow up was available in two other patients. The global long term improvement was rated as excellent in nine patients (21%), as moderate in nine patients (21%), as mild in nine patients (21%), and as none in five (11%). In two of the last five patients cervical dystonia progressed on subsequent follow up. Only one patient with a persistent side effect was identified. This 44 year old man had mild difficulty with balance after intradural denervation. Overall, there was no significant difference in the distribution of outcome scores between patients with idiopathic and secondary cervical dystonia, although only one patient with secondary dystonia had an excellent outcome. There was also no statistical difference in the distribution of outcome when the five patients who primarily did not respond to botulinum toxin injections were compared with the responders.

Table 4 shows the mean preoperative and postoperative subscores for the severity of the cervical dystonia, functional disability, and associated pain according to the modified TWSTRS scale of the subgroup of 34 patients who were available for a recent follow up examination. Highly significant changes were noted for the sum scores and for almost all of the subscores. Of particular note was the change of the rating for social embarrassment. This score ranked highest both preoperatively and postoperatively. It is remarkable that the degree of social embarrassment often did not parallel the degree of functional disability. Some patients with total functional disability in the lower range rated social embarrassment as extreme, whereas other patients with high scores rated it as little or very little. There was no significant improvement in the variables lateral shift, sagittal shift, and head tremor. This may, at least partially, reflect a methodological problem. These variables were rated only with a two point scale—that is, either as being present or absent. Lateral shift, for example, was present in 17 patients preoperatively. The degree of the shift was difficult to quantify.

### Table 3 Total number of surgical procedures (n=70) performed in 46 patients with cervical dystonia

<table>
<thead>
<tr>
<th>Procedure Type</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intradural approaches:</td>
<td></td>
</tr>
<tr>
<td>Unilateral C1-C3 anterior rhizotomy</td>
<td>13</td>
</tr>
<tr>
<td>Unilateral rhizotomy + ipsilateral SAN rhizotomy</td>
<td>2</td>
</tr>
<tr>
<td>Bilateral rhizotomy</td>
<td>12</td>
</tr>
<tr>
<td>Bilateral rhizotomy + unilateral SAN rhizotomy</td>
<td>3</td>
</tr>
<tr>
<td>Bilateral rhizotomy + muscle section</td>
<td>2</td>
</tr>
<tr>
<td>Extradural approaches:</td>
<td></td>
</tr>
<tr>
<td>Unilateral ramisectomy</td>
<td>8</td>
</tr>
<tr>
<td>Unilateral ramisectomy + contralateral SAN section</td>
<td>1</td>
</tr>
<tr>
<td>Bilateral ramisectomy</td>
<td>5</td>
</tr>
<tr>
<td>Unilateral ramisectomy + muscle section</td>
<td>4</td>
</tr>
<tr>
<td>Bilateral ramisectomy + muscle section</td>
<td>1</td>
</tr>
<tr>
<td>Muscle sections:</td>
<td></td>
</tr>
<tr>
<td>Muscle sections (only)</td>
<td>16</td>
</tr>
<tr>
<td>Muscle sections (combined - see above)</td>
<td>(6)</td>
</tr>
</tbody>
</table>

SAN = spinal accessory nerve.
Although it was improved in most of the patients postoperatively, it was abolished only in five patients. The relatively higher P value for improvement of verbal communication is primarily related to the low sample number, as only nine patients considered their verbal communication as impaired preoperatively. The distribution of the examiner rated global outcome of this subgroup of 34 patients was similar to the distribution of the ratings for the total group of 44 patients, with excellent improvement in nine patients (26%), marked in 10 (30%), moderate in 10 (30%), mild in one (3%), and none in four (12%). There were no differences between examiner rated and patient rated assessments for the extremes of the scale, excellent and no improvement. However, estimations differed, particularly with regard to minor versus moderate improvement. The 34 patients rated their global long term improvement as excellent in nine patients (26%), as marked in eight (23%), as moderate in seven (21%), as mild in six cases (18%), and as none in four (12%).

Botulinum toxin injections were given to 18 patients in the postoperative course. With progressive benefit of cervical dystonia from the surgical intervention, nine patients abandoned the injections later on. Twenty patients received medical treatment in the further course, but most of them had lower dosages than preoperatively. At the recent follow up, 17 patients did not continue any form of treatment.

When the five patients who did not experience any long term benefit were compared with the nine patients with excellent improvement no differences were noted for preoperative indices such as age at onset, duration of dystonia, type of cervical dystonia, or age at the operation. There was a significant difference, however, with regard to the number of operations performed. Only one of the patients who did not enjoy long term benefit underwent two procedures, whereas nine of the 11 patients with excellent long term outcome had more than one operation (P<0.05).

Discussion

The population of this study is comparable with the demographic and clinical data of other series of cervical dystonia as seen in specialised referral centres. Our study shows that cervical dystonia can be effectively treated with a low rate of side effects by combining several surgical techniques. Whereas excellent or marked outcome was achieved in 48% of the cases, definite but more limited benefit was evident also in those 42% of patients with moderate and mild improvement. We think that selective reduction of dystonic activity but preservation of normal function is of crucial importance in surgical treatment of cervical dystonia. Furthermore, for the elective nature of the surgery we think that postoperative morbidity should not be accepted as intrinsic to surgical treatment. Previous studies have shown that the frequency of side effects primarily depends on the extent of the procedure. Thus, to avoid complications, we consider it advantageous to stage the surgery, if needed. In this case, the patient has to be clearly informed preoperatively that partial improvement after the first surgery is not regarded as a treatment failure but rather an intermediate state from which further improvement can be obtained. The importance of this approach is reflected by the finding that patients with excellent outcome underwent significantly more procedures than patients who did not enjoy long term benefit.

We found preoperative EMG examinations helpful in some cases when the involved muscles could not be adequately identified by examination or palpation. In such cases EMG can be used to assist in tailoring the operative approach. The evaluation of functional disability in cervical dystonia is difficult. This is reflected by the various rating scales that are currently used to assess the efficacy of treatment of cervical dystonia. Many rating scales have methodological problems that need to be further considered. The TWSTRS has been used mainly for the evaluation of the effect of botulinum toxin treatment. It has been shown to be both reliable and valid in the assessment of change in severity of disease. So far, surgical series on cervical dystonia have not attempted to quantify the change of functional disability. Judgement on the quality of outcome has been based either on subjective outcome ratings or on single variables only such as head posture, presence of dystonic spasms, or range of head motion. Such approaches are clearly insufficient, given the many side effects in some series. It is questionable whether patients really enjoy postoperative functional benefit when the head is straight, but they have persistent dysphagia and neck weakness. Such issues may also at least partially explain the high variance of outcome in different series which essentially used the same operative technique. For example, by contrast with series on bilateral intradural rhizotomies which reported “success” rates ranging between 70% and 90%, Hernesniemi and Kerinhen reported good results in only 9% of their patients based exclusively on the patients’ self assessments for surgical result, disability, and working capacity.

The variable and individualised surgical strategies do not allow comparative analysis of long term efficacy of the different procedures in our study. Furthermore, it is impossible to compare our results with those from other series. As extradural procedures are less invasive with regard to the removal of bone and opening of the CSF space, we have used these techniques more often recently.

Since the development of intradural procedures for treatment of cervical dystonia by Dandy and by McKenzie many variations have been described subsequently. The standard techniques include bilateral anterior C1-C3 rhizotomy, unilateral C1-C3 and contralateral C1-C4 rhizotomy, bilateral rhizotomy and unilateral spinal accessory nerve section, and bilateral rhizotomy and bilateral spinal accessory nerve section. The postoperative mortality ranged between 0% and 2% in most
Symptomatic and functional outcome of surgical treatment of cervical dystonia

Symptomatic and functional outcome of surgical treatment of cervical dystonia has been evaluated in several studies. Moderate to marked postoperative improvement, in general, has been described in 60% to 90% of patients, but as outlined above, the proportion of good improvement was reported as low as 9%, occasionally. Colbassani and Wood have estimated that neck weakness may occur in 30% of patients, dysphagia in about 30%, and shoulder paresis in up to 41%. Although, these side effects are transient in many patients, it remains unclear how often they persist. A high variability of other complications has been reported including CSF fistulas, brainstem infarctions, and infections.

The procedure is safer with the use of microsurgical techniques. Extracranial nerve sectioning techniques have been developed and promoted, chiefly by Bertrand and colleagues. Extracranial neck weakness in about 30%, and shoulder weakness may occur in 39% of patients. Hernesniemi and Wood have estimated that neck weakness is limited by methodological problems.

Other surgical options are rarely used nowadays for treatment of cervical dystonia. Thalamotomies have been performed successfully, but bilateral lesions increasing the risk of postoperative dysarthria were required in many instances to produce satisfactory relief. The benefit is thought to be stable in most patients over time. Side effects are rare with this technique and include infection, paresis, pain, and transient dysphagia. Braun and Richter have described a similar, but somewhat different distribution of outcome. They rated the postoperative benefit as very good in 12% of their patients, as good in 39%, as moderate in 25%, and as minor or no improvement in 25%. Similar results have also been reported in other series using this technique. Again, comparison of the results is limited by methodological problems.

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References: