PAPER

Should patients participate in clinical decision making? An optimised balance block design controlled study of goal setting in a rehabilitation unit

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Objectives: The recent National Service Framework for Long Term Conditions recommends that patients participate more in decision making about their care. However, few protocols exist to support this. One potentially useful method is goal setting, but little has been done to evaluate the added value of increasing patient participation in this way. Therefore, this study examined the impact of an increased participation goal setting protocol in a neurorehabilitation setting.

Design: The study was an AB optimised balance block design with each block lasting 3 months, over an 18 month period.

Setting and participants: Patients (n = 201) were recruited from an inpatient neurological rehabilitation unit.

Interventions: Patients (n = 100) recruited in phase A were involved in “usual practice” goal setting. Patients (n = 101) recruited in phase B were involved in “increased participation” goal setting, which included a protocol to help them define and prioritise their own goals.

Main outcome measures: Patients’ perceptions of the relevance of goal setting and their autonomy within the process; the number, type and outcome of goals; and level of functional ability.

Results: Phase B patients (“increased participation”) set fewer goals, of which significantly more were participation related. These patients perceived the goals to be more relevant, and expressed greater autonomy and satisfaction with goal setting. There were no differences in functional outcomes between the groups.

Conclusion: This study has shown that patients prefer increased participation in the goal setting process over standard procedures, perceiving their goals as more relevant and rehabilitation more patient centred despite the absence of functional gains. Effective patient centred care can be realised by using structures that help support patients to identify and communicate their priorities. As such, our findings suggest patients would benefit from greater participation in this aspect of clinical decision making.

METHODS

Design

An optimised balance block design controlled study of goal setting was selected with each block lasting 3 months. The study was not blinded because it was not possible for patients, therapists or the researcher collecting outcome data (RH) to be blinded to the nature of the goal setting process.

Setting

The Neurological Rehabilitation Unit at the National Hospital for Neurology and Neurosurgery, London, UK, is an 18 bed unit specialising in rehabilitation of patients with predominantly physical neurological deficits. The rehabilitation process implemented on the unit conforms with that described in the British Society of Rehabilitation Medicine clinical standards for specialist rehabilitation. Patients are referred from within the hospital, and from general practitioners and consultants from surrounding district and teaching hospitals. On average, 160 patients are admitted each year. Approximately 30% of patients have sustained stroke, 30% have multiple sclerosis and 30% have non-traumatic spinal cord lesions. The remaining group is made up of other neurological diagnoses, including central nervous system tumours and peripheral nerve disease. All patients are managed using a care pathway which incorporates a method of describing the body function, activity or

Abbreviations: FIM, Functional Independence Measure; GHQ-28, General Health Questionnaire
participation role addressed by each goal. Each goal is described by using up to four of 26 different goal components which are then grouped under the following five areas: (1) health maintenance, (2) cognitive functioning, (3) personal activities of daily living, (4) participation and (5) communication. Goals are described using up to four different components. The care pathway also lists reasons for failure to achieve goals, described as "goal variances".

Participants
All patients admitted to the rehabilitation unit over an 18 month period were recruited to the study provided they had functional communication in English. Only five patients were excluded, two because of no spoken English and three because of severe dysphasia.

The study was powered using Functional Independence Measure (FIM) scores from previous studies. We calculated that 100 patients in each arm would enable us to detect a significant difference in discharge outcomes at the p<0.05 level.

Intervention
Two different approaches to goal setting were used in the study; the key features of these two methods are described in fig 1. The critical differences between the two approaches were the use of a "goal setting work book" completed by the patient and the presence of the patient in the goal setting meetings. The work book was in three sections. Patients were encouraged to work through the booklet initially with support from family and friends and then, if necessary, with support from their keyworker. The first section asked patients to prioritise activity and participation domains, and the second to identify specific tasks within those domains that they wished to work on. The final section involved determining what individuals wanted to achieve within the time frame of the rehabilitation admission.

The goal setting meeting provided a formal opportunity for therapists to discuss with patients both the projected outcome and the reasons for this. Patients could then set realistic goals. Both approaches resulted in a long term goal which specified the patients level of performance at discharge associated with a predicted date for discharge and short term goals, as "stepping stones" to the long term goal. These short term goals were reset on two or three weekly cycles depending on the duration of stay.

Figure 1 Flow diagram showing differences between group A (light grey) and group B (dark grey).

Outcome measures
A number of outcomes were measured:

(1) Patients’ beliefs about their involvement in the goal setting process were measured on a four point patient autonomy scale based on the Patient Participation Scale.

(2) Goal relevance was measured in two ways. Firstly, at discharge, patients were asked to provide a global assessment of the relevance of their goals using a 10 cm visual analogue scale with one end marked "goals highly relevant" and the other marked "goals of no relevance whatsoever". In addition, patients were asked to categorise all of their goals into one of five groups: (1) highly relevant, (2) moderately relevant, (3) some relevance, (4) of little relevance and (5) of no relevance whatsoever.

(3) Patient’s overall satisfaction with the rehabilitation process was measured using a 10 cm visual analogue scale.

"This scale describes the amount of "participation" an individual has in decision making and may more accurately be described as an autonomy scale. Throughout the rest of the paper the word participation is used as suggested by the World Health Organisation International Classification of function to mean engagement with social roles.

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The distribution of the goal components was recorded, as were the outcome or end status of the goals, and reasons for non-completion of goals (variances) were collected for comparative purposes. Duration of stay in days was recorded to see if the different approaches resulted in shorter or longer durations of stay.

Physical, social and psychological functional outcomes were measured on admission and discharge from the unit using the following well established validated rating scales:

- Functional Independence Measure (FIM). 16
- London Handicap Scale. 17
- General Health Questionnaire (GHQ-28). 18

Finally, basic demographic data were collected for all patients including age, sex, ethnic background and diagnosis.

**Statistical analysis**

We hypothesised that increasing an individual’s participation in goal setting during inpatient rehabilitation would result in goals that were perceived as more relevant to the individual and associated with greater satisfaction with the delivery of care. We did not anticipate any impact on function, as measured using disability scales, as the intervention time was similar in both groups.

Scores were examined to compare phase A (“usual practice”) and phase B (“increased participation”). Mean scores were compared using Student t tests. Mann–Whitney tests were used on all other data, as appropriate. Data were analysed using the Statistics Package for Social Sciences (SPSS for Windows 12.0, 2005; SPSS Inc, Chicago, Illinois, USA).

**RESULTS**

**Patient characteristics**

The case mix, age, sex distribution and functional status of patients on admission and discharge were comparable with other studies reported from this unit. 10

There were no significant differences between the phase A (“usual practice”) and phase B (“increased participation”) groups in terms of sex, ethnic origin or case mix. However, mean age for the “increased participation” group was 4 years younger than the “usual practice” group (p<0.05: data available from the authors).

**Patient beliefs about extent of choice (fig 2)**

The majority of the phase B (“increased participation”) group reported that they were able to choose their own goals, whereas the majority of the phase A (“usual practice”) group reported...
that they were asked to agree to team formulated goals (p<0.001; data available from the authors).

**Goal relevance (table 1, fig 3)**
The global assessment of goal relevance was significantly higher in the phase B ("increased participation") group (p<0.001). Also, the proportion of goals perceived as highly relevant was also higher in group B (p<0.001)

**Patient satisfaction**
Patients in the phase B ("increased participation") group reported significantly higher satisfaction with the rehabilitation process (p<0.001; data available from the authors). Only one patient questioned their group allocation, and was offered a chance to withdraw from the study. They chose to continue within the study in their allocated phase A ("usual practice") group.

**Goal components (table 1)**
The spread of goals differed between the groups with a significantly higher proportion of participation related goals being set in the "increased participation" group.

**Goal outcomes**
There were significantly fewer goals set in the phase B group (p = 0.04). The proportion of goals achieved was not different between the groups (p = 0.38).

**Functional outcomes**
There were no differences between groups in functional outcomes on admission or discharge. Following rehabilitation, all patients demonstrated a significant improvement in all measures similar to those reported in previous studies from this unit (data available from the authors). There were no significant differences in the change scores for these measures with the exception of the GHQ-28 which showed the phase B ("increased participation") group making smaller gains (p = 0.037; data available from the authors). The two groups did not differ in duration of stay.

**DISCUSSION**
This study has shown that providing individuals who have long term neurological conditions with a structure that allowed them to clearly define and articulate their goals resulted in greater perceived autonomy, and greater perceived relevance of goals. In addition, rehabilitation appeared more precisely targeted. Although fewer goals were set, those goals were centred around the individual's participation in life roles, associated with higher relevance.

Defining structures that help individuals articulate their ambitions both "support the person, their family and carers to contribute to planning the rehabilitation process" and enable professionals to work with those individuals in a way that is "focused on individual goals beyond basic daily care and promote participation in a full range of life roles", as recommended in the National Service Framework. Current consensus views suggest that delivering care in a manner that is meaningful for the individual is more likely to lead to long term "adherence" or, in the case of inpatient rehabilitation, result in the transfer of newly learnt skills to the individual’s own home and community.

This is the largest published controlled study of goal setting. Although the gold standard for clinical trials is the randomised controlled trial, we felt this was not an appropriate design for this study because the new skills identified in the "increased participation" arm would unavoidably contaminate the "usual practice" (phase A) arm. The lack of blinding is also a potential source of bias, although this was minimised through the use of self-report outcomes. Blinding the participants was not possible for practical reasons. During each block participants were all treated in the same way and only one participant queried his group allocation. Thus participants did not appear unhappy with either form of goal setting.

The patients in this study are typical of many patients seen by both inpatient and outpatient rehabilitation services. However, our patients had relatively mild cognitive deficits which may not be applicable to all patients. Despite this, previous research suggests that even patients with significant cognitive impairments can set realistic goals. The age differences between the two arms also needs to be considered, although given the age range of the patients who participated in the study this is unlikely to be of clinical significance.

In previous studies, all patients showed improvements in outcomes, measured using the FIM, London Handicap Scale and GHQ-28. Although we found no differences in functional outcomes between the two groups, there was a significance difference in GHQ change scores. In the past we have shown that although physical gains are maintained, emotional gains are not. It is possible that the increased participation group remain focussed on the difficulties they experience outside hospital, so that although their emotional gains are smaller they may be more robust. This requires further study.

Patient centred care involves the development of new skills and attitudes. Much of the previous literature has focused on fostering attitudes that allow clinicians to develop skills as facilitators. In addition, there has been recent work that acknowledges the role of the patient as “expert”. However, to our knowledge, this is the first study to demonstrate that the use of a specific protocol to support patients in the identification and communication of their priorities results in changes in the focus of rehabilitation interventions. This change appears to support individuals in maintaining both activity and participation, and may be important in promoting self-management and well being. Developing structures and processes that support effective partnerships is an important area for further study.

Goal setting is a term used almost uniquely in rehabilitation but the task described by goal setting is performed by a wide range of health care disciplines working with individuals with long term conditions, for example diabetes and arthritis. The approaches used in this study may have wider applicability than patients with neurological disability. Future studies should focus on extending the range of conditions managed using this approach.

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Dr Diane Playford conceived the study and accepts full responsibility for the conduct of the study, had access to the data and controlled the decision to publish. Ms Ros Holliday coordinated the project and collected, analysed and interpreted the data, and drafted the first version of the paper. Dr Jennifer Freeman and Dr Stefan Cano contributed to supervising the data analysis and interpreting the data and revised the paper critically. All authors contributed to the writing of the paper and approved the final version to be published.
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