



## REVIEW

# Central nervous system neuronal surface antibody associated syndromes: review and guidelines for recognition

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Received 21 August 2011  
Revised 29 January 2012  
Accepted 31 January 2012  
Published Online First  
24 March 2012

## ABSTRACT

The concept of antibody mediated CNS disorders is relatively recent. The classical CNS paraneoplastic neurological syndromes are thought to be T cell mediated, and the onconeural antibodies merely biomarkers for the presence of the tumour. Thus it was thought that antibodies rarely, if ever, cause CNS disease. Over the past 10 years, identification of autoimmune forms of encephalitis with antibodies against neuronal surface antigens, particularly the voltage gated potassium channel complex proteins or the glutamate N-methyl-D-aspartate receptor, have shown that CNS disorders, often without associated tumours, can be antibody mediated and benefit from immunomodulatory therapies. The clinical spectrum of these diseases is not yet fully explored, there may be others yet to be discovered and some types of more common disorders (eg, epilepsy or psychosis) may prove to have an autoimmune basis. Here, the known conditions associated with neuronal surface antibodies are briefly reviewed, some general aspects of these syndromes are considered and guidelines that could help in the recognition of further disorders are suggested.

## INTRODUCTION

Well recognised conditions such as myasthenia gravis (MG) and the Lambert–Eaton myasthenic syndrome (LEMS) have been shown by rigorous experimental approaches to be antibody mediated. The antibodies are directed against essential membrane receptors or ion channels involved in transmission at the neuromuscular junction; the antibodies bind to extracellular epitopes on the membrane proteins; plasma exchange leads to clear clinical benefit; and both in vitro and passive transfer experiments show that the IgG antibodies are pathogenic.<sup>1</sup>

Several antibodies to 'onconeural' antigens are found in CNS disorders associated with cancers (paraneoplastic neurological syndromes),<sup>2–4</sup> including antibodies to Hu (Hu-Abs), and many others.<sup>5</sup> However, as the targets of these antibodies are intracellular proteins, and patients do not usually improve with immunotherapy, their pathogenic roles are not clear. Rather, it is thought that T cell cytotoxicity is a more likely mechanism to account for the neuronal cell loss that occurs in these rare but serious conditions. T cell cytotoxicity could also contribute in patients with antibodies to glutamic acid decarboxylase (GAD-Abs) as these are also directed against an intracel-

lular antigen, but at very high levels are associated with non-paraneoplastic forms of stiff person syndrome (SPS) and other CNS disorders.<sup>6,7</sup>

Over the past few years it has become increasingly clear that there are CNS syndromes associated with antibodies that bind to cell surface determinants of membrane associated proteins on neuronal cells and are likely to be pathogenic.<sup>8,9</sup> Here we call these antibodies 'neuronal surface antibodies' (NSAbs), and the diseases associated with them, NSAb syndromes (NSAS). These syndromes can be indistinguishable at presentation from classical paraneoplastic syndromes, such as limbic encephalitis (LE), but one is a newly defined entity, N-methyl-D-aspartate receptor antibody (NMDAR-Ab) encephalitis.<sup>10</sup> These syndromes can be diagnosed by serum/CSF antibody tests, are not so rare, are frequently non-paraneoplastic and they respond to immunotherapy with a good chance of substantial recovery.<sup>8–12</sup>

Although these syndromes are beginning to be widely recognised, there are likely to be others for which no NSAb has yet been defined and in which immunotherapies have not yet been tested. There is a need, therefore, to define guidelines for their recognition so that an immune mediated basis can be explored. In this review, we start by comparing conditions that are associated with antibodies to intracellular antigens with those that are associated with antibodies to cell surface antigens. We then summarise the main clinical and paraclinical features of the syndromes that have already been identified and, largely from these observations, suggest guidelines for recognising these and other immune mediated conditions in the future. We concentrate on the diseases predominantly affecting the 'grey' matter, and will not include those diseases such as neuromyelitis optica and acute disseminated encephalomyelitis in which antibodies to 'white' matter glial or myelin antigens have also recently been discovered.<sup>13,14</sup>

## GENERAL FEATURES OF DISEASES ASSOCIATED WITH ANTIBODIES TO INTRACELLULAR ANTIGENS VERSUS THOSE WITH NSABS

Table 1 summarises some features of the CNS autoimmune syndromes according to the presence of onconeural antibodies or NSAbs. Patients with onconeural Abs present at ages which are typical of the tumours but those with NSAbs can occur at any age. LE and the more complex NMDAR-Ab encephalopathy are, to date, the most frequent



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**Table 1** CNS syndromes associated with antineuronal antibodies

	Classical paraneoplastic CNS syndromes associated with onconeural antibodies	CNS syndromes associated with neuronal surface antibodies
Main syndromes	PCD Encephalomyelitis LE Brainstem encephalitis	LE Morvan's syndrome NMDAR-Ab encephalitis PERM Cerebellar ataxia
Age range (years) and sex	Mainly adults (40–70); both genders (PCD more frequent in women).	NMDAR-Ab encephalitis common in children and young women
Antibodies commonly detected or recently reported	Antibodies against intracellular antigens or PNS related onconeural antibodies (Hu, Yo, Ri, Ma2, Cv2/CRMP5, amphiphysin, Sox1/2)	Antibodies to VGKC complex antigens (LGI1 or CASPR2), NMDAR, AMPAR, GABA <sub>B</sub> R, GlyR, VGCC-Ab, mGluR1, mGluR5*
Tumours	SCLC, breast, ovary, testicular	Teratoma, thymoma, SCLC, breast No tumour found in many cases, particularly LE associated with LGI1-Ab
Relationship between antibody and tumour	Antibody usually indicates the presence of a particular tumour type	Antibody presence does not indicate if a case is paraneoplastic
Immunotherapy	Not usually effective	Generally effective
Outcome	Poor; improvement or stabilisation related mainly to tumour treatment	Variable but generally good; possible spontaneous remission
Neuropathology	Loss of neurons, gliosis, T cell infiltrates in close apposition to neurons, some with immunophenotype of cytotoxic T cells	Limited data Variable T cells, B cells and plasma cell infiltrates but less intense than in patients with paraneoplastic disease. <sup>9a</sup>
Prevalent pathogenic mechanism	Antibodies are markers for the tumour and are not likely to be pathogenic. T cell cytotoxicity is the proposed pathogenic mechanism	Autoantibody mediated, probably downregulation of target antigen but may be complement mediated damage in some conditions

\*Glutamic acid decarboxylase (GAD) antibodies are not neuronal surface antibodies as they target an intracellular antigen but they do not generally associate with tumours, and are considered to be markers of immune mediated syndromes.

AMPA,  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor; CASPR2, contactin associated protein 2; GABA<sub>B</sub>R, gamma-aminobutyric acid B receptor; GlyR, glycine receptor; LE, limbic encephalitis; LGI1-Ab, leucine rich glioma inactivated 1 protein antibody; mGluR, metabotropic glutamate receptor; NMDAR-Ab, N-methyl-D-aspartate receptor antibody; PCD, paraneoplastic cerebellar degeneration; PERM, progressive encephalomyelitis with rigidity and myoclonus; PNS, paraneoplastic neurological syndromes; SCLC, small cell lung cancer; VGCC-Ab, voltage gated calcium channel antibody; VGKC, voltage gated potassium channel.

presentations in the NSAS and more common than either cerebellar degeneration or encephalomyelitis with onconeural/intracellular antibodies. Tumours can be present, particularly small cell lung cancer (SCLC), ovarian and breast cancers with onconeural antibodies, and ovarian teratomas, thymomas, breast and lung cancers with the NSAS, but many of the NSAS patients do not have tumours. Evidence of CSF inflammation, including oligoclonal bands, can be present in both groups but a normal CSF is more common with some of the NSAS.<sup>2 3 8 9</sup>

The most important distinctions relate to the course and treatment responses. Patients with onconeural antibodies usually present subacutely and often have a relentlessly progressive course, despite immunotherapies, although there may be stabilisation of the neurological syndrome if tumour treatment is effective.<sup>4</sup> By contrast, patients with NSAbs may have an acute or subacute onset, usually with short duration to nadir, and can make a very good response to immunotherapies. In addition to tumour treatment if required; in many cases immunotherapies can be weaned over a year or two, suggesting that the condition is monophasic.<sup>8</sup>

It is generally accepted that the onconeural antibodies are markers for the immune mediated process but not pathogenic; T cell cytotoxicity towards the same or other antigens is thought to be causative, mostly based on postmortem observations of abundant T cell infiltrates in the brain parenchyma in close apposition with neurons. The NSAS are not well studied yet but T cell infiltration is less conspicuous in the few reports of patients with anti-NMDAR encephalitis.<sup>4 6 8</sup>

### WELL DEFINED CNS SYNDROMES ASSOCIATED WITH NSABS

Features of the main syndromes recognised so far are summarised in table 2. NSAbs have, of course, been described in the

neuromuscular junction disorders, as mentioned above. In CNS syndromes, voltage gated potassium channel (VGKC)-Abs were first identified by immunoprecipitation in Morvan's syndromes and then in non-paraneoplastic LE.<sup>15 16</sup> Voltage gated calcium channels (VGCC) and metabotropic glutamate receptor 1 (mGluR1) antibodies were found in some patients with cerebellar degeneration (see below).<sup>17 18</sup> In LE, serum VGKC-Abs were also shown to label rodent hippocampus by indirect immunohistochemistry,<sup>16</sup> and these and other serum or CSF antibodies that bind to the hippocampal molecular layer region rich in synaptic connections were subsequently designated 'neuropil' antibodies.<sup>19</sup> A novel and frequent target is the NMDA sensitive glutamate receptor,<sup>20</sup> while other less frequent neuropil antibodies are against  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA),<sup>21</sup> gamma-aminobutyric acid B (GABA<sub>B</sub>)<sup>22</sup> and type 5 metabotropic glutamate (mGluR5) receptors.<sup>23</sup> Subsequently, it became clear that antibodies immunoprecipitating VGKC extracted from mammalian brain do not target the VGKC, as originally thought,<sup>16 24 25</sup> but proteins that are tightly complexed with VGKC in situ, mainly leucine rich glioma inactivated 1 protein (LGI1) or contactin associated protein 2 (CASPR2).<sup>26–28</sup> Both of these proteins are expressed in the hippocampus although the localisation is subtly different.<sup>26</sup> We now call these antibodies VGKC complex antibodies generically, or LGI1 and CASPR2 specifically. Contactin 2 is another component of the complex but antibodies to this protein are not very common.<sup>26</sup>

### Limbic encephalitis

LE is a well recognised condition characterised by subacute development of short term memory loss, behavioural change and seizures involving the temporomedial lobes and the

**Table 2** Neuronal surface antibody associated syndromes

Syndrome	Antibodies	Particular clinical features	Possible tumours	Immunotherapy response	In vitro evidence of Ab pathogenicity	Frequency or No of cases reported
NMDAR-Ab encephalitis	NMDAR	Dyskinetic movements, decreased consciousness, psychiatric presentation in young women. Epilepsy and abnormal movements more frequent at onset in children	Ovarian teratoma. Rare in children. Up to 50% after age 18 years	Yes	In vitro and in vivo reduction of NMDA receptors	Common syndrome. More than 500 cases reported, mainly in USA
LE	LGI1	Male predominance, hyponatraemia, faciobrachial dystonic seizures, myoclonus	Rare with LGI1-Ab. Thymoma in some with CASPR2-Ab	Yes	In vitro production of epileptogenic activity in brain slices	Common syndrome. More than 600 cases reported, mainly in UK
	CASPR2 (<10%)	Possible isolated psychiatric symptoms	70% (lung, breast, thymus)	Yes, frequent relapses	Downregulation of AMPA receptors	14
	AMPA	Prominent seizures	60% (SCLC)	Yes	None	25
	GABA <sub>B</sub> R	Ophelia syndrome	Hodgkin lymphoma	Unknown	None	2
	mGluR5	Encephalopathy, peripheral nerve hyperexcitability, dysautonomia	Thymoma	Yes	Not tested	9
Morvan's syndrome	CASPR2	Encephalopathy, peripheral nerve hyperexcitability, dysautonomia	Thymoma	Yes	Not tested	9
PERM	GlyR	Encephalomyelitis with myoclonus, rigidity and brainstem signs	Thymoma	Yes	Not tested	6
Cerebellar ataxia	VGCC	Possible coexistence of LEMS	SCLC	Poor	Not tested	16
	mGluR1	Remote history of Hodgkin lymphoma	Hodgkin lymphoma	Yes	In vivo	3

The frequencies given depend on reported cases. Many cases are being diagnosed but are not reported.

Ab, antibody; AMPA,  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid; AMPAR,  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor; CASPR2, contactin associated protein 2; GABA<sub>B</sub>R, gamma-aminobutyric acid B receptor; GlyR, glycine receptor; LE, limbic encephalitis; LEMS, Lambert-Eaton myasthenic syndrome; LGI1-Ab, leucine rich glioma inactivated 1 protein antibody; mGluR, metabotropic glutamate receptor; NMDA, N-methyl-D-aspartate; NMDAR, N-methyl-D-aspartate receptor; PERM, progressive encephalomyelitis with rigidity and myoclonus; SCLC, small cell lung cancer; VGCC, voltage gated calcium channel.

amygdalae, with variable evidence of CSF inflammation and neuronal antibodies.<sup>2 29</sup> For years it was considered a rare paraneoplastic disorder with a poor prognosis but it is now recognised that LE is frequently non-paraneoplastic.<sup>9 30</sup> In a few cases reported, a single clinical feature (eg, seizures, amnesia, delirium, psychosis) can be prominent or isolated; therefore, the concepts of autoimmune forms of encephalopathy, psychiatric disorders, epilepsy or dementia are beginning to be explored (for example, see Vincent *et al*,<sup>31</sup> Flanagan *et al*,<sup>32</sup> Vernino *et al*,<sup>33</sup> Zandi *et al*<sup>34</sup> and Kayser *et al*<sup>35</sup>).

### LE associated with VGKC complex antibodies

VGKC-Abs associated LE was the first immunotherapy responsive NSAb associated CNS syndrome to be well characterised<sup>30 36</sup> and since then it has become widely recognised. A high proportion of patients with LE have LGI1-Abs, and a few have CASPR2-Abs, but there are other VGKC complex proteins yet to be defined and the antibodies are best identified by the established radioimmunoprecipitation assay.<sup>24 26</sup> Approximately 60% of patients have MRI evidence of medial temporal lobe inflammation but pleocytosis or other CSF changes are uncommon, and oligoclonal bands are rare.<sup>26 30</sup> Patients respond within a few weeks to intense immunotherapies with good or very good outcomes, but even without treatment a few patients have shown spontaneous improvement.<sup>16 26</sup> Interestingly, a distinctive seizure semiology, termed faciobrachial dystonic seizures, can be identified before manifestation of LE, and these seizures respond rapidly to immunotherapies, which might prevent the onset of cognitive dysfunction and more widespread seizures in future cases.<sup>12</sup>

A rarer condition associated with VGKC-complex-Abs is Morvan's syndrome, characterised by insomnia and psychosis, peripheral nerve hyperexcitability (including neuromyotonia and pain) and dysautonomic features.<sup>15 37 38</sup> CASPR2-Abs are more common than LGI1-Abs in Morvan's syndrome but some

patients have both specificities or neither. Around 40% of patients with Morvan's syndrome have tumours, often recurrent or malignant thymomas, sometimes associated with previous MG, and these patients have a poor prognosis. However, those patients without tumours do well with immunotherapies.<sup>26</sup>

### Less frequent NSAbs associated with LE

LE can also associate with antibodies against AMPA and GABA<sub>B</sub> receptors.<sup>21 22</sup> These often have a classical LE phenotype and many have tumours, including SCLC, thyroid and breast tumours. There may be prominent psychiatric features with AMPA receptor antibodies (AMPA-Abs) and prominent seizures with GABA<sub>B</sub> receptor antibodies (GABA<sub>B</sub>R-Abs) but only small cases series have been reported so far.<sup>21 22 39 40</sup> GABA<sub>B</sub>R-Abs are probably the most common antibodies found in LE in association with SCLC, previously thought to be 'seronegative' for onconeural antibodies.<sup>40 41</sup> Most of the patients with GABA<sub>B</sub>R-Abs or AMPAR-Abs who receive immunotherapy and cancer treatment show neurological improvement although relapses have been observed with AMPAR-Abs.<sup>21 22</sup>

Another novel NSAb has been recently described against type 5 mGluR (mGluR5) in two patients with prominent limbic encephalopathy and Hodgkin lymphoma (namely Ophelia syndrome).<sup>23</sup>

Although not NSAbs, GAD-Abs have been identified in younger females with a form of LE, presenting mainly with temporal lobe epilepsy and MRI evidence of temporal lobe inflammation; these patients did not usually respond well to immunotherapies but were not treated aggressively at onset.<sup>42</sup>

### NMDAR-Ab encephalitis

The encephalitis associated with NMDAR-Ab is a well characterised and recognisable condition, distinct from the forms of LE

described above.<sup>10</sup> However, in distinction to most patients with typical LE, a high proportion of patients are children or young women who may initially be seen or admitted to psychiatric wards for acute anxiety, behavioural change or psychosis. Within a few days the presence of seizures or neuropsychological deficits is recognised, defining an organic condition, and within days or weeks reduced consciousness, movement disorders, hypoventilation and autonomic imbalance often require admission to intensive care units.<sup>10</sup> Up to 50% of young adult female patients have an ovarian teratoma, but these are much less common in children.<sup>10–11</sup> Importantly, MRI is frequently not informative but pleocytosis at onset is very common.<sup>10–11</sup> In children, the disease can present with behavioural disturbance and dyskinesias<sup>43</sup> and in the past such patients have often been classified as encephalitis lethargica.<sup>44</sup> Relapses can occur in 20–25% of non-paraneoplastic patients and they can be separated by months or years.<sup>10–11</sup>

### PERM and GlyR-Ab associated conditions

A few patients with a well recognised but rare condition, progressive encephalomyelitis with rigidity and myoclonus (PERM), which is part of the spectrum of SPS,<sup>45</sup> have GAD-Abs but some are now being identified with antibodies against glycine receptors (GlyR-Abs).<sup>46–48</sup> PERM was initially described as a subacute disorder characterised by muscle rigidity, stimulus sensitive spasms, brainstem dysfunction with poor prognosis and pathological findings (often post mortem) of perivascular lymphocyte cuffing and neuronal loss in the brainstem and spinal cord. Generalised myoclonus, hyperekplexia, cerebellar ataxia and autonomic dysfunction were later described.<sup>45</sup> A few reports of GlyR-Abs in single cases and a series of three patients show a wide spectrum of features on presentation with often prominent brainstem dysfunction and little MRI or CSF evidence of inflammation<sup>46–47</sup>; one patient presented with an immunotherapy responsive isolated medial temporal lobe status epilepticus (Zuliani *et al* in preparation).<sup>49</sup> One had a thymoma, with dramatic improvement after surgery and immunotherapy.<sup>48</sup> Despite the apparent rarity of the condition, patients with GlyR-Abs, in distinction to most of those with GAD-Abs, seem to do well on immunotherapies; however, one patient who also had NMDAR-Abs died before testing for either antibody was available.<sup>50</sup>

### Cerebellar ataxia associated with NSAbs

Antibodies (VGCC-Abs) against voltage gated calcium channels (VGCC-Abs) were demonstrated to be present in some cases of cerebellar degeneration in association with lung tumours.<sup>17</sup> However, the lack of response to immunotherapies, despite improvement of coexistent LEMS, suggested that the antibodies are unlikely to be contributing to the cerebellar pathology (or alternatively that they cause permanent Purkinje cell damage before treatment can be initiated).<sup>17–51</sup> Antibodies to mGluR1 were initially reported in two patients with subacute cerebellar degeneration and a past history of Hodgkin disease and were shown in passive transfer to lead to ataxia in experimental animals.<sup>18</sup> One other patient with this antibody without a tumour and with a partial treatment response has been reported.<sup>52</sup> A recent study using a proteomic approach to identify potential NSAbs in patients with non-paraneoplastic cerebellar ataxia identified CASPR2-Abs in a total of nine of 88 (10%) idiopathic ataxia patients compared with 2% in neurological controls.<sup>53</sup> Systematic studies are required to examine the full repertoire of antibodies in this heterogeneous condition early during the disease course, and to test treatment responses.

### Proof of the pathogenicity of NSAbs

Despite the very good clinical evidence that many of the syndromes described above are antibody mediated, there is little direct experimental evidence to prove this concept. There are studies on the effects of the serum or CSF IgG antibodies on neuronal function in cultured cells<sup>21–54–55</sup> or on brain slices<sup>56</sup> but the transfer of clinical or electrophysiological evidence of disease to experimental animals by either systemic or intrathecal injection has not yet been reported, with the exception of mGluR1-Ab in paraneoplastic cerebellar degeneration,<sup>18</sup> and reports of GAD or amphiphysin antibodies that target intracellular antigens (see below).<sup>57–58</sup>

### WELL DEFINED CNS SYNDROMES WITHOUT IDENTIFIED NSABS

There are several syndromes which are well recognised and generally thought to be immune mediated but in which a potentially pathogenic antibody has not been defined. Below we remind the reader of these syndromes and recent work that may lead to discovery of relevant NSAbs.

#### Stiff person syndrome and related disorders

The autoimmune basis of SPS (reviewed by Meinck and Thompson<sup>45</sup>) is supported by response to immunomodulation,<sup>45–59</sup> association with organ specific autoimmune diseases, high titre GAD-Abs (often intrathecally synthesised)<sup>7</sup> or amphiphysin-Abs in paraneoplastic cases.<sup>60–61</sup> A direct pathogenic role for antibodies against GAD and amphiphysin, both intracellular antigens, is controversial but successful passive transfer to rodents from patients both with GAD-Abs<sup>58</sup> and amphiphysin-Abs<sup>57</sup> are encouraging, in the latter case with evidence of internalisation of antibodies into the neurons. These experiments suggest that there are pathogenic antibodies that can access the presynaptic nerve terminal but more work needs to be done to define more clearly how this occurs, and the possibility of NSAbs coexisting with GAD-Abs needs to be explored.

#### Opsoclonus—myoclonus syndrome

Opsoclonus—myoclonus syndrome (OMS) is a rare disorder characterised by chaotic saccadic eye movements, myoclonus, ataxia and encephalopathy. It is best characterised in infants who often have neuroblastomas, but in some the disease appears to be non-paraneoplastic; the acute disease remits but the children are often left with cognitive and other problems. Immunotherapies appear to be of benefit but no systematic studies have been reported. There is also an idiopathic adult onset OMS, frequently in women, who have a monophasic course with a good response to intravenous immunoglobulins or corticosteroids.<sup>62</sup> By contrast, a paraneoplastic form of OMS is more common in older women and associated with breast cancer and SCLC.<sup>63</sup> Some evidence of possible NSAbs that are able to induce apoptosis of neuroblastoma cell lines has been shown in children but not in adults.<sup>64–67</sup>

#### Cerebellar ataxia without identified NSAbs Post-infectious cerebellitis

This condition is well known in children<sup>68</sup> but adult cases have also been reported.<sup>69</sup> Like OMS, it tends to improve spontaneously but often leaves long term deficits, especially in adults.<sup>69</sup> Most cases are not associated with any identified antibody although autoantibodies cross reacting with Epstein—Barr virus have been reported,<sup>70</sup> and autoimmune mechanisms are likely.



### Cerebellar ataxia associated with antibodies against non-onconeural intracellular antigens

In cases of cerebellar ataxia without evidence of a tumour or onconeural antibodies, there is some evidence for potential autoimmune mechanisms. Antibodies against intracellular antigens have been reported in patients with non-paraneoplastic cerebellar ataxia (eg, Homer3)<sup>71–72</sup> and also with coexisting coeliac disease/gluten sensitivity (ie, anti gliadin antibodies cross reacting with cerebellar antigens) although the latter hypothesis remains controversial.<sup>73</sup> In addition, a more insidious course is described in non-paraneoplastic GAD-Ab associated cerebellar syndromes in which an autoimmune mechanism is further supported by CSF inflammation and polyendocrine autoimmunity.<sup>7–74</sup> In each of these situations the possibility of NSAbs should be considered in the future.

### OTHER POSSIBLE NSAS

There are many reports of patients in whom an NSAb mediated mechanism may be present even though they do not present as one of the conditions described above. For example, there are patients reported with epilepsy<sup>75–77</sup> or psychosis<sup>34–35–78</sup> with GAD, VGKC complex or other NSAbs; others with GAD-Ab associated nystagmus<sup>79</sup> or palatal tremor.<sup>80–82</sup> Moreover, there are CNS disorders for which a role for autoantibodies has been hypothesised but is still controversial. These include post-streptococcal neurological and psychiatric syndromes, Sydenham's chorea with antibodies targeting 'basal ganglia antigens' and also encephalopathies associated with systemic autoimmunity (ie, antiphospholipid syndrome and neuropsychiatric lupus) or organ specific conditions for which a vasculitic or ischaemic mechanism can be excluded.<sup>83</sup> Hashimoto's encephalopathy, also called steroid responsive encephalopathy associated with autoimmune thyroiditis, is an example of the latter group and is only defined by the presence of serum thyroperoxidase or thyroglobulin antibodies, often without evidence of thyroid dysfunction.<sup>84</sup> Given the high frequency of thyroid antibodies in the normal population, it is likely that in some cases they are incidental and that NSAbs are the real pathogenic agent; indeed, thyroid antibodies were found coexisting with NMDAR or VGKC-complex-Abs in a recent study of LE.<sup>85</sup> Finally, there are many forms of childhood encephalitis and epilepsy which are often treated with steroids but are not yet recognised as antibody mediated, although cases with VGKC complex, GAD or NMDAR-Abs are beginning to be reported.<sup>86–87</sup> Below we consider how one might go about recognising these conditions and defining NSAS for future diagnosis and management.

### ANTIBODY SCREENING

Indirect immunohistochemistry or immunofluorescence on fixed and/or frozen rat brain tissue is commonly used as a preliminary screen to identify recognisable staining patterns that represent intracellular or surface (eg, neuropil) antibodies, although sensitivity, particularly for the latter, depends on laboratory expertise. The target of the antibodies may be strongly suspected from these results, but should be confirmed by more specific techniques. Commercial assays for immunoblotting with recombinant proteins for the most common/well characterised onconeural antibodies (Hu, Ma2, CV2/CRMP5, Ri, amphiphysin) are widely available. GAD-Abs and VGKC complex antibodies are often detected by radioimmunoassay but a GAD-Ab ELISA and a GAD-Ab immunoblot test are also available.

The gold standard for NSAb detection (and for other antibodies against cell surface antigens—eg, AQP4) is an assay based

on mammalian cells (generally human embryonic kidney cells) transiently transfected with the antigen of interest and incubated with the patient's serum, diluted 1:10 or greater (or CSF, usually diluted from 1:1–1:10). Positive samples are visually identified at the (unpermeabilised) cell surface or throughout the (permeabilised) cell using an antihuman IgG tagged with a fluorescent dye. This technique, commonly named a cell based or cell binding assay, is sensitive and specific, as only one antigenic target is overexpressed in these cells.

Given the plethora of antibodies that have been reported so far, the clinician faced with the dilemma of which antibody to test first, especially if indirect immunohistochemistry or immunofluorescence gave inconsistent results, should bear in mind that most NSAb related CNS disorders are covered by NMDAR-Abs and VGKC-complex Abs. If the sample proves negative for both, it may be worth referring to a laboratory with research experience in this area and requesting other antibodies. However, multiple antibody testing (for NMDAR, LGI1, CASPR2, AMPAR, GABA<sub>B</sub>R and GlyR) may be the way forward as there are now beginning to be commercial assays consisting of mosaics of cells displaying different NSAbs (similar to the single antibody test reported by Wandinger and colleagues<sup>88</sup>).

If no antibodies are positive with these specific tests, immunostaining of live hippocampal or other neurons may detect other NSAbs in patients. This approach, not yet available commercially, would allow detection of potentially pathogenic NSAbs, justify immunotherapies and could lead to identification of new antigens in the future.

### IMMUNOTHERAPY

There is no consensus or evidence base to indicate which type of immunotherapies should be tried in these patients but in well defined syndromes it is thought important to start early, without waiting for the results of the antibody determinations, and while screening of the tumour is conducted. First-line treatments are intravenous followed by oral high dose corticosteroids, intravenous immunoglobulins or plasma exchange, and frequently a combination of these (plasma exchange preceding intravenous immunoglobulins). Most patients with encephalitis associated with NSAbs respond within weeks of first-line treatments but responses can be slow in patients with NMDAR-Ab encephalitis. For non-responders, if the tumour screen is negative, a second-line immunotherapy, with rituximab, cyclophosphamide, or both, has been suggested.<sup>10</sup> There are no data on the value of chronic long term immunotherapy to prevent relapses in those syndromes that do so (mainly NMDAR-Ab encephalitis) although patients who are not treated with immunotherapy at the first event seem to have a higher risk for relapses.<sup>89</sup> Based on the authors' experience, weaning should be very careful. Serial estimations of antibody levels, in serum and CSF if available, can be helpful.

### APPROACH TO THE RECOGNITION OF NSAS

#### Well defined syndromes

If the clinical features are typical of a well defined syndrome, such as LE or OMS, after exclusion of other potential causes (infective, trauma, toxic, metabolic, tumours or histories of previous CNS disease), the priority is to rule out a paraneoplastic syndrome, as previously established.<sup>2</sup> A search for a tumour should be undertaken and testing of serum, and CSF if possible, performed for onconeural antibodies, for those NSAbs that are currently available (NMDAR, VGKC complex proteins) and also for GAD-Abs. If a tumour is found or if onconeural antibodies

are positive, the syndrome will be a definite paraneoplastic neurological syndrome,<sup>2</sup> and tumour therapy and immunotherapy can be performed. Irrespective of the presence of a tumour, a positive NSAb would justify the diagnosis of NSAS and more intensive immunotherapy; a screen for specific tumours should be intensified (eg, teratoma for NMDAR-Abs or thymoma for VGKC-Abs).

### Suspected NSAS

In case of other neurological syndromes, the following three criteria can be used to suggest a possible immune mediated cause associated with an NSAb. Supportive features are not mandatory but their presence would strengthen the diagnostic suspicion and help the subsequent diagnostic classification.

### Criteria

- ▶ Acute or subacute (<12 weeks) onset of symptoms
- ▶ Evidence of CNS inflammation (at least one of):
  - CSF (lymphocytic pleocytosis, CSF specific oligoclonal bands or elevated IgG index);
  - MRI (eg, mediotemporal lobes FLAIR/T2 hyperintensities in case of a LE-like syndrome—otherwise unexplained (eg, post-seizure); or enhancement of cerebellar sulci) or functional imaging (hypermetabolism on fluorodeoxyglucose-positron emission tomography or hyperperfusion on

single photon emission computed tomography in the acute–subacute phase);

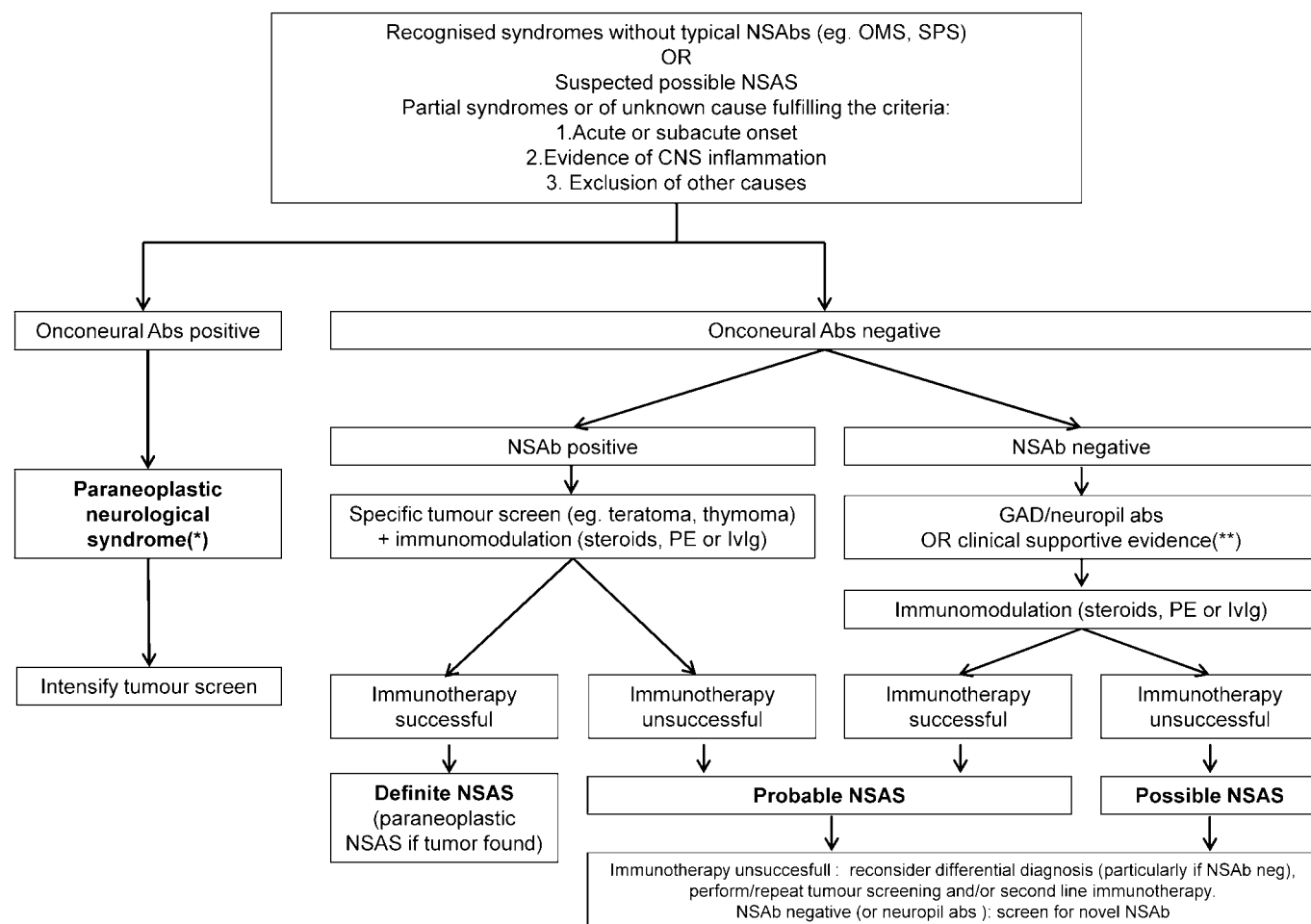
– inflammatory neuropathology (lymphocytic infiltrates or other signs of immune activation) on biopsy.

- ▶ Exclusion of other causes (infective, trauma, toxic, metabolic, tumours, demyelinating or histories of previous CNS disease).

### Supportive features

- ▶ History of other antibody mediated disorders (eg, MG) or organ specific autoimmunity.
- ▶ Preceding infectious, febrile illness or viral disease-like prodromes; this follows the recognition that (1) many cases of autoimmune encephalitis (eg, NMDAR) are preceded by prodromes and that (2) a CNS (as well as a peripheral nervous system) disorder with an acute or subacute onset following a viral disease can be generated by a parainfectious autoimmune mechanism.

As all of these conditions can associate with tumours, screening for onconeural antibodies should be performed.<sup>2</sup> If a tumour is found or onconeural antibodies are positive, the syndrome will be a paraneoplastic neurological syndrome, definite or possible according to the Graus criteria.<sup>2</sup> Meanwhile, a search for NSAbs and GAD-Abs should not be delayed, and while waiting for the results, a trial of immunotherapy can be started. Even in patients who are negative for the known NSAbs, a trial with steroids and



**Figure 1** Flowchart indicating our suggestions for approaches to the recognition and diagnostic criteria for the neuronal surface antibody syndromes (NSAS). The field is developing and the scheme is intended to help identify further NSAS. \*For details, see Graus *et al.*<sup>2</sup> \*\*History of other antibody mediated disorders or organ specific autoimmunity, or previous infectious/febrile illness. GAD, glutamic acid decarboxylase; IVIG, intravenous immunoglobulins; NSAbs, neuronal surface antibodies; OMS, opsoclonus–myoclonus syndrome; PE, plasma exchange; SPS, stiff person syndrome.

intravenous immunoglobulins or plasma exchange can be considered if there are no contraindications, particularly if infectious diseases have been ruled out.

Ultimately a diagnostic classification as definite, probable or possible NSAS will depend on the clinical presentation, antibody testing and response to immunotherapies (figure 1). Positive antibodies may sometimes be misleading, as very low titres (eg, <1:50) can be found in patients with apparently unrelated conditions (unpublished results), but at present there are no standards for assessing the NSAbs and more data are needed to explore the relationships between titres and clinical features. To define a syndrome as immunotherapy responsive, a sustained improvement in the modified Rankin score of at least 1 point would be appropriate.<sup>5</sup>

The following diagnostic definitions are not only for 'classification' but are aimed at helping to justify systematic antibody testing, more intense immunotherapies and the search for novel NSABs.

### Classification

- ▶ A diagnosis of *definite* NSAS can be made if known NSABs are present in the serum or CSF AND there is a response to immunotherapies.
- ▶ A diagnosis of *probable* autoimmune NSAS can be made if:
  - known NSABs are present
  - OR there are other neuronal antibody markers of an immune process (GAD-Ab, unknown neuronal surface/neuropil antibodies) or at least one of the above mentioned clinical supportive features AND there is a response to immunotherapies.
- ▶ If clinical and paraclinical criteria suggest a possible NSAS, but no known NSABs are found, a diagnosis of *possible* autoimmune NSAS can still be made if:
  - other neuronal antibody markers of an immune process (GAD-Ab, unknown neuronal surface/neuropil antibodies) or at least one of the above mentioned clinical supportive features are present
  - OR there is a response to immunotherapies.

A diagnosis of probable or possible NSAS will prompt search for novel unknown antibodies or a second line immunotherapy (with or without tumour screening). An alternative diagnosis will be warranted in any other case (see figure 1).

### CONCLUSIONS

This new field of immune mediated CNS diseases is exciting but also challenging. There is a need for more intense research into those conditions that are shown to be immunotherapy responsive and thereby can be defined as possible NSAS. The presence of these and other NSABs in patients with more common conditions, such as epilepsy, psychosis and dementia, needs to be systematically examined. Ideally, antibody testing should be performed in local laboratories using internationally validated procedures so that the diagnosis can be made and treatments started as soon as possible in the hope of restoring health, limiting hospitalisation and optimising outcomes. Systematic studies of the treatments are needed in order to establish best practice.

**Acknowledgements** The authors are grateful to the Paraneoplastic Neurologic Syndrome Euronetwork for funding, and to all members of the PNS Euronetwork whose discussion and previous guidelines helped to shape this review (LZ, FG, BG, AV), the European Neurological Society (ENS) and the European Federation of Neurological Societies (EFNS) (LZ), the National Institutes of Health Research (NIHR) and Oxford Biomedical Research Centre (LZ, AV).

**Contributors** The review was invited by the editor and based on initial discussions between LZ, FG and AV. Additional comments and suggestions were received by BG and CB, and all authors contributed to the final manuscript.

**Funding** This work was supported by personal fellowships to LZ from the European Neurological Society (ENS) and the European Federation of Neurological Societies (EFNS), and general support from the National Institutes of Health Research (NIHR) Oxford Biomedical Research Centre to AV.

**Competing interests** AV and Oxford University hold patents for MuSK-Abs and for VGKC-complex Abs, and receive royalties and payments for antibody assays.

**Provenance and peer review** Commissioned; externally peer reviewed.

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